

Date: \_\_\_\_\_

**HSBC Mutual Fund**

 9-11 Floors, NESCO IT Park,  
 Building No. 3, Western Express Highway,  
 Goregaon (East), Mumbai 400 063, India.

Dear Sir(s),

**Subject: Lien/Pledge/Charge/Hypothecation of Units in favour of** \_\_\_\_\_ (Lender's Name) ("**Lender**")

 I / We \_\_\_\_\_, a Unitholder  
 of \_\_\_\_\_ (Scheme, Plan, Option to be specified) have borrowed monies and/or  
 incurred indebtedness from \_\_\_\_\_ (the "Lender") against security by way  
 of pledge/lien/charge/hypothecation of the units mentioned below of HSBC Mutual Fund (Fund).

Folio No.	Scheme	No. of Units	PAN

I / We request you to record the pledge/lien/charge/hypothecation on the said above-mentioned units in favour of the Lender. I / We request you to consider the lien marking request from the lender, as final confirmation of the terms in the agreement between me/us and the lender.

- Any additional units allotted by way of investments/dividend reinvestments/bonus or otherwise, during the term of pledge, must also be pledged in favour of \_\_\_\_\_ (Lender/Pawnee). All benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall also be subject to lien in Lender's favour.
- During the period of the Pledge, the Pawnee shall have the right to transfer the units to itself / its nominees / assigns / successors or sell or redeem the units buy written notice to the Fund irrespective of any contrary instructions given by me / us and any such transfer, sale or redemption of the Units by the Pawnee shall be valid in the same manner as if such units were transferred or sold or redeemed by me / us and I / we hereby authorize the same. Such payment to the Pawnee or its nominees / assigns / successors by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.
- I / We accept/request to you not to change my/our investors level details (e.g. Address, Bank Mandate, Mode of holding etc) without prior consent of the Pawnee. However you can modify the same on the sole instruction of Pawnee.
- I / We confirm that there is no prior pledge / charge / lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien is on the number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.
- I / We hereby undertake to indemnify at all times, the Fund, its Registrars & Share Transfer Agents successors and assigns, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said Pledge of the Units and fraudulent redemption of units pledge whether intentional or accidental.

Further, I/we confirm that pledge/lien/charge/hypothecation on the above-mentioned units is created by the agreement between me/us and the Lender and that HSBC Mutual Fund is only facilitating the operation of the said agreement by marking a lien/pledge of the above-mentioned units without incurring any liabilities to the Lender.

Thanking you,

Yours faithfully,

 \_\_\_\_\_  
**Signature/s and Name/s of Unit Holder/Holders**

Date: \_\_\_\_\_

Place: \_\_\_\_\_

CC: Lender

Received from : Name \_\_\_\_\_

 Folio No. 

 Mobile No.: 

 PAN 

Request submitted \_\_\_\_\_

 Acknowledgement  
 Stamp & Date

*Subject to further verification and furnishing of mandatory information/ documents. Please retain this slip until processed*

## CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar / Transfer Agent : Computer Age Management System.

### TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
<b>Toll Free Number</b>	1800-4190-200 / 1800-200-2434	1800-419-9800	<b>1800-4190-200 / 1800-200-2434</b>	+91 44 39923900
<b>Email ID</b>	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in