

KARTA OF HUF DECEASED - NEW KARTA APPOINTED

TRANSMISSION DOCUMENTS MATRIX – READY RECKONER							
Sr. No.	Documents required for Transmission	Transmission to Surviving Holders		Sole Holder / All Joint holders deceased & Nomination has been registered	Sole Holder / All Joint holders deceased & NO Nomination registered	Karta of HUF deceased	
		2 nd or 3 rd Holder deceased	1 st Holder deceased			New Karta Appointed	HUF Dissolved
1.	Prescribed Transmission Request Form	✓ Form T1	✓ Form T2	✓ Form T3	✓ Form T3	✓ Form T4	✓ Form T5
2.	Death Certificate of deceased Unit Holder/s/ Karta in original or Photocopy duly attested by a Notary Public or a Gazetted Officer or photocopy self-attested by the nominee(s)/ claimant(s)/ legal heir(s) subject to verification with original by AMC branches.	✓	✓	✓	✓	✓	✓
3.	Copy of Birth Certificate (in case the claimant is a minor)	NA	NA	✓	✓	NA	✓
4.	Self-attested copy of PAN of the claimant/ new Karta/ Guardian	✓*	✓*	✓	✓	✓	✓
5.	KYC of the Claimant/ New Karta/ Guardian (in case of nominee/ claimant being a minor/ of unsound mind)	✓**	✓**	✓	✓	✓	✓
6.	Cancelled cheque leaf with name and bank account number pre-printed OR copy of bank statement/ Photocopy of Bank Passbook with current entries (not older than 3 months) attested by a Notary Public or a Gazetted Officer or Bank Manager.	✓	✓	✓	✓	✓	✓
7.	Bank Attestation of Signature of the Claimant/ Guardian (in case the Claimant is a minor) by the Bank Manager as per Annexure-I(a) where Transmission value upto ₹500,000	NA#	NA#	✓	✓	NA	✓
8.	Bank's letter certifying/ attesting the signature and details of new Karta in the bank account of the HUF as per Annexure- I(b)	NA	NA	NA	NA	✓	NA
9.	Attestation of Signature of the Claimant by a Notary Public or a Judicial Magistrate First Class (in the space provided in TRF) if the Transmission value is more than ₹500,000	NA#	NA#	✓	✓	✓	✓
10.	Additional documentation required: Copy of ID Proof [PAN/ Redacted Aadhaar/ Voter ID/ Passport or any other OVD as per PMLA guidelines] of the deceased person attested by the claimant(s) and duly notarized or originals can be shown at the AMC branches and OSV seal attested by them (as a risk mitigation measure).	NA	NA	✓	✓	✓	✓

* If PAN not submitted previously **If not KYC compliant # Only required in case of signature mismatch

SUPPORTING LEGAL DOCUMENTS							
Sr. No.	Documents required for Transmission	Transmission to Surviving Holders		Sole Holder / All Joint holders deceased & Nomination has been registered	Sole Holder / All Joint holders deceased & Nomination NOT registered	Karta of HUF deceased	
		2 nd or 3 rd Holder deceased	1 st Holder deceased			New Karta Appointed	HUF Dissolved
(i)	Indemnity Bond duly signed and executed by all legal heir/s confirming the claimants (Annexure II) - Duly notarised #	NA	NA	NA	✓	NA	NA
(ii)	Individual Affidavit by all legal heir/s (Annexure III) - Duly Notarised	NA	NA	NA	✓	NA	NA
(iii)	For Transmission value upto ₹500,000:						
	Document evidencing relationship of the claimant/s with the deceased unitholder/s	NA	NA	NA	✓	NA	NA
	NOC from other Legal Heirs (Annexure – IV)	NA	NA	NA	✓	NA	NA
	Any appropriate document evidencing relationship of the new Karta and the other coparceners with the deceased Karta.	NA	NA	NA		✓	
	<i>Note: If the value at PAN level is upto ₹5 lakh, a notarized indemnity bond from the legal heir(s) / claimant(s) to whom the securities are to be transmitted, in the specified format is required. However, No Objection certificate from all non-claimants shall be required only where there are multiple beneficiaries as per the Registered Will, but all of them have renounced their claim and have no objection to the applicant (claimant) making the claim for transmission of Units. In such cases, the PAN/any OVD of such other legal heirs may also be obtained along with the NOC duly attested by a notary public or by a gazetted officer as per the specified format.</i>						

SUPPORTING LEGAL DOCUMENTS

Sr. No.	Documents required for Transmission	Transmission to Surviving Holders		Sole Holder / All Joint holders deceased & Nomination has been registered	Sole Holder / All Joint holders deceased & Nomination NOT registered	Karta of HUF deceased	
		2 nd or 3 rd Holder deceased	1 st Holder deceased			New Karta Appointed	HUF Dissolved
(iv)	Where Transmission value is more than ₹ 500,000 but upto ₹10,00,000**:						
	a) Notarised copy of the Probated or Registered Will. In case of Registered Will, the same shall be accompanied with a notarised Indemnity bond from the claimant (i.e., appropriate beneficiary of the Will) OR b) Legal Heirship Certificate or its equivalent, along with – 1) a notarized indemnity bond as per <i>Annexure II</i> from the legal heir(s) / claimant(s) to whom the securities are transmitted, as per the format specified provided; and 2) No Objection Certificate as per <i>Annexure IV</i> from all the non-claimants (remaining legal heirs), duly attested by a notary public or by a gazetted officer as per the format provided.	NA	NA	NA	✓	NA	NA
	Where transmission value at the PAN-level is more than ₹10 lakhs, any one of the documents mentioned below :						
	a) Notarised copy of Probated Will; OR b) Succession Certificate issued by a competent court, OR c) Letter of Administration or court decree, in case of Intestate Succession. <i>Note: In the above three scenarios, Indemnity Bond as mentioned at point no (i) is not required.</i>	NA	NA	NA	✓	NA	NA
	For change of Karta of HUF or Dissolution of HUF						
(v)	Indemnity bond signed by all co-parceners including the new Karta (<i>Annexure V</i>)	NA	NA	NA	NA	✓	NA
(vi)	Indemnity bond signed by the Claimant, where there is no surviving co-parcener or the HUF has been dissolved/partitioned after demise of the Karta (<i>Annexure VI</i>)	NA	NA	NA	NA	NA	✓
	<i>Note: Notarized copy of Decree of the relevant competent Court or Deed of Settlement or Deed of Partition. In case of no surviving co-parceners and the transmission value is more than ₹500,000 or where there is an objection from any surviving members of the HUF.</i>						

BANK ATTESTATION OF ACCOUNT DETAILS & SIGNATURE OF THE NEW KARTA OF THE HUF

{To be issued on the Bank's Letter Head
OR

This form itself with Bank Official's name and Employee code mentioned & Bank seal affixed in the space below}

Date:

D	D	M	M	Y	Y	Y	Y
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TO WHOMSOEVER IT MAY CONCERN

This is to certify that, _____ Name of the HUF _____ HUF
has the below-mentioned the Bank Account with our bank, namely, _____ Name of the Bank & Branch _____
_____ branch

Account number :	<input style="width: 100%;" type="text"/>
A/c Type (Pls ✓) :	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others (Pl. specify) _____
MICR Code (9 Digit) :	<input style="width: 100%;" type="text"/>
IFSC Code (11 Digit) :	<input style="width: 100%;" type="text"/>

As per our Bank records, Mr./Ms. , _____ Name of the Karta _____ ,
is the registered Karta of the above named HUF and the address of the said HUF is as follows:

City	Pin	State

Signature Verification by Bankers

Signature of the abovenamed customer in the box alongside, verified & validated with his/her specimen signature as per Bank's records

X	Signature of the registered Karta
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Signature of the bank official with Bank's Seal

X	
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Name* of the attesting Bank Official	
Designation*	
Employee Code*	
Telephone Number*	

* Mandatory

Indemnity Bond for Change of Karta in respect of MF Units upon demise of the Karta

[To be submitted in non-judicial stamp paper as per the value prescribed by the respective State subject]

(To be signed by all the co-parceners, including the new Karta)

I/We, the below mentioned surviving co-parcener(s) of _____ Name of the Hindu Undivided Family _____ HUF, (hereinafter referred to as "the HUF") hereby solemnly affirm and state on oath as follows –

(i) The HUF has investments in the following Investment Strategies / folios of _____ RedHex SIF:

S.No.	Investment strategy Name	Folio No.	No. of Units
1			
2			
3			
4			

(ii) The Karta of the above HUF, Mr. _____, who was managing the affairs of the HUF, expired on _____ and the persons mentioned below are the only living member(s) of the HUF:

S. No.	Name of the coparcener(s)	Address	Date of Birth	Relationship with the Deceased Karta
1				
2				
3				
4				

(iii) I/We further affirm jointly and singly that Mr./Ms. _____ is the senior most coparcener of the HUF/is the new Karta duly appointed by all the surviving members of the HUF.

(iv) I/We have, therefore, approached you with a request to replace the name of the deceased Karta with the name of Mr./Ms. _____ as the new Karta of the HUF in your records for which I/We execute an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

(v) In consideration therefore of RedHex Specialized Investment Fund acceding to my/our request to replace the name of the Karta in the aforesaid RedHex SIF folios in the place of deceased Karta, I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless RedHex Specialized Investment Fund and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which the RedHex SIF may suffer and/or incur by reason of acceding to and acting on my/our request as herein above mentioned.

I/we hereby state that whatever is stated herein above are true to the best of my/our knowledge & belief.

IN WITNESS WHEREOF, I/we have hereunto set my/our hand/s and seal/s this _____ day of _____

Signed and delivered by

S.No.	Name of the Coparcener/s	Signature
1.		X
2.		X
3.		X
4.		X

SURETY

I/we, the undersigned Surety, certify that the above facts are true to the best of my/our knowledge and bind myself/ourselves as Surety to make good all claims, charges, costs, damages, demands, expenses and losses which the RedHex Specialized Investment Fund, its successors and assigns may sustain, incur or be liable for in consequence of complying with the request contained above of the coparceners hereinabove and the said RedHex SIF and its successors, assigns will be entitled to claim and realise all claims, charges, costs, damages, demands, expenses and losses from me or from my properties, as the case may be.

S.No.	Sureties Name & Address (Mandatory)	PAN	Signature of the Surety
1.			X
2.			X

Signed before me at	
Place : _____	X
Date : _____	_____
	Signature of Notary with Official Seal of Notary

STAMP DUTY CHARGES FOR VARIOUS STATES IN INDIA

As on October 15, 2024

S. No.	Name of the State	Indemnity Bond (IB) for Transmission	Affidavit (A) for Transmission
1	Gujrat	300	50
2	Karnataka	500	100
3	West Bengal	50	10
4	Tamil Nadu	80	20
5	Maharashtra #	500	500
6	Delhi	100	10
7	Rajasthan	200	50
8	Uttar Pradesh	100	10
9	Telangana	5	5
10	Kerala	500	50
11	Punjab & Haryana	5	15
12	Madhya Pradesh	1000	50
13	Jammu & Kashmir	500	10
14	Andhra Pradesh	5	5
15	Chhattisgarh	250	5

states not part of the above list to follow Maharashtra stamp value .