

## HSBC MUTUAL FUND

### ADDENDUM

In accordance with Para B titled "Enhancing Scheme Related Disclosures" under Circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016 issued by Securities and Exchange Board of India (SEBI) read with SEBI Circular no. SEBI/HO/IMD/DF2/CIR/P/2021/024 dated March 04, 2021 and SEBI Circular no. SEBI/HO/IMD/IMD-I DOF2/P/CIR/2021/0560 dated April 30, 2021, data under the heading "Additional Scheme Related Disclosures" stands updated in the Scheme Information Document ("SID") / Key Information Memorandum ("KIM") (as applicable) of the following schemes of HSBC Mutual Fund:

#### ADDITIONAL SCHEME RELATED DISCLOSURES:

##### 1. HSBC Fixed Term Series 136\* (a closed ended Income scheme)

###### A. Name of the Fund Manager and tenure of managing the scheme

Name of the Fund Manager	Tenure of Managing the scheme (in years)^
Kapil Punjabi	3.14

^Till September 30, 2021 as the scheme matured on September 30, 2021

###### B. Portfolio Related Disclosures (as on September 30, 2021)

- Portfolio - Top 10 Holdings (Issuer-wise)

Sr. No.	Issuer	% of Net Assets
1	Cash and Cash Equivalents	100.00%

- Sector Allocation (% of Net Assets)

Sr. No.	Sector	% of Net Assets
1	Cash and Cash Equivalents	100.00%

- Portfolio Turnover Ratio (Portfolio turnover is defined as lesser of purchases and sales as a percentage of the average corpus of the Scheme(s) during a specified period of time.) - **The Portfolio Turnover Ratio in case of debt fund is not applicable.**

Kindly refer the Fund's website, [www.assetmanagement.hsbc.com/in](http://www.assetmanagement.hsbc.com/in) for monthly portfolio disclosures.

C. Aggregate investment in the Plan/Scheme by directors, fund manager's and key managerial personnel of the AMC as on September 30, 2021

Scheme Name			AuM in INR
	AMC's Board of Directors	Fund Manager(s)	Other Key Managerial Personnel of AMC
HSBC Fixed Term Series 136	NIL		

**Note:** The above investments are based on holdings as of September 30, 2021 and sourced from the Fund's Registrars records, on the basis of PAN of the above persons. The investments have been aggregated at a scheme level, irrespective of the date of investment into the scheme. The CEO of the AMC being a Director has been included under AMC Directors and not under Key Managerial Personnel.

\*The scheme has matured on September 30, 2021.

2. HSBC Fixed Term Series 137 (a closed ended Income scheme)

A. Name of the Fund Manager and tenure of managing the scheme

Name of the Fund Manager	Tenure of Managing the scheme (in years)
Kapil Punjabi	2.78

B. Portfolio Related Disclosures (as on September 30, 2021)

- Portfolio - Top 10 Holdings (Issuer-wise)

Sr. No.	Issuer	% of Net Assets
1	Aditya Birla Finance Ltd.	10.03%
2	Aditya Birla Housing Finance Ltd.	10.03%
3	National Highways Authority of India	9.70%
4	Central and State Government Securities	9.65%
5	REC Ltd.	8.88%
6	Bajaj Housing Finance Ltd.	8.37%
7	Power Finance Corporation Ltd.	8.26%
8	Tata Capital Financial Services Ltd.	7.74%
9	Housing & Urban Development Corp Ltd.	6.96%
10	National Bk for Agriculture & Rural Dev.	6.92%

- Sector Allocation (% of Net Assets)

Sr. No.	Sector	% of Net Assets
1	Financial Services	74.11%
2	Construction	9.70%
3	Central and State Government Securities	9.65%
4	Cash and Cash Equivalents	5.70%
5	Consumer Goods	0.84%

- Portfolio Turnover Ratio (Portfolio turnover is defined as lesser of purchases and sales as a percentage of the average corpus of the Scheme(s) during a specified period of time.) - **The Portfolio Turnover Ratio in case of debt fund is not applicable.**

Kindly refer the Fund's website, [www.assetmanagement.hsbc.com/in](http://www.assetmanagement.hsbc.com/in) for monthly portfolio disclosures.

- C. Aggregate investment in the Plan/Scheme by directors, fund manager's and key managerial personnel of the AMC as on September 30, 2021

Scheme Name	AuM in INR		
	AMC's Board of Directors	Fund Manager(s)	Other Key Managerial Personnel of AMC
HSBC Fixed Term Series 137	NIL		

**Note:** The above investments are based on holdings as of September 30, 2021 and sourced from the Fund's Registrars records, on the basis of PAN of the above persons. The investments have been aggregated at a scheme level, irrespective of the date of investment into the scheme. The CEO of the AMC being a Director has been included under AMC Directors and not under Key Managerial Personnel.

### 3. HSBC Fixed Term Series 139 (a closed ended Income scheme)

- A. Name of the Fund Manager and tenure of managing the scheme

Name of the Fund Manager	Tenure of Managing the scheme (in years)
Kapil Punjabi	2.68

- B. Portfolio Related Disclosures (as on September 30, 2021)

- Portfolio - Top 10 Holdings (Issuer-wise)

Sr. No.	Issuer	% of Net Assets
1	Bajaj Finance Ltd.	12.16%
2	Central and State Government Securities	11.19%
3	Bajaj Housing Finance Ltd.	10.08%
4	Housing & Urban Development Corp Ltd.	9.64%
5	National Bk for Agriculture & Rural Dev.	9.59%
6	REC Ltd.	8.67%
7	L & T Finance Ltd.	7.96%
8	National Highways Authority of India	7.68%
9	Small Industries Development Bk of India	7.67%
10	Housing Development Finance Corp Ltd.	5.78%

- Sector Allocation (% of Net Assets)

Sr. No.	Sector	% of Net Assets
1	Financial Services	75.40%
2	Central And State Government Securities	11.19%
3	Construction	7.68%
4	Cash and Cash Equivalents	5.73%

- Portfolio Turnover Ratio (Portfolio turnover is defined as lesser of purchases and sales as a percentage of the average corpus of the Scheme(s) during a specified period of time.) - **The Portfolio Turnover Ratio in case of debt fund is not applicable.**

Kindly refer the Fund's website, [www.assetmanagement.hsbc.com/in](http://www.assetmanagement.hsbc.com/in) for monthly portfolio disclosures.

- C. Aggregate investment in the Plan/Scheme by directors, fund manager's and key managerial personnel of the AMC as on September 30, 2021

Scheme Name	AuM in INR		
	AMC's Board of Directors	Fund Manager(s)	Other Key Managerial Personnel of AMC

HSBC Fixed Term Series 139	NIL
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**Note:** The above investments are based on holdings as of September 30, 2021 and sourced from the Fund's Registrars records, on the basis of PAN of the above persons. The investments have been aggregated at a scheme level, irrespective of the date of investment into the scheme. The CEO of the AMC being a Director has been included under AMC Directors and not under Key Managerial Personnel.

#### 4. HSBC Fixed Term Series 140 (a closed ended Income scheme)

##### A. Name of the Fund Manager and tenure of managing the scheme

Name of the Fund Manager	Tenure of Managing the scheme (in years)
Kapil Punjabi	2.52

##### B. Portfolio Related Disclosures (as on September 30, 2021)

- Portfolio - Top 10 Holdings (Issuer-wise)

Sr. No.	Issuer	% of Net Assets
1	Sundaram Finance Ltd.	9.99%
2	Central and State Government Securities	9.95%
3	Bajaj Housing Finance Ltd.	9.94%
4	Aditya Birla Housing Finance Ltd.	9.78%
5	Small Industries Development Bk of India	9.77%
6	National Bk for Agriculture & Rural Dev.	9.75%
7	Reliance Industries Ltd.	9.75%
8	REC Ltd.	8.66%
9	L & T Finance Ltd.	8.21%
10	NTPC Ltd.	5.43%

- Sector Allocation (% of Net Assets)

Sr. No.	Sector	% of Net Assets
1	Financial Services	70.44%
2	Central And State Government Securities	9.95%
3	Oil & Gas	9.75%
4	Power	5.43%
5	Cash and Cash Equivalentents	3.35%
6	Construction	1.08%

- Portfolio Turnover Ratio (Portfolio turnover is defined as lesser of purchases and sales as a percentage of the average corpus of the Scheme(s) during a specified period of time.) - **The Portfolio Turnover Ratio in case of debt fund is not applicable.**

Kindly refer the Fund's website, [www.assetmanagement.hsbc.com/in](http://www.assetmanagement.hsbc.com/in) for monthly portfolio disclosures.

- C. Aggregate investment in the Plan/Scheme by directors, fund manager's and key managerial personnel of the AMC as on September 30, 2021

Scheme Name	AuM in INR		
	AMC's Board of Directors	Fund Manager(s)	Other Key Managerial Personnel of AMC
HSBC Fixed Term Series 140	NIL		

**Note:** The above investments are based on holdings as of September 30, 2021 and sourced from the Fund's Registrars records, on the basis of PAN of the above persons. The investments have been aggregated at a scheme level, irrespective of the date of investment into the scheme. The CEO of the AMC being a Director has been included under AMC Directors and not under Key Managerial Personnel.

Apart from point A to C as mentioned above, following illustration showing impact of expense ratio on plan/scheme's return is also disclosed in the SID of the above mentioned Plans/Schemes.

- A. Illustration: Impact of Expense Ratio on Scheme's return:

Collections at Day Zero		A	100,000,000
Purchase Price per unit		B	10
Units allotted to domestic investors	A/B	C	10,000,000
Amount Invested		D	100,000,000
Yield on investment		E	6%
Expense ratio		F	1.65%
AUM after one month			
AUM including Yield	$(D * E) / 12 + D$	G	100,500,000

Expenses (for one month) (INR)- approximated at average of opening and closing AUM	$((A+G)/2 * F)/12$	H	137,844
AUM after one month	G-H	I	100,362,156
NAV per unit	I/C	J	10.0362
Annualized returns (Pre Expenses) %	$(G-D)/D * 100 * 12$	K	6.00
Annualized returns (Post Expenses) %	$(I-D)/D * 100 * 12$	L	4.35

This addendum shall form an integral part of the SID / KIM of the above mentioned Plan(s)/Scheme(s) as amended from time to Time.

Applicants(s)/Unit holder(s) may contact our Customer Service Number - 1800 200 2434 / 1800 258 2434 or their financial advisor(s), for any additional information/clarifications. You may also visit our website [www.assetmanagement.hsbc.com/in](http://www.assetmanagement.hsbc.com/in) or write to us at [hsbcmf@camsonline.com](mailto:hsbcmf@camsonline.com) for any other related information.

**For & on behalf of HSBC Asset Management (India) Private Limited  
(Investment Manager to HSBC Mutual Fund)**

Sd/-  
 Authorised Signatory  
 Mumbai, October 29, 2021

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Mutual Fund investments are subject to market risks, read all scheme related documents carefully.