




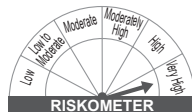
## KEY INFORMATION MEMORANDUM

### HSBC Asia Pacific (Ex Japan) Dividend Yield Fund

(An open ended fund of fund scheme investing in HSBC Global Investment Funds - Asia Pacific Ex Japan Equity High Dividend Fund)

#### Continuous Offer of Units at NAV based prices

**Product Labeling:** To provide investors an easy understanding of the kind of product/scheme they are investing in and its suitability to them, the product labeling is as under:

This product is suitable for investors who are seeking*:	Scheme Risk-o-meter	Benchmark Risk-o-meter (as applicable)
<ul style="list-style-type: none"> <li>▶ To create wealth over long-term</li> <li>▶ Investment in equity and equity related securities of Asia Pacific countries (excluding Japan) through fund of funds route</li> </ul>	 <p><b>RISKOMETER</b></p> <p>Investors understand that their principal will be at Very High risk</p>	<p>As per AMFI Tier I Benchmark Index: <b>MSCI AC Asia Pacific ex Japan TRI</b></p>  <p><b>RISKOMETER</b></p>

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Name of Mutual Fund	Name of Asset Management Company	Name of Trustee Company
<b>HSBC Mutual Fund</b> 9-11 Floors, NESCO IT Park, Building No. 3, Western Express Highway, Goregaon (East), Mumbai – 400 063, India	<b>HSBC Asset Management (India) Private Limited</b> CIN – U74140MH2001PTC134220 Regd. & Corp. Office: 9-11 Floors, NESCO IT Park, Building No. 3, Western Express Highway, Goregaon (East), Mumbai – 400 063, India	<b>HSBC Trustees (India) Private Limited</b> CIN – U66190MH2024PTC416973 Regd. Office: 52/60 Mahatma Gandhi Road, Fort, Mumbai – 400001, India
Website: <a href="http://www.assetmanagement.hsbc.co.in">www.assetmanagement.hsbc.co.in</a>		

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in).**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated November 29, 2024.

Investment Objective	To provide long term capital appreciation by investing predominantly in units of HSBC Global Investment Funds (HGIF) Asia Pacific Ex Japan Equity High Dividend Fund (HEHDF). The Scheme may also invest a certain proportion of its corpus in money market instruments and/or units of liquid mutual fund schemes, in order to meet liquidity requirements from time to time. However, there is no assurance that the investment objective of the Scheme will be achieved.																																	
Asset Allocation Pattern of the scheme	<p>Under normal circumstances, it is anticipated that the asset allocation of the Scheme will be as follows:</p> <table><tr><th rowspan="2">Instruments</th><th colspan="2">Indicative Allocations (% of total Assets)</th></tr><tr><th>Minimum</th><th>Maximum</th></tr><tr><td>Units issued by HGIF Asia Pacific Ex Japan Equity High Dividend Fund (HEHDF)</td><td>95</td><td>100</td></tr><tr><td>Money Market instruments (including TREPS &amp; reverse repo in government securities) and units of domestic mutual funds</td><td>0</td><td>5</td></tr></table> <p>Under normal circumstances, 95-100% of the AUM will be invested into (HGIF Asia Pacific Ex Japan Equity High Dividend Fund). The cumulative exposure through units of the Underlying scheme, money market instruments and units of domestic mutual funds shall not exceed 100% of the net assets of the Scheme.</p> <p>The Scheme will not invest in derivatives, securitised debts or unrated instruments. However, the Underlying scheme may have exposure to these securities and may also undertake short selling and securities lending.</p> <p>The Underlying scheme shall be compliant with the provisions of para 12.19 of SEBI Master Circular on Mutual Funds dated June 27, 2024 including for investments in derivatives or unlisted instruments.</p> <p><b>Indicative Table</b> (Actual instrument/percentages may vary subject to applicable SEBI circulars)</p> <table><tr><th>Sl. No</th><th>Type of Instrument*</th><th>Percentage of exposure (% of net assets)</th><th>Circular references / clause references of SEBI Master Circular on Mutual Funds dated June 27, 2024</th></tr><tr><td>1.</td><td>Securities Lending</td><td rowspan="7">Not Applicable</td><td rowspan="7"></td></tr><tr><td>2.</td><td>Equity Derivatives for non- hedging purposes</td></tr><tr><td>3.</td><td>Securitized Debt</td></tr><tr><td>4.</td><td>Overseas Securities</td></tr><tr><td>5.</td><td>ReITS and InVITS</td></tr><tr><td>6.</td><td>AT1 (Additional Tier 1) and AT2 (Additional Tier 2) Bonds</td></tr><tr><td>7.</td><td>Any other instrument</td></tr></table> <p>*The underlying fund may invest in the above mentioned securities based on its defined asset allocation.</p> <p><b>Exposure to Indian securities in the overseas underlying schemes invested in by the Scheme:</b></p> <p>Exposure to Indian securities by the overseas underlying schemes invested in by the Scheme shall not be more than 25% of such underlying scheme’s net assets. If the exposure to Indian securities by an overseas underlying scheme exceeds 25% of its net assets, then an observance period of 6 months from the date of publicly available information of such breach shall be permitted to the Scheme to monitor the portfolio rebalancing activity by the overseas underlying schemes. During the observance period, the Scheme shall not undertake any fresh investment in such overseas underlying schemes and may resume their investments in such overseas underlying schemes in case the exposure to Indian securities by such overseas underlying schemes falls below the limit of 25%. If the portfolio of the overseas underlying schemes is not rebalanced within the 6-month observance period, the Scheme shall liquidate its investments in the concerned overseas underlying scheme(s) within the next 6 months ('liquidation period') from end of the observance period. However, if the exposure to Indian securities by such overseas underlying scheme falls below the prescribed limit of 25% during the liquidation period, the liquidation requirement shall not be applicable. If the Scheme fails to rebalance the portfolio in line with the aforesaid requirements, then after the 6- month liquidation period the AMC shall comply with the restrictions, as specified in SEBI Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/149 dated November 4, 2024.</p> <p>If the scheme intend to invest in any other overseas underlying scheme with similar investment objectives as that of existing overseas underlying scheme, on account of the existing overseas underlying scheme exceeding 25% exposure to Indian securities, a notice cum addendum will be issued to investors indicating the change in overseas underlying schemes, and such change shall not be considered as fundamental attribute change as per the provisions of SEBI Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/149 dated November 4, 2024</p> <p><b>Change in Investment Pattern</b></p> <p>Subject to the Regulations, the asset allocation pattern indicated above for the Scheme may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unitholders and meet the objective of the Scheme. Such changes in the investment pattern will be for short term and defensive considerations.</p> <p><b>Portfolio re-balancing in case of short term defensive consideration</b></p> <p>Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per para 1.14.1.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024 and the fund manager will rebalance the portfolio within 30 calendar days from the date of deviation.</p>			Instruments	Indicative Allocations (% of total Assets)		Minimum	Maximum	Units issued by HGIF Asia Pacific Ex Japan Equity High Dividend Fund (HEHDF)	95	100	Money Market instruments (including TREPS & reverse repo in government securities) and units of domestic mutual funds	0	5	Sl. No	Type of Instrument*	Percentage of exposure (% of net assets)	Circular references / clause references of SEBI Master Circular on Mutual Funds dated June 27, 2024	1.	Securities Lending	Not Applicable		2.	Equity Derivatives for non- hedging purposes	3.	Securitized Debt	4.	Overseas Securities	5.	ReITS and InVITS	6.	AT1 (Additional Tier 1) and AT2 (Additional Tier 2) Bonds	7.	Any other instrument
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	<p><b>Portfolio re-balancing in case of passive breaches</b></p> <p>Further, as per para 2.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024, as may be amended from time to time, in the event of deviation from mandated asset allocation due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the fund manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in para 2.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024.</p>
<b>Investment Strategy</b>	<p><b>Investment Approach and Risk Control</b></p> <p>The Scheme will invest predominantly in the units of the Underlying scheme - HEHDF. The Scheme may also invest a certain proportion of its corpus in money market instruments and/or units of liquid mutual fund schemes, in order to meet liquidity requirements from time to time.</p> <p>The Scheme may invest in units of liquid mutual fund schemes managed by the AMC or in the schemes of any other mutual fund, provided it is in conformity with the investment objectives of the Scheme and in terms of the prevailing Regulations.</p> <p>Underlying scheme offers various share classes. Each share class has different expense ratio and different minimum amount for investments. The portfolio of Underlying scheme for all the share classes is common. Currently while the Scheme intends to invest in Share Class S9. The share class is chosen for investments considering various factors, including minimum investment amount requirement of the share classes, expense ratio, etc. The Scheme may be eligible to subscribe to other share classes of Underlying scheme based on its net assets. Accordingly, the Scheme retains flexibility to invest in different share classes of Underlying scheme.</p>
<b>Risk Profile of the Scheme</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors are summarized below:</p> <p><b>Risk factors associated with the Scheme</b></p> <p>Investments in the Underlying scheme, which also consist of equity funds, will have all the risks associated with investments in equity and the offshore markets.</p> <ul style="list-style-type: none"> <li>• The portfolio disclosure of the Scheme will be largely limited to the investments made by the Scheme.</li> <li>• The investor will be exposed to the risk of the global markets and currency risk arising out of the investment in the Underlying scheme in securities which are denominated in foreign currencies (eg US Dollars). The investor will also be prone to delays in redemption if the global market is closed.</li> <li>• This being a fund of funds Scheme, the investors should note that the expenses to be borne by the investor include the recurring expenses of the Underlying scheme in which Fund of Funds Scheme makes investments subject to the maximum limits prescribed under sub-regulation 6 &amp; 6A of Regulation 52 of the SEBI Regulations.</li> <li>• If the Underlying scheme declares a non-business day, the AMC will also declare it a non-business day. If this information is received later in the day and the local feeder fund has already accepted transaction, such transactions will be processed at the NAV of the next business day. This may impact liquidity of investors of local scheme.</li> <li>• <b>Liquidity risk of underlying instruments:</b> There could be liquidity risk on account of illiquid underlying holdings.</li> <li>• To maintain liquidity at the feeder fund level, the AMC will invest upto 5% in Money Market instruments (including TREPS &amp; reverse repo in government securities) and units of domestic mutual funds to provide from a liquidity perspective.</li> <li>• <b>Restructuring/Rescheduling Risk:</b> There could be cases of restructuring/re-scheduling of particular debt/money market instruments held in the portfolio which could result in the maturity of these instruments going beyond the original maturity date of the instrument. In such cases the fund manager may be constrained to sell these instruments in the market at realizable value and pass on the loss/impact to investors under the Scheme.</li> </ul> <p><b>Risks associated with investing in derivatives, securitized debt, short selling and securities lending</b></p> <p>The Scheme will not take any exposure to the above mentioned securities or participate in short selling or securities lending. However the Underlying scheme may take exposure to the above mentioned securities. Refer risk factors associated with the same below for Underlying scheme.</p> <p><b>Risk associated with short selling and securities lending Underlying schemes</b></p> <ul style="list-style-type: none"> <li>• <b>Short Selling Risk:</b> The risk associated with upward movement in market price of security sold short may result in loss. The losses on short position may be unlimited as there is no upper limit on rise in price of a security.</li> <li>• <b>Securities Lending:</b> The risks in lending portfolio securities, as with other extensions of credit, consist of the failure of another party, in this case the approved intermediary, to comply with the terms of agreement entered into between the lender of securities i.e. the Scheme(s) and the approved intermediary. Such failure to comply can result in the possible loss of rights in the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The Mutual Fund may not be able to sell such lent securities and this can lead to temporary illiquidity.</li> </ul>

**Risk Factors associated with investments in Money Market instruments**

- Investments in money market instruments would involve a moderate credit risk i.e. risk of an issuer's liability to meet the principal payments.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of credit worthiness of the issuer of such instruments.
- The NAV of the Scheme's Units, to the extent that the corpus of the Scheme is invested in money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise, the value of a portfolio of money market instruments can be expected to decline.

**Risk factor associated with Legal, Tax and Regulatory risk**

The Scheme could be exposed to changes in legal, tax and regulatory regime which may adversely affect it and/or the investors. Such changes could also have retrospective effect and could lead to additional taxation imposed on the Scheme which was not contemplated either when investments were made, valued or disposed off.

**Risk Factors of Underlying scheme (HGIF Asia Pacific Ex Japan Equity High Dividend Fund)**

The Underlying scheme having exposure to equity and equity related securities will be subject to the following risks which may in turn affect the performance of the Scheme. There can be no assurance that the Underlying scheme will achieve its investment objectives and past performance should not be seen as a guide to future returns.

**Risks associated with Underlying scheme investing in Equity & Equity related securities**

- Equity instruments by nature are volatile and prone to price fluctuations on a daily basis due to both macro and micro factors. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments. Different segments of financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities' purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. In the view of the Fund Manager, investing in mid and small cap stocks are riskier than investing in large cap stocks.
- **Market/Investment Risk:** Many of the Asian markets are emerging markets. Investing in emerging markets involves a greater risk of loss than investing in more developed markets due to, among other factors, greater political, tax, economic, foreign exchange, liquidity, market volatility (such as interest rate and price volatility) and regulatory risks.
- **Currency Risk:** Investors investing in this fund will be exposed to currency risk as Indian investors will invest in INR in India and the fund will invest in USD in the Underlying scheme. The Underlying scheme in-turn may take exposure in multiple currencies (such as USD, HKD and EURO). As the Underlying scheme will invest in securities which are denominated in foreign currencies (e.g. US Dollars), fluctuations in the exchange rates of these foreign currencies may have an impact on the income and value of the Scheme. The assets in which the Underlying scheme is invested and the income from the assets will or may be quoted in currencies which are different from the Underlying scheme's base currency. The performance of the Underlying scheme will therefore be affected by movements in the exchange rate between the currencies in which the assets are held and the Underlying scheme's base currency and hence there can be the prospect of additional loss or the prospect of additional gain to the investors greater than the usual risks of investment. The performance of the Underlying scheme may also be affected by changes in exchange control regulations. The investment manager to the Underlying scheme is permitted, but not obliged, to use hedging techniques to attempt to offset market and currency risks. There is no guarantee that hedging techniques will achieve the desired result. Being an open-ended Scheme, the investors can enter and exit at any time. Since each investor's horizon is different, investor who wish to hedge could undertake it at their end.
- **Communication Risk:** This product will require greater coordination between HSBC Asset Management India Operations and HSBC Global Operations team. A robust communication and escalation model will be put in place, which is periodically, revisited (quarterly) to account for changes in people/process/systems.
- **Swing Pricing Risk:** There are trading and associated transaction costs involved when there are significant inflows into or significant outflows from the Underlying scheme. The dealing charges incurred as a result of such significant flows fall not on only those investors who have just transacted but on all the investors in the fund thereby diluting the value of existing shareholders' holding. Introduction of Swing pricing aims to protect the interest of the existing investors from some of the performance dilution that they may suffer as a result of significant inflows and outflows from the fund. It is a process whereby the NAV of the fund is swung or adjusted when a predetermined net capital activity threshold (or swing threshold) is exceeded. Thus, if net subscriptions are above the swing threshold, the NAV per share is swung up by the swing factor. Conversely, if net redemptions are above the swing threshold, the NAV per share is swung down by the swing factor. The swing threshold has been set at a level by the Underlying scheme which it believes best manages the objective of protecting existing shareholders from NAV dilution by capturing a significant percentage of the gross amount of deals on any fund whilst maintaining a reasonable level of fund volatility by not swinging the NAV all the time.

Adjusted NAV Calculation: Subscription Example –

- Using the following swing pricing criteria:
  - The swinging threshold is 0.5% of the underlying scheme's Net Assets
  - The swing factor on the offer (net subscriptions above the threshold) is 1.0%
  - The swing factor on the bid (net redemptions below the threshold) is 0.5%



- Assume the following fund data:

Total net assets (US\$)	Net subscriptions (US\$)	No. of shares
US\$ 100,000,000	US\$ 600,000	1,000,000

- Net subscriptions = US\$600,000
- The swinging threshold = Total Net Assets x 0.5% = US\$100,000,000 x 0.5% = US\$500,000
- As the net inflows exceed the swinging threshold, the NAV per share has to be adjusted
- Unadjusted NAV per share = Total Net Assets/No. of shares = US\$100,000,000/1,000,000 = US\$100.00  
Adjusted NAV per share = NAV per share x (1 + swing factor) = US\$100.00 x (1 + 0.01) = US\$101.00
- The NAV is adjusted upwards as net subscriptions have exceeded the swinging threshold.
- Therefore, all investors (including the local Scheme) redeeming or subscribing into the underlying scheme will deal at the adjusted price of US\$101.00 per share. Thus, investors of the underlying scheme (including the local scheme) may be positively or negatively impacted by application of the swing price factor by the underlying scheme, depending upon whether they are subscribing/redeeming on the date of application of swing price factor.
- **Liquidity Risk:** Investors should be aware that the investments of the Underlying scheme could be negatively impacted by low liquidity and poor transparency of some of the exchanges where the investments are made. Investments may also become illiquid which may constrain the ability of the investment manager of the Underlying scheme to realize some or all of the portfolio. Securities, which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges.
- **Country Risk:** As the underlying portfolio could invest in stocks of different countries, the portfolio shall be exposed to the social, economic, political and settlement risks with respect to each country.
- **Settlement Risks:** The Scheme will be exposed to settlement risk, as different foreign markets may have different settlement periods and the procedures may be different.
- **Stock risk:** The Underlying scheme is exposed to equity markets for all or part of its total assets. The value of these assets can therefore rise or fall and investors may not get back all of their investment.
- **Emerging Market Risk:** As the Underlying scheme could invest in emerging markets, investors are advised to consider carefully the special risks of investing in equity and equity equivalent securities of companies which have their registered office in, and with an official listing on a major stock exchange or other regulated market of emerging market countries, as well as those companies which carry out a preponderant part of their business activities in emerging market countries. Economies in Emerging Markets generally are heavily dependent upon international trade and, accordingly, have been and may continue to be affected adversely by trade barriers, exchange controls, managed adjustments in relative currency values and other protectionist measures imposed or negotiated by the countries with which they trade. These economies also have been and may continue to be affected adversely by economic conditions in the countries in which they trade. Brokerage commissions, custodial services and other costs relating to investment in Emerging Markets generally are more expensive than those relating to investment in more developed markets. The risk also exists that an emergency situation may as a result of which trading of securities may cease or may be substantially curtailed and prices for a sub-fund's securities in such markets may not be readily available.
- **NAV Alignment Risk:** The cut off timing of NAV is aligned to the Underlying scheme's NAV. HSBC Asset Management India only declares NAV, after receiving the NAV of the Underlying scheme.

#### Risks associated with investing in Foreign Securities

- **Foreign Securities:** It is the AMC's belief that investment in foreign securities offers new investment and portfolio diversification opportunities into multi-market and multi-currency products. However, such investments also entail additional risks. Such investment opportunities may be pursued by the AMC provided they are considered appropriate in terms of the overall investment objectives of the Scheme. Since the Scheme would invest only partially in foreign securities, there may not be readily available and widely accepted benchmarks to measure performance of the Scheme. To manage risks associated with foreign currency and interest rate exposure, the Fund may use derivatives for efficient portfolio management including hedging and in accordance with conditions as may be stipulated by SEBI/RBI from time to time.
- Offshore investments will be made subject to any/all approvals, conditions thereof as may be stipulated by SEBI/RBI and provided such investments do not result in expenses to the Fund in excess of the ceiling on expenses prescribed by and consistent with costs and expenses attendant to international investing. The Fund may, where necessary, appoint other intermediaries of repute as advisors, custodian/sub-custodians etc. for managing and administering such investments. The appointment of such intermediaries shall be in accordance with the applicable requirements of SEBI and within the permissible ceiling of expenses. The fees and expenses would illustratively include, besides the investment management fees, custody fees and costs, fees of appointed advisors and sub-managers, transaction costs and overseas regulatory costs.
- To the extent that the assets of the Scheme will be invested in foreign securities denominated in foreign currencies, the Indian Rupee equivalent of the net assets, distributions and income may be adversely affected by changes in the value of certain foreign currencies relative to the Indian Rupee. The repatriation of capital to India may also be hampered by changes in regulations concerning exchange controls or political circumstances as well as the application to it of other restrictions on investment.
- Changes to the investment objectives or strategies of the Underlying scheme into which the Scheme invest or any change in the regulations in the country where such Underlying scheme is domiciled may affect the performance of the Scheme which invest into such schemes.

**Risk factors associated with underlying scheme investing in REITs and InvITs**

- The Underlying scheme may also invest in REITs and InvITs which may subject the scheme to the following risks:
- **Market Risk:** REITs and InvITs Investments are volatile instruments and are subject to price fluctuations on a daily basis owing to the market conditions and factors impacting the underlying assets. Fund Manager will do the necessary due diligence but actual market movements may be at variance with the anticipated trends.
- **Liquidity Risk:** The liquidity of the investments could, at times, be restricted by trading volumes, settlement periods, dissolution of the trust, potential delisting of units on the exchange etc. Hence, the time taken by the Fund Manager for liquidating the investments in the scheme may be longer than anticipated. As these products are new to the market they are likely to be exposed to liquidity risk.
- **Reinvestment Risk:** Investments in REITs & InvITs may carry reinvestment risk as there could be repatriation of funds by the Trusts in form of buyback of units or dividend pay-outs, interest payments, etc. Depending upon the market conditions, interest rates prevailing on the interest or maturity due dates may differ from the original coupon of the bond. As a result, the proceeds may get deployed at a lower yield.
- **Regulatory/Legal Risk:** REITs and InvITs being new asset classes, regulatory guidelines may be evolving in nature which may impact the investments in REITs and InvITs.

**Risks associated with Underlying scheme investing in Derivatives**

- The underlying fund may use derivative instruments like stock index futures, option on stocks, stock indices, interest rate swaps, forward rate agreements or other derivative instruments as permitted under the Regulations and guidelines.
- As and when the underlying scheme trade in the derivatives market, there are risk factors and issues concerning the use of derivatives that investors should understand. Derivative products are specialised instruments that require investment techniques and risk analyses different from those associated with stocks and bonds. The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is the possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices. Thus, derivatives are highly leveraged instruments. Even a small price movement in the underlying security could have a large impact on their value.
- Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager(s) may not always be profitable. No assurance can be given that the fund manager(s) will be able to identify or execute such strategies.
- The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

**Risk associated with Underlying scheme investing in securitized debt**

- **Securitized Debt:** Securitized debt papers carry credit risk of the Obligor and are dependent on the servicing of the PTC/Contributions etc. However, these are offset suitably by appropriate pool selection as well as credit enhancements specified by Rating Agencies. In cases where the underlying facilities are linked to benchmark rates, the securitized debt papers may be adversely impacted by adverse movements in benchmark rates. However, this risk is mitigated to an extent by appropriate credit enhancement specified by rating agencies. Securitized debt papers also carry the risks of prepayment by the obligors. In case of prepayments of securities debt papers, it may result in reduced actual duration as compared to the expected duration of the paper at the time of purchase, which may adversely impact the portfolio yield. These papers also carry risk associated with the collection agent who is responsible for collection of receivables and depositing them. The Investment team evaluates the risks associated with such investments before making an investment decision.
- The underlying assets in the case of investment in securitized debt could be mortgages or other assets like credit card receivables, automobile/vehicle/personal/commercial/corporate loans and any other receivables/loans/debt.

**The risks associated with the underlying assets can be described as under:**

Credit card receivables are unsecured. Automobile/vehicle loan receivables are usually secured by the underlying automobile/vehicle and sometimes by a guarantor. Mortgages are secured by the underlying property. Personal loans are usually unsecured. Corporate loans could be unsecured or secured by a charge on fixed assets/receivables of the company or a letter of comfort from the parent company or a guarantee from a bank/financial institution. As a rule of thumb, underlying assets which are secured by a physical asset/guarantor are perceived to be less risky than those which are unsecured. By virtue of this, the risk and therefore the yield in descending order of magnitude would be credit card receivables, personal loans, vehicle/automobile loans, mortgages and corporate loans assuming the same rating.

**Risks associated with transaction in Units through Stock Exchange mechanism**

- In respect of transactions in Units of the Scheme routed through the BSE StAR MF platform or any other recognised stock exchange platform as intimated by the AMC, allotment and redemption of Units on any Business Day will depend upon the order processing/settlement by BSE, or such other exchange and their respective clearing corporations on which the Fund has no control. Further, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by BSE or such other recognised exchange in this regard.

	<p><b>Risks associated with Segregated Portfolio</b></p> <ul style="list-style-type: none"><li>• <b>Liquidity Risk:</b> Segregated Portfolio is created to separate debt and money market instruments affected by a Credit Event from the Main Portfolio of the Scheme to ensure fair treatment to existing, entering and exiting investors of the scheme. The Fund will not permit redemption of the Segregated Portfolio units, but the units will be listed on a recognized stock exchange. The Fund is not assuring any liquidity of such units on the stock exchange which may subject investors to impact cost. Further, trading price of units on the stock exchange may be significantly lower than the prevailing NAV. Investors can continue to transact (subscribe/redeem) from the Main Portfolio.</li><li>• <b>Credit Risk:</b> While the AMC will put in sincere efforts to recover the securities in the Segregated Portfolio and distribute the same to unit holders, since such securities are affected by credit event, it is likely that such securities may not realize any value leading to losses to investors.</li></ul> <p>For details on risk factors and risk mitigation measures, please refer SID.</p>																				
<b>Plans / Options</b>	<p><b>Options :</b></p> <p>(i) Growth</p> <p>(ii) Income Distribution cum Capital Withdrawal (IDCW)</p> <p><b>Sub-options under IDCW:</b></p> <p>(i) Payout of IDCW</p> <p>(ii) Reinvestment of IDCW.</p> <p>A Direct Plan (with the above Options/sub-options) is also available for investors who subscribe to Units directly with the Fund.</p> <p>The Growth Option shall be default Option under the Plans of the Scheme and Reinvestment of IDCW is the default sub-option.</p> <p>The following table details the Plans/Options/Sub-options available in the Scheme and its dividend frequencies:</p> <table><tr><th>Plans</th><th>Options</th><th>Sub-Options</th><th>Frequency of dividend declaration</th><th>Record Date</th></tr><tr><td rowspan="2">Regular and Direct</td><td>Growth</td><td>–</td><td>–</td><td>–</td></tr><tr><td>Income Distribution cum Capital Withdrawal Option (IDCW)</td><td>Payout of IDCW &amp; Reinvestment of IDCW</td><td>From time to time</td><td>As may be decided by the Trustees<sup>^</sup></td></tr></table> <p><sup>^</sup> If such day is a holiday, then the record date shall be the immediately succeeding Business Day.</p> <p>If the actual amount of Payout of IDCW is less than Rs. 100/-, then such dividend will be compulsorily and automatically re-invested by issuing additional units on the exdividend date at applicable NAV.</p> <p>The amount of dividend reinvested will be net of applicable taxes.</p> <p>For detailed disclosure on default plans and options, kindly refer SAI.</p>	Plans	Options	Sub-Options	Frequency of dividend declaration	Record Date	Regular and Direct	Growth	–	–	–	Income Distribution cum Capital Withdrawal Option (IDCW)	Payout of IDCW & Reinvestment of IDCW	From time to time	As may be decided by the Trustees <sup>^</sup>						
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<b>Applicable NAV (after the scheme opens for subscriptions and redemptions)</b>	<p>This is the time before which an investor’s application (complete in all respects) should reach the official points of acceptance.</p> <p>The cut off timings for determining applicable NAVs for subscriptions/redemptions/switch-ins/switch-outs to be made at the Investor Service Centres/Designated Collection Centres (designated as ‘Official Points of Acceptance’ from time to time) are as per the following table:</p> <table><tr><th>Subscription</th><th>Redemption</th><th>Switch In</th><th>Switch Out</th></tr><tr><td>3.00 p.m.</td><td>3.00 p.m.</td><td>3.00 p.m.</td><td>3.00 p.m.</td></tr></table> <p>Where a request for redemption/switch is received after the cut-off time as mentioned above, the request will be deemed to have been received on the next Business Day.</p> <p><b>Applicable NAV for Sale of Units</b></p> <table><tr><th>Particulars</th><th>Applicable NAV</th></tr><tr><td>where the application is received upto 3:00 p.m. on a day and funds are available for utilization before the cut-off time</td><td>closing NAV of the day on which the application is received</td></tr><tr><td>where the application is received after 3:00 p.m. on a day and funds are available for utilization on the same day</td><td>closing NAV of the next business day</td></tr></table> <p><b>Applicable NAV for Repurchase of Units</b></p> <table><tr><th>Particulars</th><th>Applicable NAV</th></tr><tr><td>where the application is received upto 3.00 pm</td><td>closing NAV of the day of receipt of application</td></tr><tr><td>where the application is received after 3.00 pm</td><td>closing NAV of the next business day.</td></tr></table> <p>The Mutual Fund shall calculate NAV for each business day in respect of the above scheme/plan(s) and their plans.</p> <p>Explanation: ‘Business Day’ does not include a day on which the money markets are closed or otherwise not accessible. If the Underlying scheme declare any day as a non-business day, AMC will also declare that day as a non-business day for the Scheme. However, if this information is received by the AMC from the Underlying scheme later in the day and the relevant scheme has already accepted transactions, such transactions will be processed on the next business day.</p>	Subscription	Redemption	Switch In	Switch Out	3.00 p.m.	3.00 p.m.	3.00 p.m.	3.00 p.m.	Particulars	Applicable NAV	where the application is received upto 3:00 p.m. on a day and funds are available for utilization before the cut-off time	closing NAV of the day on which the application is received	where the application is received after 3:00 p.m. on a day and funds are available for utilization on the same day	closing NAV of the next business day	Particulars	Applicable NAV	where the application is received upto 3.00 pm	closing NAV of the day of receipt of application	where the application is received after 3.00 pm	closing NAV of the next business day.
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	<p>Valid applications for 'switch-out' shall be treated as applications for Redemption and valid applications for 'switch-in' shall be treated as applications for Purchase, and the provisions of the Cut-off time, purchase/redemption price, minimum amounts for Purchase/Redemption and the Applicable NAV as applicable to Purchase and Redemption, as mentioned in above paragraph, shall be applied respectively to the 'switch-in' and 'switch-out' applications.</p> <p><b>Note:</b> Repurchase/Redemptions including Switch-outs for Segregated Portfolio is not allowed. However, the unit of Segregated Portfolio will be listed on the recognized Stock Exchange.</p>																				
<b>Minimum Application Amount /Number of Units</b>	<p><b>Minimum amount for purchase/switch-ins/redemption</b></p> <p><b>For Lump sum Investments</b></p> <table><tr><th>Purchase</th><th>Additional Purchase</th><th>Redemption</th></tr><tr><td>Rs. 5,000/- per application and in multiples of Re. 1/- thereafter. Minimum application amount is applicable for switch-ins as well.</td><td>Rs. 1,000/- per application and in multiples of Re. 1/- thereafter.</td><td>Rs. 500/- and in multiples of Re. 1/- thereafter or 50 units and in multiples of 0.01 unit thereafter.</td></tr></table> <p><b>For SIP Investments</b></p> <table><tr><th>Frequency</th><th>Minimum Installment Amount<sup>#</sup></th><th>Minimum number of Installments<sup>#</sup></th><th>SIP Dates</th></tr><tr><td>Weekly</td><td>Rs. 500/-</td><td>Minimum 6 instalments subject to aggregate of Rs. 6,000/-</td><td rowspan="3">Any Dates</td></tr><tr><td>Monthly</td><td>Rs. 1,000/-</td><td>Minimum 6 instalments subject to aggregate of Rs. 6,000/-</td></tr><tr><td>Quarterly</td><td>Rs. 1,500/-</td><td>Minimum 4 instalments subject to aggregate of Rs. 6,000/-</td></tr></table> <p><sup>#</sup> in multiples of Re. 1/- thereafter.</p> <p>Clause 6.10 of SEBI Master circular for Mutual Funds dated June 27, 2024 (Alignment of interest of Designated Employees of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes) has, inter alia mandated that a minimum of 20% of gross annual CTC net of income tax and any statutory contributions of the Designated Employees of the AMCs shall be invested in units of the scheme(s) of the Fund in which they have a role/oversight. The said guidelines came into effect from the October 1, 2021. In accordance with the regulatory requirement, the minimum redemption amount wherever specified in the SID of the Fund will not be applicable for investment made in schemes of the Fund in compliance with the aforesaid circular.</p> <p>The AMC reserves the right to change the minimum application/purchase amount, the minimum additional investment amount and the minimum amount for Redemption/Switches under the Scheme from time to time.</p>	Purchase	Additional Purchase	Redemption	Rs. 5,000/- per application and in multiples of Re. 1/- thereafter. Minimum application amount is applicable for switch-ins as well.	Rs. 1,000/- per application and in multiples of Re. 1/- thereafter.	Rs. 500/- and in multiples of Re. 1/- thereafter or 50 units and in multiples of 0.01 unit thereafter.	Frequency	Minimum Installment Amount <sup>#</sup>	Minimum number of Installments <sup>#</sup>	SIP Dates	Weekly	Rs. 500/-	Minimum 6 instalments subject to aggregate of Rs. 6,000/-	Any Dates	Monthly	Rs. 1,000/-	Minimum 6 instalments subject to aggregate of Rs. 6,000/-	Quarterly	Rs. 1,500/-	Minimum 4 instalments subject to aggregate of Rs. 6,000/-
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<b>Despatch of Redemption Request</b>	<p>As per para 14.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the Fund shall dispatch/transfer the redemption/repurchase proceeds within 5 working days, from the date of acceptance of redemption request at any of the Investor Service Centres.</p> <p>Further, as per AMFI circular no. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023, in case of exceptional situations the AMC might follow the additional timelines for making redemption payments.</p>																				
<b>Benchmark Index</b>	As per AMFI Tier 1 Benchmark Index : MSCI AC Asia Pacific ex Japan TRI																				
<b>IDCW (Dividend) Policy</b>	<p><b>IDCW Distribution Policy</b></p> <p>The Board of Directors of Trustee Company propose to follow the below dividend distribution policy:</p> <p>Declaration of dividend is subject to the availability of distributable surplus. Such dividends if declared, will be paid under normal circumstances, only to those Unitholders who have opted for Income Distribution cum capital withdrawal option (IDCW) with specified sub-options. Further, no entry/exit load shall be charged for units allotted under Reinvestment of IDCW option.</p> <p>However, it must be distinctly understood that the actual declaration of IDCWs under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme, as computed in accordance with SEBI Regulations. The Board of Directors of Trustee Company reserve the right of IDCW declaration and to change the frequency, date of declaration and the decision of the Board of Directors of Trustee Company in this regard shall be final. There is no assurance or guarantee to Unit holders as to the rate of IDCW distribution nor that IDCW will be regularly paid.</p> <p>The IDCW that may be paid out of the net surplus of the Scheme will be paid only to those Unit holders whose names appear in the register of Unit holders on the notified record date. In case of Units held in dematerialized mode, the Depositories (NSDL/CDSL) will give the list of demat account holders and the number of units held by them in demat form on the Record Date to the Registrars and Transfer Agent of the Mutual Fund.</p> <p>The IDCW will be at such rate as may be decided by the AMC in consultation with the Board of Directors of Trustee Company.</p> <p>Investors may please note that amounts distributed under Income Distributable cum capital withdrawal options and sub-options, can be made out of investors capital (Equalization Reserve), which is a part of sale price that represents realized gains.</p> <p>Under the Growth Option, income earned on the Scheme's corpus will remain invested in the Scheme and will be reflected in the Net Asset Value (NAV). Unit holders who opt for this Option will not receive any IDCW in normal circumstances. Under the Income Distribution cum Capital Withdrawal Option (IDCW), it is proposed to distribute IDCWs at regular intervals, subject to availability of distributable profits, as computed in accordance with SEBI Regulations. Investors in the Scheme have the choice of opting for either payout or reinvestment of IDCW, as stated above. Subsequent to the declaration of IDCW, NAV of the Income Distribution cum Capital Withdrawal Option (IDCW) and Growth Option will be different.</p>																				



	<p><b>IDCW Distribution Procedure</b></p> <p>In accordance with Chapter 11 and para 13.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the procedure for IDCW Distribution would be as under:</p> <ul style="list-style-type: none"><li>i. Quantum of IDCW and the record date will be fixed by the Board of Directors of Trustee Company in their meeting. IDCW so decided shall be paid, subject to availability of distributable surplus.</li><li>ii. Within one calendar day of decision by the Board of Directors of Trustee Company, the AMC shall issue notice to the public communicating the decision about the IDCW including the record date, in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the head office of the Mutual Fund is situated.</li><li>iii. Record date shall be the date which will be considered for the purpose of determining the eligibility of investors whose names appear on the register of Unit holders for receiving IDCWs. As para 11.6.1.3 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the record date shall be 2 working days from the date of public notice.</li><li>iv. The notice will, in font size 10, bold, categorically state that pursuant to payment of IDCW, the NAV of the Option would fall to the extent of payout and statutory levy (if applicable).</li><li>v. The NAV will be adjusted to the extent of IDCW distribution and statutory levy, if any, at the close of business hours on record date.</li><li>vi. Before the issue of such notice, no communication indicating the probable date of IDCW declaration in any manner whatsoever will be issued by Mutual Fund.</li></ul> <p>In case of Liquid/Debt Scheme(s), the requirement of giving notice regarding the quantum and record date of the dividend in two newspapers shall not be compulsory for Scheme(s)/Plan(s)/Option(s) having frequency of dividend distribution from daily up to monthly dividend.</p> <p>The IDCW proceeds may be paid by way of IDCW warrants/direct credit/Electronic Funds Transfer (EFT)/any other manner through the investor's bank account specified in the Registrar's records. The AMC, at its discretion at a later date, may choose to alter or add other modes of payment. As per para 11.4 of SEBI Master Circular on Mutual Funds dated June 27, 2024 the AMC shall transfer the dividend proceeds within 7 working days from the record date.</p> <p>If the actual amount of Payout of IDCW is less than Rs. 100/-, then such dividend will be compulsorily and automatically re-invested by issuing additional units on the ex-dividend date at applicable NAV. The amount of dividend reinvested will be net of applicable taxes.</p> <p>Further, AMC may use modes such as speed post, courier etc. for payments to unitholders in addition to the registered post with acknowledgement due.</p> <p>Please refer to the Statement of Additional Information (SAI) and instructions under the Key Information Memorandum cum Application form of the scheme for further details.</p>																																																				
<b>Name of the Fund Manager</b>	Sonal Gupta (Dedicated Fund Manager for overseas investments)																																																				
<b>Name of the Trustee Company</b>	HSBC Trustees (India) Private Limited, a company incorporated under the Companies Act, 2013 with its registered office at 52/60 Mahatma Gandhi Road, Fort, Mumbai - 400001 or any other body corporate acting as Trustee of the Mutual Fund.																																																				
<b>Performance of the scheme</b>	<div><div><p><b>Scheme performance as on October 31, 2024</b></p><table><thead><tr><th rowspan="2">Compounded Annualised Returns</th><th colspan="2">Scheme Returns %</th><th colspan="2">Benchmark Returns %</th></tr><tr><th>Regular Plan</th><th>Direct Plan</th><th>Regular Plan</th><th>Direct Plan</th></tr></thead><tbody><tr><td>Returns for the last 1 year</td><td>28.95</td><td>29.42</td><td>29.30</td><td>29.30</td></tr><tr><td>Returns for the last 3 years</td><td>5.90</td><td>6.55</td><td>3.36</td><td>3.36</td></tr><tr><td>Returns for the last 5 years</td><td>8.23</td><td>8.96</td><td>8.57</td><td>8.57</td></tr><tr><td>Returns since inception</td><td>7.70</td><td>8.45</td><td>8.20</td><td>8.20</td></tr><tr><td colspan="5">Date of Inception: February 24, 2014</td></tr></tbody></table></div><div><p><b>Absolute Returns for each financial year for the last 5 years</b></p><table><thead><tr><th>Financial Year</th><th>HSBC Asia Pacific (Ex Japan) Dividend Yield Fund - Growth</th><th>MSCI AC Asia Pacific ex Japan TRI</th></tr></thead><tbody><tr><td>2019-20</td><td>-7.40</td><td>-7.84</td></tr><tr><td>2020-21</td><td>24.75</td><td>21.71</td></tr><tr><td>2021-22</td><td>-1.79</td><td>-7.49</td></tr><tr><td>2022-23</td><td>-4.71</td><td>-1.46</td></tr><tr><td>2023-24</td><td>7.08</td><td>6.86</td></tr></tbody></table></div></div> <p><b>Past performance may or may not be sustained in the future.</b></p> <p><b>Performance of the benchmark is calculated as per the Total Return Index (TRI).</b></p> <p>Returns are of growth option. The returns for the respective periods are provided as on Last business day of October, 2024. Returns 1 year and above are Compounded Annualized. Standard benchmark is prescribed by SEBI and is used for comparison purposes. Different plans shall have a different expense structure.</p>	Compounded Annualised Returns	Scheme Returns %		Benchmark Returns %		Regular Plan	Direct Plan	Regular Plan	Direct Plan	Returns for the last 1 year	28.95	29.42	29.30	29.30	Returns for the last 3 years	5.90	6.55	3.36	3.36	Returns for the last 5 years	8.23	8.96	8.57	8.57	Returns since inception	7.70	8.45	8.20	8.20	Date of Inception: February 24, 2014					Financial Year	HSBC Asia Pacific (Ex Japan) Dividend Yield Fund - Growth	MSCI AC Asia Pacific ex Japan TRI	2019-20	-7.40	-7.84	2020-21	24.75	21.71	2021-22	-1.79	-7.49	2022-23	-4.71	-1.46	2023-24	7.08	6.86
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<b>Additional Scheme Related Disclosures</b>	<ul style="list-style-type: none"><li>i. Scheme's portfolio holdings top 10 holdings by issuer and fund allocation towards various sectors. Refer to the weblink (<a href="#">Top 10 holdings and Fund allocation towards various sectors</a>) for Scheme's portfolio holdings.</li><li>ii. Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds through a functional website link that contains detailed description – Not Applicable for this scheme</li></ul>																																																				

	iii. The Portfolio Turnover Ratio of the scheme - This being a Fund of Fund scheme, disclosure of Portfolio Turnover is not applicable.											
Expenses of the Scheme Load Structure	<b>Continuous Offer</b> <b>Exit Load:</b> <ul style="list-style-type: none"><li>In respect of each purchase/switch-in of Units, an Exit Load of 1% is payable if Units are redeemed/switched-out within 1 year from the date of allotment.</li><li>No Exit Load will be charged, if Units are redeemed/switched-out after 1 year from the date of allotment.</li><li>No Exit load will be chargeable in case of switches made between different options of the Scheme.</li><li>No Exit load will be chargeable in case of Units allotted on account of IDCW reinvestments, if any.</li><li>Exit load is not applicable for Segregated Portfolio.</li></ul> Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributors. The exit load set forth above is subject to change at the discretion of the AMC and such changes shall be implemented prospectively.											
Recurring expenses	<p>These are the fees and expenses for operating the scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc.</p> <p>The total recurring expenses of the Scheme shall be as per the limits prescribed under sub-regulation 6 and 6A of Regulation 52 of the SEBI Regulations and shall not exceed the limits prescribed there under as a percentage limit of daily net assets in the table below:</p> <p>The AMC has estimated that as per the Regulation 52(6)(a)(ii), the total expense ratio that can be charged to the scheme including weighted average of the total expense ratio of underlying scheme(s) shall not exceed 2.25% of daily net assets of the Scheme.</p> <p>Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme(s) shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme(s), subject to the overall ceilings stated above.</p> <p>Further, as per current Regulation 52 (6A), the additional recurring expenses that can be charged to Scheme shall be subject to a percentage limit of daily net assets of the scheme.</p> <p><b>This being a fund of funds Scheme, the investors should note that the expenses to be borne by the investor include the recurring expenses of the Underlying scheme in which Fund of Funds Scheme makes investments subject to the maximum limits prescribed under sub-regulation 6 &amp; 6A of Regulation 52 of the SEBI Regulations.</b></p> <p>For the actual current expenses being charged, the investor should refer to the website of the mutual fund.</p> <p><b>Actual expenses for the previous financial year ended March 31, 2024 are as under:</b></p> <table><tr><th>Plan</th><th>Total Expenses (in Rs.)</th><th>% to Net Assets</th></tr><tr><td>HSBC Asia Pacific (Ex-Japan) Dividend Yield Fund – Regular Plan</td><td>492,379.30</td><td>1.35%</td></tr><tr><td>HSBC Asia Pacific (Ex-Japan) Dividend Yield Fund – Direct Plan</td><td>313,796.69</td><td>0.82%</td></tr></table> <p>Expenses of underlying scheme is 0.65% of the net assets of HEHDF (in addition to the expenses of Regular and Direct Plan as stated above).</p> <p>The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.</p>			Plan	Total Expenses (in Rs.)	% to Net Assets	HSBC Asia Pacific (Ex-Japan) Dividend Yield Fund – Regular Plan	492,379.30	1.35%	HSBC Asia Pacific (Ex-Japan) Dividend Yield Fund – Direct Plan	313,796.69	0.82%
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Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to your tax advisor.											
Daily Net Asset Value (NAV) Publication	NAV of the Scheme/Option(s) shall be made available at all Investor Service Centers of the AMC. The AMC shall update the NAVs under a separate head on the website of the Fund <a href="http://www.assetmanagement.hsbc.co.in">www.assetmanagement.hsbc.co.in</a> and of the Association of Mutual Funds in India - AMFI ( <a href="http://www.amfiindia.com">www.amfiindia.com</a> ) by 10.00 a.m. on the next Business Day. NAV of the Segregated Portfolio, if any, shall be declared on daily basis.											
For Investor Grievances, please contact	<table><tr><th>Name and Address of Registrar</th><th>Name and Address of Mutual Fund</th></tr><tr><td><b>Computer Age Management Services Limited (CAMS)</b> HSBC Mutual Fund Unit Rayala Tower-I, 158, Anna Salai, Chennai 600002.</td><td>Mr. Ankur Banthiya is currently designated as the Investor Relations Officer. His contact details are as follows:  <b>HSBC Asset Management (India) Private Limited</b> Address: Unit No. 62, 1st Floor, Parade View, Rukmani Lakshmipathi Salai, Egmore, Chennai, Tamil Nadu-600008, India Tel. : 1800-200-2434/1800-4190-200 Email: <a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a></td></tr></table>	Name and Address of Registrar	Name and Address of Mutual Fund	<b>Computer Age Management Services Limited (CAMS)</b> HSBC Mutual Fund Unit Rayala Tower-I, 158, Anna Salai, Chennai 600002.	Mr. Ankur Banthiya is currently designated as the Investor Relations Officer. His contact details are as follows:  <b>HSBC Asset Management (India) Private Limited</b> Address: Unit No. 62, 1st Floor, Parade View, Rukmani Lakshmipathi Salai, Egmore, Chennai, Tamil Nadu-600008, India Tel. : 1800-200-2434/1800-4190-200 Email: <a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>							
Name and Address of Registrar	Name and Address of Mutual Fund											
<b>Computer Age Management Services Limited (CAMS)</b> HSBC Mutual Fund Unit Rayala Tower-I, 158, Anna Salai, Chennai 600002.	Mr. Ankur Banthiya is currently designated as the Investor Relations Officer. His contact details are as follows:  <b>HSBC Asset Management (India) Private Limited</b> Address: Unit No. 62, 1st Floor, Parade View, Rukmani Lakshmipathi Salai, Egmore, Chennai, Tamil Nadu-600008, India Tel. : 1800-200-2434/1800-4190-200 Email: <a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>											
Unitholders' Information	<b>Account Statement:</b> <ul style="list-style-type: none"><li>The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number (whether units are held in demat mode or in account statement form).</li><li>A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month.</li></ul> The default mode for dispatch of Consolidated Account Statement will be email.											

	<p>iii. Half-yearly CAS shall be issued at the end of every six months (i.e. September/March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.</p> <p>For further details, refer SAI.</p> <p><b>Periodic Disclosure:</b></p> <p><b>i. Half Yearly Portfolio Disclosures</b></p> <p>The AMC shall disclose portfolio of the Scheme (along with ISIN and yield of the instruments) as on the last day of every half year, within 10 days of close of each half-year on its website and on the website of AMFI in a user-friendly and downloadable spreadsheet format.</p> <p>Kindly refer (weblink - <a href="#">Half Yearly Portfolio</a>) for half yearly portfolio disclosures.</p> <p><b>ii. Half yearly Disclosures: Financial Results</b></p> <p>The Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on AMC's website, containing details as specified in Twelfth Schedule of the Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund.</p> <p>Kindly refer (weblink - <a href="#">Half yearly Results</a>) for half yearly Financial Results.</p> <p><b>iii. Annual Report</b></p> <p>A Scheme wise Annual Report/abridged summary thereof shall be provided to all Unitholders as soon as may be but not later than 4 months from 31 March of each year.</p> <p>The abridged/full Scheme wise Annual Report shall contain such details as are required under the Regulations/Circulars issued thereafter.</p> <p>The Fund shall provide the Scheme wise annual report/abridged summary thereof as under:</p> <ul style="list-style-type: none"> <li>(i) By hosting the same on the websites of the AMC and AMFI;</li> <li>(ii) The physical copy of the scheme wise annual report/abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund.</li> <li>(iii) By e-mailing the same to those Unit holders' whose e-mail address is registered with the Fund.</li> </ul> <p>Unit holders are therefore requested to update their email address with the Fund to receive annual reports through email.</p> <p>The AMC shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on its website and on the website of AMFI. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi. Further, AMC shall provide modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof.</p> <p>Kindly refer (weblink - <a href="#">Scheme Annual Report</a>) for Annual report .</p> <p>For further details, refer SAI.</p>
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*Notwithstanding anything contained in the SAI, Scheme Information Document and Key Information Memorandum of the Scheme(s) the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Investors can also obtain further changes after the date of this Key Information Memorandum from the Mutual Fund/Investor Service Centres or distributors.*

Date: November 29, 2024.

## Important Instructions

- 1) Please refer to the SID, SAI and the KIM carefully before filling the Application Form.
- 2) Please refer the sections on "Who can invest" and "Who cannot invest" for a list of eligible investors in the SID. Applications from US Person or Canada residents will not be accepted.
- 3) All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- 4) Investors are requested to use the services of AMFI certified Distributors empanelled with the AMC. The AMC shall not be liable to an Investor, with respect to investments made through non-empanelled Distributors.

If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for "ARN Number" in the Application Form/Transaction Form. Any subsequent change/update/removal of broker code will be based on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

**Employee Unique Identification Number (EUIIN):** Para 15.11 of SEBI Master Circular on Mutual Funds dated June 27, 2024, required creation of a unique identity number of the employee/relationship manager/sales person of the distributors interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This has been recommended by SEBI in order to avoid any instance of mis-selling, particularly in advisory based transactions. This would further help tackle the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor. Due to this regulatory change, all employees of distributors who are involved in sale of mutual fund products are required to obtain an Employee Unique Identification Number (EUIIN). Applications received without a valid EUIIN and/or valid sub-broker code(should be a valid ARN and not an internal code) are subject to rejection by the fund.

### 5) Identification of Ultimate Beneficial Owner (UBO)

As per SEBI circular dated January 24, 2013 read with SEBI Circular dated October 13, 2023, non-individuals and trusts are required to provide details of controlling persons [CP/ultimate beneficiary owner (UBO)] and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### C. Exemption in case of listed companies/foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with

foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular dated October 13, 2023, for the purpose of identification of beneficial ownership of the client.

### D. KYC requirements

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

### E. Controlling Person Type [CP/UBO] Codes:

CP/UBO Code	Description	CP/UBO Code	Description
C01	CP of legal person-ownership	C08	CP of legal arrangement-trust-other
C02	CP of legal person-other means	C09	CP of legal arrangement-trust-other-settlor equivalent
C03	CP of legal person-senior managing official	C10	CP of legal arrangement-trust-other-trustee-equivalent
C04	CP of legal arrangement-trust-settlor	C11	CP of legal arrangement-trust-other-protector equivalent
C05	CP of legal arrangement-trust-trustee	C12	CP of legal arrangement-trust-other-beneficiary-equivalent
C06	CP of legal arrangement-trust-protector	C13	CP of legal arrangement-trust-other-other-equivalent
C07	CP of legal arrangement-trust-beneficiary	C14	Unknown

### 6) Applications under Power of Attorney/Body Corporate/Registered Society/Trust/Partnership

The original Power of Attorney or a duly notarised copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

A company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the schemes should furnish a certified copy of resolution or authority to make the application as the case may be and a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or Trust Deed and/or Partnership Deed and certificate of registration or any other document as the case may be. In case of a Trust/Fund, it shall submit a certified true copy of the resolution Important Instructions from the Trustee(s) authorising such purchases. The officials should sign the application under their official designation and furnish a list of authorised signatories. All communications and payments shall be made to the First Applicant only.

### 7) Prevention of Money Laundering and Know Your Client (KYC) Norms

KYC (Know Your Customer) norms are mandatory for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor for transacting in Mutual Funds. Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the unit holders (including POA holder) have not completed KYC requirements. Investors are requested to note that all investors are required to be KRA (KYC Registration Agency) KYC compliant. Those investors who had obtained MF KYC compliance through CVL (KYC registration authority till 31 December 2011) are required to submit necessary supporting(s) and update the missing information to be in compliance with the uniform KYC requirement laid down by SEBI.

For investors who have not completed KYC compliance through KRA, any application received without the requisite KYC information will be rejected. However, investors who have obtained KRA KYC compliance, as well as existing investors of the Fund who have registered their KYC details with the Fund shall be required to submit the additional KYC information to the Fund, only in the event of change in their occupation or income details. Kindly use the updated application forms or the separate KYC form of the Fund, available at ISCs or on the Fund's website for updating the additional information. The AMC reserves the right to reject the application and refund the application amount, post acceptance of the application, in the event that the required KYC information is not provided or not found adequate.



### Implementation of Central KYC (CKYC)

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form. New individual investors investing into the Fund are requested to note the following changes, from February 1, 2017.

1. New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system, will be required to fill the new CKYC form while investing with the Fund.
2. If any new individual investor uses the old KRA KYC form which does not have all the information needed for registration with CKYC, such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.
3. Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC Registry can invest in schemes of the Fund quoting their 14 digit KIN in the application form. Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card will need to be provided.

### 8) Third Party Payments

- a) Third party payments (i.e where payment is made from a source other than that of the first holder) will not be accepted by the Fund, except if made under the following exceptional categories, namely i) as gift by parents/grandparents/related persons in favour of minor, not exceeding Rs. 50,000/-, ii) employer on behalf of employee as payroll deductions or deductions out of expense reimbursements for SIP/Lumpsum investments, iii) Custodian on behalf of FPI/client and iv) Payment by Asset Management Company (AMC) to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or Lumpsum Investment (w.e.f January 16, 2012). v) Payment by a Corporate to its Agent/Distributor/Dealer, on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment (w.e.f. April 20, 2015). In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment. (Declaration formats can be obtained from ISCs or downloaded from the Fund's website.)
- b) In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may pre-register their bank accounts (single/multiple) with the AMC/RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third party payment.
- c) Where the payment instrument does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holders name should be attached, in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs. 50,000 or more.

For RTGS/NEFT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.

- d) The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents/declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund orders will be marked "A/c. payee only" and will be in favour of and be despatched to the Sole/First Applicant, by courier/speed post/registered post.
- 9) Subject to the SEBI (MF) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit holders to accept such an application.

### 10) NRIs, Persons of Indian Origin, FPIs

The Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) Regulations, 2000 (the "FEMA Regulations") permit a NRI /POI to purchase on repatriation or non-

repatriation basis, without limit, units of domestic mutual funds. Payment for such units must be made either by cheque or demand draft by means of: (i) inward remittance through normal banking channels; or (ii) out of funds held in the NRE/FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE/FCNR/NRO account, in the case of purchases on a non-repatriation basis.

Investments by NRIs /FPIs shall be in accordance with the prevailing laws governing such investments.

Payment by the FPI must be made either by inward remittance through normal banking channels or out of funds held in foreign currency account or non resident rupee account maintained by the FPI with a designated branch of an authorised dealer in terms of paragraph 2 of Schedule 2 to the FEMA Regulations.

### 11) Confirmation under the Foreign Account Tax Compliance Act (FATCA) for determining US person status (MANDATORY for ALL unitholders)

The United States Department of the Treasury and the US Internal Revenue Service (IRS) has introduced The Foreign Account Tax Compliance Act (FATCA), effective 1 July 2014. The purpose of FATCA is to report financial assets owned by United States persons to the United States tax authorities. Accordingly, Government of India may collect information from banks and financial institutions and onward submit it to United States authorities.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request confirming their status against a list of US indicia's. The indicia's are to identify a United States Person as defined under the Laws of the United States of America. The absence of these completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by AMFI/SEBI. We may also be required to report information relating to these folios to the authority established by the Government of India.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on the fund website). AMC reserves the right to seek additional information/documents in order to ascertain your status.

### 12) Nomination Details

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
5. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis). Nomination shall be optional for jointly held Mutual Fund folios. However if single mode of holding kindly provide nomination or fill in the Opt out form.
6. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
7. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
8. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
9. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
10. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation/claim settlement shall be made equally amongst all the nominees.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/account.
12. Nomination shall stand rescinded upon the transfer of units.
13. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination

will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.

**14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/Mutual Fund/Trustees against the legal heir(s).**

15. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.

16. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

17. HSBC Mutual Fund, the AMC reserves the right to seek information and/or obtain such other additional documents/information/due diligence for establishing the identity of the nominee.

## Instructions for filling up the Application Form

### 1. General Information

- (i) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box ( ☐ ), where boxes have been provided.
- (ii) Please do not overwrite. For any correction / changes (if any) made on the application form, applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signed by the sole / all applicants.
- (iii) In view of the RBI Circular - DPSS.CO.CHD.No. 1832/01.07.05/2009-10 dated 22nd February 2010, kindly note the following:  
No changes / corrections should be carried out on the cheques. For any change in the payee's details, amount in figures or amount in words, etc., fresh cheque would be required. Cheque with alterations / corrections will not be honoured effective 1st July, 2010.

### 2. Applicant's Information

Applicants must provide all the details under Section 2 of the Application Form.

- (i) Name and address must be given in full (P.O. Box Address alone is not sufficient).
- (ii) Name and Date of Birth (DOB) for all the holders (including Guardian incase of Minor) should match with PAN as per Income Tax records.
- (iii) Email ID and Mobile number provided in the application form should be of the primary unit holder for speed and ease of communication. Where email ID and Mobile number is not provided the same will be updated from KRA records.
- (iv) Non-Resident Investors and Foreign Nationals should mandatorily state their complete overseas address in the application form else the application will be rejected. Further, Investors are requested to note that in case information with regard to US Person or Canada Resident is subsequently received from the investor by way of change of address or obtained from KRA database, the AMC at a later date reserves the right to redeem the investments after providing due notification to such investor.
- (v) Please provide the name of the Contact Person in case of investments by a Company/Body Corporate/Partnership Firm/Trust/Society/FPIs/Association of Persons/Body of Individuals.
- (vi) If the application is on behalf a Minor, the Guardian's Name and date of birth of the Minor should be provided.
- (vii) The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- (viii) Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only, else the transaction is liable to get rejected.
- (ix) Existing unit holders are requested to review the Bank Account registered in the folio and ensure that the registered Bank Mandate is in favour of minor or joint with registered guardian in folio. If the registered Bank Account is not in favour of minor or not joint with registered guardian, unit holders will be required to submit the change of bank mandate, where minor is also a bank account holder (either single or joint with registered guardian), before initiation of any redemption transaction in the folio, else the transaction is liable to get rejected.

### 3. Bank Account Details / Multiple Bank Account Registration

Investors to ensure the name in the application form and in their bank account are the same. Applicants should provide the name of the bank, branch address, account type and account number of the Sole / First Applicant. As per SEBI guidelines, **it is mandatory for investors to mention their bank account details in the Application Form.** AMC will endeavour to directly credit redemption / dividend proceeds in customer's bank account wherever possible.

**For NRI investors** - Kindly also provide the FIRC letter from your banker (i.e. source of funds confirmation) if your account type is NRE.

*Applications without this information will be rejected.*

#### Multiple Bank Accounts Registration / Deletion Facility:

1. The fund offers it's investors' facility to register multiple bank accounts for pay-in & payout purposes and designate one of the bank account as "Default Bank Account". This facility can be availed by using a designated **Multiple Bank Accounts Registration / Deletion Form** (available at the ISCs or downloaded from the Fund's website). In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any

of other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividends and redemptions payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.

2. **Bank Account Details with Redemption Request:** Please note the following important points related to payment of redemption proceeds:

- a. Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.
- b. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used.
- c. Updation of Bank Account in a customer's account/folio should be submitted either using the Multiple Bank Account Registration Form or the standalone Change of Bank Mandate form only. Hence, any form containing redemption request will not have the facility to change the bank mandate or update a new bank mandate.

For the purpose of changing their bank mandate or updating a new bank mandate. Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for the list of documents for updation of new bank mandate.

The Fund will continue to follow a cooling period policy whenever any change of Bank Mandate request is received / processed few days prior to submission of a redemption request.

- d. Any request for change of bank mandate details will be entertained only if the Unit Holder provides any of the following documents along with the designated Multiple Bank Account Registration / Deletion form or a standalone separate Change of Bank Mandate form :

Any one of the following document to be provided for Existing (Old) as well as New Bank account :

- Cancelled original cheque leaf with first Unit Holder name and bank account number printed on the face of the cheque OR
- Copy of Bank Passbook having the name, address and account number of the account holder OR
- Bank Statement (issued within 3 months for new bank, in case of old bank account the date of statement will not be applicable).

**Important :** The above documents should be either in original or copy to be submitted along with original produced for verification. In case if documents for the existing bank account are not available, kindly visit HSBC/CAMS office for In Person Verification along with PAN Card Copy/Photo Identification Proof for PAN Exempt cases. All documents to be self attested. Kindly carry originals for adding a new bank.

- e. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within ten days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.
- f. Unitholder(s) are strongly advised to register their various bank accounts and continuously update the bank account details with the mutual fund, using this facility well in advance and specify any one of registered bank account for payment of redemption proceeds with each redemption request. If any of the registered bank accounts are closed/alterd, please intimate such change with an instruction to delete/alter it from of our records using this form.
- g. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
- h. If in an NRI folio, subscription investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/NRO/NRE.
- i. HSBC Mutual Fund, the AMC or its registrar and other service providers shall not be held liable for any loss arising to the unit

holder(s) due to the credit of the redemption proceeds into any of the bank accounts registered in the folio.

- j. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.
  - k. In case a redemption request is received before the change of bank details has been validated and registered, the redemption request would be processed to the currently registered (existing on fund records) bank account. In such a case the Trustee/AMC will not be responsible in case of old bank mandate being frozen/locked by the bank for any purpose including non-maintenance of adequate balance.
3. **Bank Mandate Registration as part of new folio creation:** Investor(s) or Unit Holder(s) are requested to note that any one of the following documents shall be submitted by the investor(s) or Unit Holder(s), in case the cheque/Fund Transfer Request provided along with fresh subscription/new folio creation does not belong to the bank mandate specified in the application form:
- Cancelled original cheque leaf with first Unit Holder name and Bank account number printed on the face of the cheque OR
  - Copy of Bank Passbook having the name, address and account number of the account holder OR
  - Bank Statement (issued within 3 months for new bank, in case of old bank account the date of statement will not be applicable).

**Important :** The above documents should be either in original or copy to be submitted along with original produced for verification. Kindly visit HSBC/CAMS office with originals for verification. All documents to be self attested.

Where such additional document(s) are not provided for the verification of bank account, the AMC reserves the right to capture the bank account used towards subscription for the purpose of redemption and dividend payments.

#### 4. Investment and Payment Details

- (i) The application amounts can be tendered by cheque/demand drafts payable locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques/stockinvests/postal orders/money orders/cash will not be accepted.
- (ii) All cheques and bank drafts must be drawn in the name of the Scheme and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application. All cheques and bank drafts accompanying the application form should contain the application form number/folio number on its reverse.  
Please note that amount in words and figures on the cheque should not be in local languages.
- (iii) Bank charges for outstation demand drafts will be borne by the AMC and units will be allotted inclusive of the DD charges incurred. The above will be limited to the bank charges as per table below.

Amount	DD Charges
Upto Rs. 10,000/-	At actuals, subject to a maximum of Rs. 65/-
Above Rs. 10,000/-	At Rs. 3.50/- per Rs. 1,000/-. Minimum Rs. 65/- and Maximum Rs. 12,500/-

However, such Demand Draft charges would be borne by the AMC only when the investor is not residing in any of the locations where the AMC or CAMS have official points of acceptance and the Demand draft has not been issued at the AMC/CAMS point of acceptance. Such demand drafts should be payable at the AMC/CAMS location where the investment application is submitted. The AMC will not entertain any request for refund of demand draft charges.

- (iv) If no indication is given for the investment the default Option will be as follows:

Indication not made / incorrectly made	Default##
Scheme Name	As indicated on the Application Form / Transaction Slip
Direct plan ticked (irrespective whether broker code written on the application or not)	Units will be allotted under "Direct Plan"
Distributor code is Incorrect or left blank or "Direct"	Units will be allotted under "Direct Plan"
Growth / Income Distribution cum capital withdrawal Option / Sub-options	Growth Option / Sub-option

Indication not made / incorrectly made	Default##
Income Distribution cum capital withdrawal Payout / Reinvestment	Income Distribution cum capital withdrawal Reinvestment
Mode of holding (in cases where there are more than one applicant)	Joint
Status of First Applicant (Individual, HUF, Company etc.)	Others#
Demat Account Details*	Units will be held in physical mode

\* For Investors, who wish to opt for Demat mode, the applicants under the Scheme (including a transferee) will be required to have a beneficiary account with a DP of NSDL/CDSL and will be required to indicate in the application the DP's name, DP ID Number and its beneficiary account number with DP. In the absence of the information (including incomplete information/incorrect) in respect of DP ID/BO ID, the application will be processed with statement option as 'physical' only.

# Tax rates (including the tax on dividend distribution) wherever applied on 'others' by HSBC Mutual Fund shall be the same as applicable to a Resident Indian Company.

## Any investments or switch-in requests received in the name of the discontinued Plans will be processed under the available single Plan. For more details please visit our website at [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in).

With regard to Broker Code, default Plan as per the following table will apply to investors.

Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

#### PAYMENT MECHANISM

##### A) Lumpsum Investment

- a) All cheques and bank drafts must be drawn in the name of the respective Scheme(s) and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application.

##### Subscription through RTGS/NEFT:

Subscription through RTGS/NEFT can be done ONLY into the account maintained with HSBC Bank Ltd as per the details provided below:

Branch	52/60, M G Road, Fort, Mumbai
Account Type	Current Account
RTGS IFSC Code	HSBC0400002
NEFT IFSC Code	HSBC0400002
Beneficiary Account Name	HSBC Asia Pacific Dividend Yield Fund - Collection
Account Number	110-231743-004

##### B) SIP Investment

- a) Unitholders of the Scheme can benefit by investing specific rupee amounts periodically, for a continuous period. SIP allows the investors to invest a fixed amount every month or quarter for purchasing additional Units of the Scheme at NAV based prices. The requirement of 'Minimum Amount for Application' will not be applicable in case of SIPs.

In case an investor wishes to invest through the SIP mode, the investor is required to provide:

- a mandate form to enable SIP debits either through NACH or such other facilities as may be provided by the AMC along with a copy of the cancelled cheque leaf with name of the unit holder pre-printed.

For details of the Terms and Conditions for SIP Investment please refer to the Instructions section in the SIP Form.



Minimum application amount and number of instalments:

Frequency	Minimum Installment Amount <sup>#</sup>	Minimum number of Installments <sup>#</sup>	SIP Dates
Weekly	Rs. 500/-	Minimum 6 instalments subject to aggregate of Rs. 6,000/-	Any Dates ⇨
Monthly	Rs. 1,000/-	Minimum 6 instalments subject to aggregate of Rs. 6,000/-	
Quarterly	Rs. 1,500/-	Minimum 4 instalments subject to aggregate of Rs. 6,000/-	

<sup>#</sup> in multiples of Re. 1/- thereafter.

⇨ In case investor has missed to tick the date for Monthly and Quarterly frequency then the default date will be considered as 10th. Similarly, in case of Weekly SIP frequency the Default day will be considered as Wednesday. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next available Business Day. If the choice of date selected is more than one date then the SIP installment will be processed only for Business Days when NAV is available. No SIP installment shall be processed for Saturday, Sunday or any other non-business day.

- b) The cheque for the first SIP installment can carry any date. The first installment of the SIP will be processed subject to applicable NAV & load, if any, on the date of receipt of the application form. The second installment in case of monthly SIP will be processed on any date as mentioned by the investor. If the choice of date for the second installment is not indicated by the investor, the second installment of SIP will be processed on the 10th of every month. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next available Business Day.

In case of quarterly SIP, the date for next instalment will be 10th of the relevant month. If any of above dates fall on a holiday, the transaction will be taken as of the next Business Day. Please refer to the Load Structure of each Scheme for details of applicable loads. Outstation cheques will not be accepted.

- c) The cheques should be drawn in the name of the Scheme and crossed "Account Payee only" and must be payable at the locations where the applications are submitted at the Investor Service Centres. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected.
- d) You can choose multiple SIP dates in the Auto Debit Form in case you wish to make the SIP investment on multiple dates each month.
- e) If SIP Form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits will not happen and SIP instruction shall be rejected.
- f) In case of rejection of SIP Form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- g) In case the Frequency (Weekly/Monthly/Quarterly) and SIP date is not indicated, Monthly frequency shall be treated as the Default Frequency, 10th shall be treated as the Default date.
- h) In case of investments under the SIP, if 3 consecutive payment instructions provided by the investor are dishonored for insufficiency of funds, the AMC reserves the right to discontinue the SIP.
- i) SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The SIP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- j) Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar CAMS. Please note that effect from December 1, 2024 it would take T + 2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T + 2 Business days. The AMC reserves the right to introduce/discontinue SIP/variants of SIP from time to time.

### C) SIP under Micro Financial Product category

In accordance with guidelines issued by AMFI vide its circular dated July 14, 2009 SIPs up to Rs. 50,000/- per year per investor i.e. aggregate of investments in a rolling 12 months period or in a financial year i.e. April to March (hereinafter referred to as "Micro SIP") shall be exempted from the

requirement of PAN, with effect from August 1, 2009. This exemption shall be applicable only to investments by individuals (excluding PIOs), Minors and Sole proprietary firms including joint holders. HUFs and other categories of investors will not be eligible for this exemption. Micro SIP investors will require to be KYC compliant by fulfilling the uniform KRA KYC formalities and submit the requisite documents along with the investment application.

Micro SIP investors will be subject to uniform KRA KYC process. This exemption on PAN shall not be applicable to normal purchase transactions up to Rs. 50,000/- which will continue to be subject to the PAN requirement.

Along with the KRA KYC acknowledgement and a proof of address, any one of the following photo identification documents can be submitted along with Micro SIP applications as proof of identification in lieu of PAN:

• Voter Identity Card • Driving License • Government / Defense identification card • Passport • Photo Ration Card • Photo Debit Card • Employee ID cards issued by companies registered with Registrar of Companies • Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament • ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks • Senior Citizen / Freedom Fighter ID card issued by Government • Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI • Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL) • Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO.

Where photo identification documents contains the address of the investor, a separate proof of address is not required.

The photo identification document and the proof of address have to be current and valid and also to be either self attested or attested by the ARN holder (AMFI Registered Distributor) mentioning the ARN number.

While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting document. The Micro SIP application will be rejected by the AMC where it is found that the registration of the application will result in the aggregate of Micro SIP installments in a financial year exceeding Rs.50,000 or where there are deficiencies in the documents submitted by the investors in lieu of PAN as mentioned above. The rejected application will be sent back to the investor with a deficiency memo. In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds shall be made by the AMC for the units already allotted and a communication to this effect will be sent to the investors. However, investors shall be allowed to redeem their investments at applicable NAV.

### 5. Systematic Transfer Plan

1. The STP allows the investors to transfer sums of money each month / quarter / half-year / annual basis from his investments in the Scheme.
2. If your STP request specifies both amount and units, the STP will be processed on the basis of amount.
3. If the scheme / plan / option is not mentioned and there is only one scheme / plan / option available in the folio, the same will be processed.
4. If no debit date is mentioned default date would be considered as 10th of every Month / Quarter / Half Year / Annual basis i.e. 10th of the subsequent month after SIP registration. Wednesday will be the default day. In case of Fortnightly STP the default dates will be 1st and 15th of the month.
5. In case the criterion of the minimum amount for the purpose of transfer of units under the STP facility is not met, the AMC reserves the right to discontinue the STP / cancel the registration for STP.
6. The minimum amount for transfer under the STP facility shall be ₹ 500/-. The minimum amount required under the source scheme for registering STP is ₹ 6,000.
7. Daily STP is available only for fixed amounts and not available for capital appreciation.

### 6. Transaction Charges

In accordance with as para 10.5. of SEBI Master Circular on Mutual Funds dated June 27, 2024 allows the Fund will deduct Transaction Charges from the investment amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges for a specific product type). Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase / subscription of Rs. 10,000 and above are deductible from the purchase / subscription amount and payable to the Distributor. The balance amount shall be invested.

#### Transaction Charges in case of Investments through SIP:

Transaction Charges in case of investments through SIP are deductible only if the total commitment of investment (i.e. amount per SIP instalment x No. of instalments) amounts to Rs. 10,000 or more. In such cases, Transaction Charges shall be deducted in 3-4 instalments.

Transaction Charges shall not be deducted:

- (a) where the Distributor of the investor has not opted to receive any Transaction Charges for the specific product category;
- (b) for purchases / subscriptions / total commitment amount in case of SIP of an amount less than Rs. 10,000/-;
- (c) for transactions other than purchases / subscriptions relating to new inflows i.e. through; Switches / Systematic Transfers / IDCW Transfers / IDCW Reinvestment, etc.;
- (d) for purchases / subscriptions made directly with the Fund (i.e. not through any Distributor);
- (e) for purchases / subscriptions routed through Stock Exchange(s).

First / Sole Applicant / Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose in the application form. The AMC / Fund will endeavor to identify the investor as "first time" or "existing" based on the Permanent Account Number (PAN) at the First / Sole Applicant / Guardian level. If the PAN details are available, then the First / Sole Applicant / Guardian will be treated as existing investor (i.e. Rs. 100 will be deducted as Transaction Charge) else the declaration in the application form will be considered (i.e. INR. 150 for first time investors or INR. 100 for other than first time investors will be deducted as Transaction Charge, as applicable). However, if an investor has not provided any declaration, he will be considered as an "existing" investor.

Investors are required to be KYC compliant as per the current KYC regimes. For more details please refer our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in).

#### 7. Mode of Holding

**Demat / Non-Demat Mode:** Investors have the option to hold the units in demat form. Please tick the relevant option of Yes/No for opting/not

opting units of the Plan in demat form. If no option is exercised, "No" will be the default option.

Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.

The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

Unitholders who intend to avail of the facility to trade in units are required to have a Demat Account. Unitholders holding units in SOA form and desires to trade in the units, can do so by dematerialising the SOA through depositories.

For conversion of Mutual Fund units represented by SOA into dematerialized form or vice-a-versa, the unitholders are required to approach depositories. Currently, the units are listed at National Stock Exchange (NSE).

#### 8. Declaration and Signatures

- a) Signature should be in black or blue ink only.
- b) Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his / her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
- c) Applications on behalf of minors should be signed by their Guardian.

HSBC Mutual Fund / AMC, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund / AMC.

## CHECKLIST FOR DOCUMENTATION

Documents	Individuals	NRIs	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration				✓	✓			✓	✓	
2. Resolution/Authorisation to invest				✓	✓	✓		✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	✓
4. Memorandum & Articles of Association				✓						
5. Trust Deed					✓					
6. Bye-Laws						✓				
7. Partnership Deed/Deed of Declaration							✓	✓		
8. Notarised Power of Attorney										✓
9. Proof of PAN (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10. Proof of KYC/CKYC - KIN number	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
11. Overseas Auditor's Certificate (applicable for DTAA)		✓							✓	
12. Foreign Inward Remittance Certificate		✓							✓	
13. Date of Birth Certificate or School Living Certificate or Passport of Minor			✓							
14. Document evidencing relationship with Guardian			✓							
15. Declaration for Identification of Beneficial ownership				✓	✓	✓		✓	✓	
16. FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

## OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS

Please check our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund.

### CAMS SERVICE CENTRES/CAMS LIMITED TRANSACTION POINTS/CAMS COLLECTION CENTRES

For details on CAMS Service Centres, please visit [www.camsonline.com](http://www.camsonline.com)

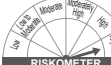

### TOLL FREE NUMBERS

Description	Toll Free Number	Email ID
Investor related queries	1800-4190-200 / 1800-200-2434	investor.line@mutualfunds.hsbc.co.in
Distributor related queries	1800-419-9800	partner.line@mutualfunds.hsbc.co.in
Online related queries	1800-4190-200 / 1800-200-2434	onlinemf@mutualfunds.hsbc.co.in
Investor (Dialing from abroad)	+91 44 39923900	investor.line@mutualfunds.hsbc.co.in



(To be Filled in BLOCK LETTERS only)

(An open ended fund of funds scheme investing in HSBC Global Investment Funds - Asia Pacific Ex Japan Equity High Dividend Fund)

<p><b>This product is suitable for investors who are seeking*:</b></p> <ul style="list-style-type: none"> <li>▶ To create wealth over long-term</li> <li>▶ Investment in equity and equity related securities of Asia Pacific countries (excluding Japan) through fund of funds route</li> </ul>	<p><b>Scheme Risk-o-meter</b></p>  <p><b>RISKMETER</b></p>	<p><b>Benchmark Risk-o-meter</b></p>  <p><b>RISKMETER</b></p>
	<p><b>Investors understand that their principal will be at Very High Risk</b></p>	<p><b>As per AMFI Tier I Benchmark Index: MSCI AC Asia Pacific ex Japan TRI</b></p>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

**For Office Use Only**

[illegible]

☐ I AM A FIRST TIME MUTUAL FUND INVESTOR  
(₹ 150 will be deducted as transaction charge for per purchase of ₹ 10,000 and more)

**Folio No.**

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 Please note that applicant details and mode of holding will be as per existing Folio Number.

Are you a resident of USA/Canada? (✓) Yes ☐ No<sup>\*\*</sup> ☐ (\*\* Default if not ticked)

**Date Birth/Incorporation** \$\* (Mandatory\*) 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

☒ Proof Enclosed (✓) ☐ Birth Certificate ☐ School Leaving Certificate ☐ Passport  
☐ Marksheet issued by HSC State Board ☐ Others \_\_\_\_\_ (please specify)

[illegible]

Proof to be enclosed (✓) ☐ PAN card Copy

Country of Residence

[illegible]

Proof to be enclosed (✓) ☐ PAN card Copy

<sup>++</sup> In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

**Status of Sole/1st Applicant (✓):** ☐ Resident Individual ☐ Resident Minor (through Guardian) ☐ Non-Resident (Repatriable) ☐ Non-Resident (Non-Repatriable) ☐ Non-Resident - Minor (Repatriable) ☐ Non-Resident - Minor (Non-Repatriable) ☐ Bank ☐ FPIs ☐ QFI/EFI ☐ AOP ☐ HUF ☐ FPI ☐ Sole-Proprietor ☐ Private Limited Company ☐ Public Limited Co. ☐ Body Corporate ☐ Partnership Firm ☐ Trust ☐ NPS Trust ☐ Fund of Fund ☐ Gratuity Fund ☐ Pension and Retirement Fund ☐ Government Body ☐ NGO ☐ BOI ☐ Society ☐ LLP ☐ PIO ☐ Non Profit Organisation ☐ Global Development Network ☐ Foreign Nationals [Specify Country] ☐ Others [Specify]

## Investors are requested to complete the KYC section for Joint holders &amp; POA also, as applicable

**b. Gross Annual Income (Please ✓) :** ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-10 Lacs ☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore

**OR Net-worth in Rupees** (Mandatory for Non-Individuals)      ₹ Net-worth should not be older than 1 year      as on (date)      D   D   M   M   Y   Y   Y   Y

**For Individuals** [Tick (✓) if applicable] :

**For Non-Individual Investors (Companies, Trust, Partnership etc.) :**

c.	<input type="checkbox"/> Politically Exposed Person (PEP)	I. Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No, please attach mandatory UBO Declaration)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	<input type="checkbox"/> Related to a Politically Exposed Person (PEP)	II. Foreign Exchange/Money Changer Services	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	<input type="checkbox"/> Not Applicable	III. Gaming/Gambling/Lottery/Casino Services	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		IV. Money Lending/Pawning	<input type="checkbox"/> Yes	<input type="checkbox"/> No

<p><b>For Non Individual Investors - Identification of Beneficial Ownership</b></p>	<p>Mandatory UBO Declaration form duly filled and signed attached. (Not Required for a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company)</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
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\* Mandatory \*\* W.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, POA holder, Guardian in case of Minor and NRIs). For Micro SIP Investment please refer Instructions for filling up the Application Form. ‡ W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch). W.e.f. January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process (for details refer point 8 under Important Instructions). W.e.f. February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new KYC form while investing with the Fund. † Please note that information sought here will be obtained from KRA also. In case of any differences, the KRA input will apply. ‡ Transactions subject to rejection if minor has turned major and relevant documents for change in status not submitted. Refer SID/SAI for instructions related to folios held in the name of Minor. \*\*\* Effective 30 April 2024 Name & DOB of all holders including Guardian in case of minor should match with Income Tax records of PAN. else the transaction is liable to get rejected.



**This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.**

**App.**  
**No.:**

ISC Stamp, Signature & Date

☐ SIP Investment    ☐ STP    ☐ Fresh Nomination    ☐ Change of Existing Nomination    ☐ Cancellation of Nomination

☐ ECS (Debit/Direct Debit Facility)      Total Amount (₹)         Date

PUBLIC



**4 CONTACT DETAILS AND CORRESPONDENCE ADDRESS**Address for Correspondence<sup>‡</sup> [P.O. Box Address is NOT sufficient] (Should be same as in KRA records)

										City																			
State										Country										Pin Code									

Overseas Address/Registered Address in case of Non-Individual investors (Mandatory in case of NRI/FPI applicant in addition to mailing address) (Should be same as in KRA records)

										City																			
State										Country (Mandatory)										Zip Code									

**Contact Details**

Mobile No.										Tel, (Res./Office)									
Mobile belongs to : <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Guardian (to Minor investment) <input type="checkbox"/> Dependant Children <input type="checkbox"/> Dependant Parents <input type="checkbox"/> Dependant Siblings <input type="checkbox"/> Custodian <input type="checkbox"/> POA <input type="checkbox"/> PMS																			
*E-mail - 1										Email ID to be filled in CAPITAL LETTERS									
E-mail belongs to: <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Guardian (to Minor investment) <input type="checkbox"/> Dependant Children <input type="checkbox"/> Dependant Parents <input type="checkbox"/> Dependant Siblings <input type="checkbox"/> Custodian <input type="checkbox"/> POA <input type="checkbox"/> PMS																			
<input type="checkbox"/> Yes <input type="checkbox"/> No * I / We, wish to receive scheme wise annual report or an abridged summary thereof / account statements / statutory & other documents by email. If unticked, by default the above will be sent on email. I/We confirm that primary email ID provided belongs to self or a family member.																			

**5 JOINT APPLICANTS, IF ANY AND THEIR DETAILS (Please tick (✓) wherever applicable)**

<b>MODE OF HOLDING (✓)</b> <input type="checkbox"/> Single <input type="checkbox"/> Joint (Default if not mentioned) <input type="checkbox"/> Anyone or Survivor																			
<b>NAME OF SECOND APPLICANT AS PER PAN***</b> (Not applicable if Sole / First Applicant is a Minor and Second Applicant cannot be a Minor)																			
Are you a resident of USA/Canada? (✓) Yes <input type="checkbox"/> No** <input type="checkbox"/> (**Default if not ticked.)																			
Mr Ms M/s										Name as per PAN CARD									
Date of Birth <sup>§‡</sup> (Mandatory*)										Gender									
PAN** (Mandatory*)										KYC Identification Number (KIN) <sup>‡‡</sup>									
Proof to be enclosed (✓) <input type="checkbox"/> PAN card Copy																			
Nationality										Country of Residence									
<b>a. Occupation (please ✓) :</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Business [Nature of Business] <input type="checkbox"/> Doctor <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Money lender <input type="checkbox"/> Casino Owner <input type="checkbox"/> Arms manufacturer <input type="checkbox"/> Gambling services offerer <input type="checkbox"/> Money lender <input type="checkbox"/> Pawn Broker <input type="checkbox"/> Others [Please specify]																			
<b>b. Gross Annual Income (please ✓) :</b> <input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs - ₹ 1 Crore <input type="checkbox"/> > ₹ 1 Crore																			
<b>c. Others (please ✓) :</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable																			
<b>NAME OF THIRD APPLICANT AS PER PAN***</b> (Not applicable if Sole / First Applicant is a Minor and Third Applicant cannot be a Minor)																			
Are you a resident of USA/Canada? (✓) Yes <input type="checkbox"/> No** <input type="checkbox"/> (**Default if not ticked.)																			
Mr Ms M/s										Name as per PAN CARD									
Date of Birth <sup>§‡</sup> (Mandatory*)										Gender									
PAN** (Mandatory*)										KYC Identification Number (KIN) <sup>‡‡</sup>									
Proof to be enclosed (✓) <input type="checkbox"/> PAN card Copy																			
Nationality										Country of Residence									
<b>a. Occupation (please ✓) :</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Business [Nature of Business] <input type="checkbox"/> Doctor <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Money lender <input type="checkbox"/> Casino Owner <input type="checkbox"/> Arms manufacturer <input type="checkbox"/> Gambling services offerer <input type="checkbox"/> Money lender <input type="checkbox"/> Pawn Broker <input type="checkbox"/> Others [Please specify]																			
<b>b. Gross Annual Income (please ✓) :</b> <input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs - ₹ 1 Crore <input type="checkbox"/> > ₹ 1 Crore																			
<b>c. Others (please ✓) :</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable																			
<b>POA HOLDER NAME AS PER PAN***</b> (If the investment is being made by a Constituted Attorney please furnish details of PoA holder).																			
Mr Ms M/s										Name as per PAN CARD									
Date of Birth (Mandatory*)										KYC Identification Number (KIN) <sup>‡‡</sup>									
PAN** (Mandatory*)										Proof to be enclosed (✓) <input type="checkbox"/> PAN card Copy									
Nationality										Country of Residence									
<b>a. Occupation (please ✓) :</b> <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Business [Nature of Business] <input type="checkbox"/> Doctor <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Money lender <input type="checkbox"/> Casino Owner <input type="checkbox"/> Arms manufacturer <input type="checkbox"/> Gambling services offerer <input type="checkbox"/> Money lender <input type="checkbox"/> Pawn Broker <input type="checkbox"/> Others [Please specify]																			
<b>b. Gross Annual Income (please ✓) :</b> <input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs - ₹ 1 Crore <input type="checkbox"/> > ₹ 1 Crore										<b>Net-worth in Rupees (Mandatory for Non-Individuals)</b> ₹ Net-worth should not be older than 1 year									
<b>c. Others (please ✓) :</b> <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable																			

...continued on next page ⇨

**CALL US AT**

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund.  
 Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

**TOLL FREE NUMBERS**

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

**6 BANK ACCOUNT DETAILS** (For Minor investments – Redemption proceeds will be paid only to the Bank A/c held in the name of Minor)

Core Banking A/c No.		A/c. Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> FCNR* <input type="checkbox"/> Others	* For NRI Investors
Bank Name	Branch		
City			Pin Code
State	Country		
MICR code		RTGS/NEFT/IFSC code	

Please provide a cancelled cheque leaf with your name and IFSC code pre-printed if the bank details in Section 7 are different or Fund transfer is submitted.

**7 INVESTMENT & SOURCE OF FUNDS DETAILS** (Please write Scheme Name / Plan / Option / Sub-option below)

For more than 1 Scheme please issue cheque favouring "HSBC Multi Scheme Collection Account"

	Scheme/Plan/Option/Sub-option			Amount (₹)
1.	HSBC	Scheme Name	Plan	Option/Sub-Option
2.	HSBC	Scheme Name	Plan	Option/Sub-Option
3.	HSBC	Scheme Name	Plan	Option/Sub-Option
Total Amount (₹)	Amount in words			
Payment Mode	<input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> One Time Mandate (OTM) <input type="checkbox"/> Electronic Transfer			
Cheque/DD/RTGS/NEFT Details	Cheque/DD/RTGS/UMRN/NEFT No. <input type="text"/>			
	Instrument Date <input type="text"/> DD Charges, if any (₹) <input type="text"/>			
Payment from Bank A/c. No.	<input type="text"/>			
A/c. Type (✓)	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> FCNR* <input type="checkbox"/> Others (* For NRI Investors)			
Drawn On	Bank <input type="text"/>			
	Branch & City <input type="text"/>			

The scheme name mentioned on the application form and the cheque has to be the same. In case of any discrepancy between the two, units will be allotted as per the scheme name mentioned on the application only.

Documents attached to avoid Third Party Payment Rejection : ☐ Third Party Declarations ☐ Bank Certificate for Pre-funded Instruments  
For Minor investment, if Funds are from Parent / Legal Guardian, enclose Relationship Proof ☐ Birth Certificate ☐ Passport ☐ School Leaving Certificate ☐ Court Order  
**MANDATORY DECLARATION :** The details of the bank account provided above pertain to my/our own bank account in my/our name ☐ Yes ☐ No.  
If no, my relationship with the bank account holder (attach the Third Party declaration Form) (Please ✓) ☐ Employee ☐ Custodian ☐ AMC ☐ Corporate

**8 SYSTEMATIC TRANSFER PLAN (STP)\$** (Please write Scheme Name / Plan / Option / Sub-option below)☐ Registration^^

Transfer From : Scheme	HSBC	Scheme Name	Transfer To: Scheme	HSBC	Scheme Name
Plan/Option/Sub-option *			Plan/Option/Sub-option *		
STP Frequency:	<input type="checkbox"/> Daily^ <input type="checkbox"/> Weekly^ <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly (Default^†) <input type="checkbox"/> Quarterly (10th)		STP Day:	<input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday (Default^) <input type="checkbox"/> Thursday <input type="checkbox"/> Friday	
Transfer Options:	<input type="checkbox"/> Fixed Amount <input type="checkbox"/> Capital Appreciation (1st Business Day of the month)		Transfer Amount:	Amount per instalment Rs. <input type="text"/>	
Installment commencing: From	<input type="text"/>	To	<input type="text"/>	(Minimum Transfer Amount for Liquid & Overnight Schemes - Rs. 1,000. All other Schemes - Rs. 500)	
STP Date	<input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th (Default) <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th <input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th <input type="checkbox"/> 26th <input type="checkbox"/> 27th <input type="checkbox"/> 28th <input type="checkbox"/> 29th <input type="checkbox"/> 30th <input type="checkbox"/> 31st				

\$ To be submitted 7 days prior to the STP date incase of Registration & 14 days incase of Cancellation. ^^ Minimum 6 installments for Liquid and Overnight and 12 installments for all other Schemes for registration. The minimum amount required under the source scheme for registering STP is ₹ 6,000. \* Default Option Date may be applied in case of no information, ambiguity or discrepancy. † If no debit date is mentioned default date would be considered as 10th of every month/quarter. ^ Daily and Weekly STP facility shall be available only under Fixed Amount Systematic Transfer Plan. If the day for Weekly STP is not selected, Wednesday will be the default day.

**9 CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS)** [Mandatory for all investors including Unit holder (Guardian in case of minor), Joint holder(s) and POA Holder]**FATCA/CRS SELF CERTIFICATION FOR INDIVIDUAL INVESTORS (INDIVIDUAL/NRI/ON BEHALF OF MINOR/PROPRIETORSHIP FIRM)**

	Sole/First Applicant Guardian	Second Applicant	Third Applicant/POA holder
Place and Country of Birth	Place <input type="text"/> Country <input type="text"/>	Place <input type="text"/> Country <input type="text"/>	Place <input type="text"/> Country <input type="text"/>
Address Type [for KYC address]	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Tax Resident (i.e. are you assessed for Tax) in any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If 'Yes' please fill for all countries (other than India) in which you are a Resident for tax purpose i.e. where you are Citizen/Resident/Green Card Holder/Tax Resident in the respective countries			
Country of Tax Residency#	<input type="text"/>	<input type="text"/>	<input type="text"/>
Tax Identification Number (TIN) or Functional Equivalent^	<input type="text"/>	<input type="text"/>	<input type="text"/>
Identification Type (TIN or Other, please specify)	<input type="text"/>	<input type="text"/>	<input type="text"/>
If TIN is not available, please tick ✓ the reason [as defined below]	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A – The country where the Account Holder is liable to pay tax does not issue TIN to its residents.

Reason B – No TIN required [Select this reason only for the authorities of the respective country of tax residence do not required the TIN to be collected]

Reason C – Others - Please specify the reason

# To also include USA, where the individual is a citizen/green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

**FATCA/CRS SELF CERTIFICATION FOR NON-INDIVIDUAL INVESTORS AND THEIR ULTIMATE BENEFICIAL OWNER (UBO) (COMPANY/TRUST/SOCIETY/PARTNERSHIP FIRM ETC.)**

Please complete Annexure A & B

...continued overleaf →

<b>10</b>	<b>DEMAT ACCOUNT DETAILS (Please provide Demat proof to verify demat details)</b>																		
Please provide details of your Depository Participant if you wish to hold units in Demat Form. <span style="float: right;"><input type="checkbox"/> NSDL <input type="checkbox"/> CDSL</span>																			
Depository Participant Name <input style="width: 100%;" type="text"/>						DP ID <table border="1" style="display: inline-table; width: 100px; height: 20px; text-align: center; vertical-align: middle;"> <tr> <td style="width: 10px;">I</td> <td style="width: 10px;">N</td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> <td style="width: 10px;"></td> </tr> </table>				I	N								
I	N																		
Beneficiary Account No. <table border="1" style="display: inline-table; width: 100px; height: 20px; text-align: center; vertical-align: middle;"> <tr> <td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td><td style="width: 10px;"></td> </tr> </table>																			
<b>11</b>	<b>NOMINATION DETAILS (Mandatory for new folios of Individual Unitholders only - whether holding Units Singly or Jointly with other holders)</b>																		
<b>A) <input type="checkbox"/> I/We wish to Nominate:</b> I/We, wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death and by cancelling the nomination(s) made by me/us previously in respect of the units held by me/us in the listed Folio/s. Incase of single mode of holding refer point 5 of Nomination Details in Important Instructions.																			
<b>Nomination can be made upto three nominees in the account.</b>		<b>Details of 1st Nominee</b>			<b>Details of 2nd Nominee</b>			<b>Details of 3rd Nominee</b>											
		<b>Mandatory Details</b>																	
Name of the Nominee (Mr./Ms.)																			
Date of Birth of Nominee <sup>\$</sup>																			
Name of the Guardian <sup>\$</sup>																			
Share of each Nominee (%)																			
		Equally [If not equally, please specify percentage] Any odd lot after division shall be transferred to the first nominee mentioned in the Form.																	
Nominee,s Relationship with Applicant (If any)																			
Guardian's Relationship with Nominee <sup>\$</sup>																			
<sup>\$</sup> Applicable in case the Nominee is a Minor																			
<b>Non-mandatory Details</b>																			
Proof of Relationship		<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate		<input type="checkbox"/> Passport <input type="checkbox"/> Others		<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate		<input type="checkbox"/> Passport <input type="checkbox"/> Others		<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others									
Mobile/Telephone No. of Nominee(s)/Guardian in case of Minor																			
Email ID of Nominee(s)/Guardian in case of Minor																			
PAN of the Nominee																			
Address of Nominee(s)/Guardian in case of Minor		City _____			City _____			City _____											
		State _____			State _____			State _____											
		Country _____			Country _____			Country _____											
		PIN _____			PIN _____			PIN _____											
Nominee/Guardian in case of Minor Identification details [Please ✓ any one and provide details of same]		<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN		<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN		<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN		<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN											
		<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No.		<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No.		<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No.		<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No.											
		<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID		<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID		<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID		<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID											
Signature of Nominee/Guardian in case of Minor																			
<b>B) <input type="checkbox"/> I/We do not wish to Nominate (Nomination OPT-OUT):</b> I/We, the applicant(s)/unitholder(s) hereby confirm that I/we do not wish to appoint any nominee(s) in respect of the mutual fund application(s)/units held in my/our mutual fund folio(s). I/We understand the implications/issues involved in non-appointment of any nominee(s) and am/are further aware that in case of my demise/ death of all the unit holders in the folio, my/our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund/AMC for settlement of death claim/transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio/s.																			
<b>Note :</b> Where Nominee details and Nomination Opt-Out both are mentioned, Nomination Opt-Out will be considered as "Default". Folio in such case will be updated without Nominee.																			
<b>12</b>	<b>DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)</b>																		
<b>FATCA/CRS DECLARATION</b>																			
I acknowledge and confirm that the information provided with respect to FATCA/CRS is true and correct to the best of my knowledge and belief. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be responsible for it. I authorize the Fund to update its records from the FATCA/CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. Further, I authorize the Fund to share the given information provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission/update. I also undertake to keep the Fund informed in writing about any changes/modification/update to the above information in future and also undertake to provide any other additional information as may be required at the Fund's end and/or by the domestic tax authorities. I authorize the Fund/AMC/RTA to close or suspend my account(s) under intimation to me for non-submission of documentation																			
<b>OTHER DECLARATIONS</b>																			
Having read and understood the contents of the Scheme Information Document, Key Information Document, Statement of Additional Information and Addenda of the Scheme(s) issued till date, I/We hereby apply to the Trustees of HSBC Mutual Fund for units of the relevant Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the above mentioned documents of HSBC Mutual Fund. I/We hereby authorize HSBC Mutual Fund, the AMC and its Agents to disclose my/our details including investment details to my/our bank(s)/HSBC Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/our bank details provided by me/us, or to disclose to such other service providers as deemed necessary for conduct of business. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Fund, the AMC, its service providers or representatives responsible. I/We will also inform the AMC, about any changes in my/our bank account. I/We confirm that I am/we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR Account (Applicable to NRI).																			
I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme(s) is through legitimate sources and is not held or designed for the purpose of contravention and/or evasion of any Act, Rules, Regulations or any other applicable laws or Notifications issued by any governmental or statutory authority from time to time. I/We acknowledge that the AMC has not considered my/our tax position in particular and that I/we should seek tax advice on the specific tax implications arising out of my/our participation in the Scheme. I/We have understood the details of the Scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.																			
I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. Incase of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).																			
We confirm that we have not issued any bearer shares or share warrants. We also confirm that we will inform the AMC if bearer shares or share warrants are issued subsequently.																			
<b>X</b>  Sole/First Applicant/Guardian/PoA				<b>X</b>  Second Applicant/ PoA				<b>X</b>  Third Applicant/PoA											
Date <input style="width: 100%;" type="text"/>				Please write Application Form No./Folio No. on the reverse of the Cheque/Demand Draft. Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.															

**Declaration Form of Ultimate Beneficial Ownership [UBO] /Controlling Persons**
**[MANDATORY for Non-Individual Investors]**
**1. INVESTOR DETAILS**

Investor Name as per PAN	<input type="text"/>	Name as per PAN CARD	<input type="text"/>
Folio No./Application No.	<input type="text"/>		
PAN*	<input type="text"/>	* If PAN is not available, specify Folio No.(s)	

**2. CATEGORY**

☐ Our company is a Listed Company on a recognized stock exchange in India/Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed#

Security ISIN#

Name of the Listed Company (applicable if the investor is subsidiary/associate):

# Mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm/LLP ☐ Unincorporated association/body of individuals ☐ Public Charitable Trust ☐ Private Trust

☐ Religious Trust ☐ Trust created by a Will. ☐ Others [please specify]

**3. UBO / CONTROLLING PERSON(S) DETAILS**
**Does your company/entity have any individual person(s) who holds direct/indirect controlling ownership above the prescribed threshold limit? (Please ✓)**
☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly/indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly/indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1/Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO PAN# [For Foreign National, TIN to be provided]	<input type="text"/>	<input type="text"/>	<input type="text"/>
% of beneficial interest# >10% controlling interest	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)
UBO/SMO Country of Tax Residency#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Taxpayer Identification Number/ Equivalent ID Number#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Identity Type	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Place & Country of Birth#	Place of Birth <input type="text"/> Country of Birth <input type="text"/>	Place of Birth <input type="text"/> Country of Birth <input type="text"/>	Place of Birth <input type="text"/> Country of Birth <input type="text"/>
UBO/SMO Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Date of Birth #	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO PEP#	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP.	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP.	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP.
UBO/SMO Address [include City, Pincode, State, Country]	Address <input type="text"/> City: <input type="text"/> State <input type="text"/> Pincode <input type="text"/> Country <input type="text"/>	Address <input type="text"/> City: <input type="text"/> State <input type="text"/> Pincode <input type="text"/> Country <input type="text"/>	Address <input type="text"/> City: <input type="text"/> State <input type="text"/> Pincode <input type="text"/> Country <input type="text"/>
UBO/SMO Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
UBO/SMO Email	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Mobile	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
UBO/SMO Father's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO Occupation	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others
SMO Designation#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO/SMO KYC Complied**	<input type="checkbox"/> Yes / <input type="checkbox"/> No.	<input type="checkbox"/> Yes / <input type="checkbox"/> No.	<input type="checkbox"/> Yes / <input type="checkbox"/> No.
If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.			

# Mandatory column. \* Participating Mutual Fund(s)/RTA may call for additional information/documentation wherever required or if the given information is not clear/incomplete/correct and you may provide the same as and when solicited. \*\* In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

## DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI/RBI/IRDA/PFRDA to facilitate single submission/update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your/Fund's end or by domestic or overseas regulators/tax authorities.

### Signature with relevant seal:

<b>X</b> <i>Authorized Signatory 1</i>	<b>X</b> <i>Authorized Signatory 2</i>	<b>X</b> <i>Authorized Signatory 3</i>
Name:	Name:	Name:
Designation:	Designation:	Designation:
Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	Place <input type="text"/>	

## INSTRUCTIONS ON CONTROLLING PERSONS/ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP]/ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the

relevant natural person who holds the position of senior managing official.

### B. For Investors which is a trust:

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

### C. Exemption in case of listed companies/foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client..

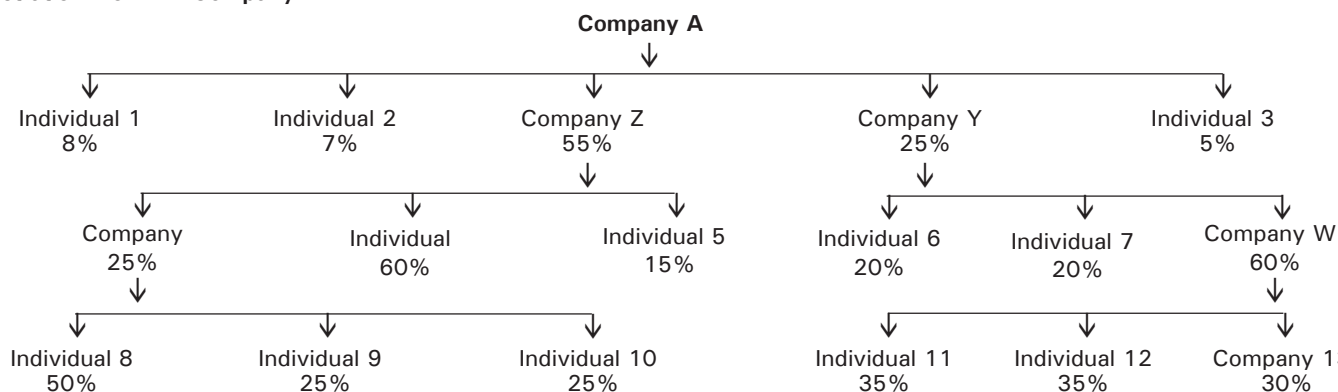
### D. KYC requirements

Beneficial Owner(s)/Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s)/SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

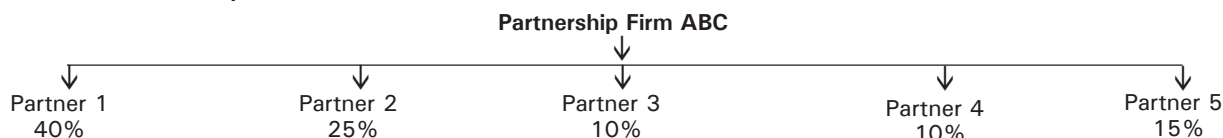
## SAMPLE ILLUSTRATIONS FOR ASCERTAINING BENEFICIAL OWNERSHIP:

### Illustration No. 1 – Company A



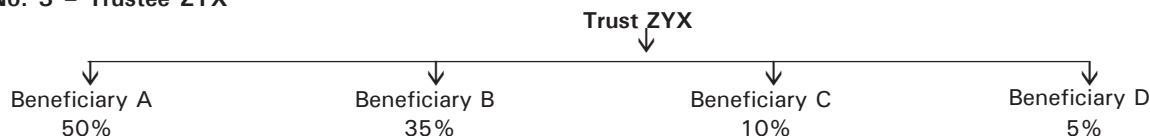
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

### Illustration No. 2 – Partnership Firm ABC



For Partnership Firm ABC, all partners are considered as UBO as each of them holds >=10% of capital. KYC proof for these partners needs to be submitted including shareholding.

### Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and D are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust/Protector of Trust, relevant information to be provided along with the proof indicated.





**(MANDATORY FOR TRUSTS/SOCIETY)**

## Investor Name as per PAN

Name as per PAN CARD

PAN

[illegible]

Mobile No.

[illegible]

- ☐ I/We hereby confirm that above stated entity/organization is falling under “**Non-profit organization**” [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).
- ☐ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

- ☐ I/We hereby confirm that the above stated entity/organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax/revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

**SIGNATURE WITH RELEVANT SEAL:**

<p><b>X</b></p> <p>Authorized Signatory</p>	<p><b>X</b></p> <p>Authorized Signatory</p>	<p><b>X</b></p> <p>Authorized Signatory</p>
<p>Date <span style="border: 1px solid black; padding: 2px 10px;">_____ / _____ / _____</span></p>	<p>Place: _____</p>	

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**FATCA and CRS Self Certification for Non-Individuals**

**[Mandatory for Non-Individual Investors including HUF] Please turn over for Definitions/Instructions/Guidance**

**APPLICANT DETAILS**

Applicant Name as per PAN		Name as per PAN CARD	
PAN	Application No	Folio Nos	

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

**INCORPORATION and TAX RESIDENCY DETAILS (Mandatory)**

Place of Incorporation:	Country of Incorporation:	Date of Incorporation:
-------------------------	---------------------------	------------------------

Is Entity a tax resident of any country other than India? ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below)

Country of Tax Residency	TIN or equivalent number <sup>^</sup>	Identification Type (TIN or Other, please specify)
1		
2		
3		
4		

<sup>^</sup> In case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a Specified U.S. Person (as per definition E5), please mention the exemption code in the box (Refer instruction D4):

**FATCA and CRS DETAILS (Mandatory)**

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

**PART A (to be filled by Financial Institutions or Direct Reporting NFEs)**

<b>We are a, (Please ✓ as appropriate) :</b> <input type="checkbox"/> Financial Institution (Refer definition A) or <input type="checkbox"/> Direct reporting NFE (Refer definition B)	<b>GIIN</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> <b>Note:</b> If you do not have a GIIN (Global Intermediary Identification number) but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below <b>Name of sponsoring entity:</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span>  <b>GIIN - Not Available (Please ✓ as appropriate):</b> If the entity is a financial institution, <input type="checkbox"/> Applied for <input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <span style="border: 1px solid black; display: inline-block; width: 20px; height: 1.2em; vertical-align: middle;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 1.2em; vertical-align: middle;"></span> (refer definition C) <input type="checkbox"/> Not obtained – Non-participating FI
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**PART B (please fill any one as appropriate, to be filled by NFEs other than Direct Reporting NFEs)**

<b>Is the Entity a publicly traded company?</b> <input type="checkbox"/> No (that is, a company whose shares are regularly traded on an established securities market) (Refer definition D1)	<b>Yes</b> <input type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) <b>Name of stock exchange</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span>
<b>Is the Entity a related entity of a publicly traded company?</b> <input type="checkbox"/> No (a company whose shares are regularly traded on an established securities market) (Refer definition D2)	<b>Yes</b> <input type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) <b>Name of listed company</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span> <b>Nature of relation:</b> <input type="checkbox"/> Subsidiary of the Listed Company OR <input type="checkbox"/> Controlled by a Listed Company <b>Name of stock exchange</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span>
<b>Is the Entity an Active NFE?</b> <input type="checkbox"/> No (Refer definition D3)	<b>Yes</b> <input type="checkbox"/> Also provide UBO Form <input type="checkbox"/> <b>Nature of Business</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span> <b>Please specify the sub-category of Active NFE</b> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 1.2em; vertical-align: middle;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 1.2em; vertical-align: middle;"></span> (Mention code - refer D3)
<b>Is the Entity a Passive NFE?</b> <input type="checkbox"/> No (Refer definition E2)	<b>Yes</b> <input type="checkbox"/> Also provide UBO Form <input type="checkbox"/> <b>Nature of Business</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span>

# If Passive NFE, please provide the below additional details for each of the Controlling person. (Please attach additional sheets if necessary)

Sr. No.	Name of UBO	Taxpayer Identification Number / PAN / Equivalent ID Number	Place of Birth	Country of Birth	Occupation Type [Service, Business, Others]	Nationality	Father's Name	Date of Birth dd/mm/yyyy	Gender [Male, Female, others]
1									
2									
3									

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification No.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**DECLARATION & SIGNATURE(S)**

I acknowledge and confirm that the information provided with respect to FATCA/CRS is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be responsible for it. I authorize the Fund to update its records from the FATCA/CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. Further, I authorize the Fund to share the given information provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission/update. I also undertake to keep the Fund informed in writing about any changes/modification/update to the above information in future and also undertake to provide any other additional information as may be required at the Fund's end and/or by the domestic tax authorities. I authorize the Fund/AMC/RTA to close or suspend my account(s) under intimation to me for non-submission of documentation.

**X**

Date : \_\_\_\_\_ Place : \_\_\_\_\_

**Authorized Signatories** [with Company / Trust / Firm / Body Corporate seal]

## DEFINITIONS / INSTRUCTIONS / GUIDANCE

### A. Financial Institution (FI)- The term FI means any financial institution that is a :

- 1 **Depository institution:** Accepts deposits in the ordinary course of banking or similar business.
- 2 **Custodial institution:** An entity that as a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of- (a) The three-year period ending on December 31 of the year preceding the year in which the determination is made; (b) The period during which the entity has been in existence before the determination is made)
- 3 **Investment entity :** Conducts a business or operates for or on behalf of a customer for any of the following activities: (a) Trading in money market instruments, foreign exchange, foreign currency, etc. (b) Individual or collective portfolio management. (c) Investing, administering or managing funds, money or financial asset on behalf of other persons. [OR] The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described herein. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of: (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or (ii) The period during which the entity has been in existence.
- 4 **Specified Insurance company:** Entity issuing insurance products i.e. life insurance or cash value products.
- 5 **Holding company or treasury company:** Is an entity that is a holding company or treasury centre that is a part of an expanded affiliate group that includes a depository, custodial institution, specified insurance company or investment entity.

**B. Direct Reporting NFE:** means a Non-financial Entity (NFE) that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

**C. GIIN not required:** Categories with codes

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	I with a local client base
10	Non-registering local banks
11	FI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FI

**D. Non-Financial Entity (NFE):** Entity that is not a financial institution (including a territory NFE). Types of NFEs excluded from FATCA reporting are as below:

1. **Publicly traded corporation (listed company):** A company is publicly traded if its stock are regularly traded on one or more established securities markets.
2. **Related entity of a listed company:** The NFE is a related entity of an entity of which is regularly traded on an established securities market;
3. **Active NFE:** (is any one of the following):

Code	Sub-Category
01	Less than 50 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE is a 'non for profit organization which meets all of the following requirements: <ul style="list-style-type: none"> <li>It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or</li> </ul>

Code	Sub-Category
	educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
	<ul style="list-style-type: none"> <li>It is exempt from income tax in India;</li> <li>It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul>

The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

Code	Sub-Category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 58
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

### E. Other definitions

- 1 **Related entity:** An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
- 2 **Passive NFE:** The term passive NFE means any NFE that is not (i) an Active NFE (including publicly traded entities or their related entities), or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- 3 **Passive income:** The term passive income means the portion of gross income that consists of: (a) Dividends, including substitute dividend amounts; (b) Interest; (c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool; (d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE; (e) Annuities; (f) The excess of gains over losses from the sale or exchange of property that gives rise to passive income described in this section.; (g) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any commodities, but not including; (i) Any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation; or (ii) Active business gains or losses from the sale of commodities, but only if substantially all the foreign entity's commodities are property (h) The excess of foreign currency gains over foreign currency losses; (i) Net income from notional principal contracts; (j) Amounts received under cash value insurance contracts; (k) Amounts earned by an insurance company in connection with its reserves for insurance and annuity contracts
- 4 **Controlling persons:** Controlling persons are natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force recommendations.
- 5 **Specified US Persons** - Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- 6 **Expanded affiliated group:** Expanded affiliated group is defined to mean one or more chains of members connected through ownership (50% or more, by vote or value, as the case may be) by a common parent entity if the common parent entity directly owns stock or other equity interests meeting the requirements in at least one of the other members.
- 7 **Owner documented FI:** An FI meeting the following requirements: (i) The FI is an FI solely because it is an investment entity; (ii) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company; (iii) The FI does not maintain a financial account for any nonparticipating FI; (iv) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and (v) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

## Third Party Payment Declaration Form

Please refer to the Third Party Payment Rules and Instructions carefully before completing this Form.

For Office Use Only		
Date of Receipt	Folio No.	Branch Trans. No.

### 1. BENEFICIAL INVESTOR INFORMATION (Refer instruction no. 2a)

Folio No. (For Existing Unit Holders)  Application No.

**Name of Beneficial Investor** (Refer instruction no. 2b)

F i r s t N a m e  M i d d l e N a m e  L a s t N a m e

### 2. THIRD PARTY INFORMATION (Refer instruction no. 3)

**Name of third party (person making the payment)**

F i r s t N a m e  M i d d l e N a m e  L a s t N a m e

Nationality

PAN/PEKRN\*\*  First Unit Holder  Second Unit Holder  Third Unit Holder

KIN\*  First Unit Holder  Second Unit Holder  Third Unit Holder

Date of Birth\* 1st Unit Holder  2nd Unit Holder  3rd Unit Holder

Mobile No. + 9 1  E-mail ID

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.  
 ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**Name of contact person & designation (in case of Non-Individual Third Party)**

F i r s t N a m e  M i d d l e N a m e  L a s t N a m e

Designation

**Mailing address (p.o. Box Address may not be sufficient)**

City/Town  State  Pin Code

**Contact Details**

Tel. (O) (ISD/STD)  Tel. (R) (ISD/STD)

Mobile + 9 1  Fax

Email ID

#### Relationship of Third Party with the Beneficial Investor (Refer Instruction No. 3) [Please tick (✓) as applicable]

Status of the Beneficial Investor	<input type="checkbox"/> FPI <input type="checkbox"/> Client	<input type="checkbox"/> Employee(s)	<input type="checkbox"/> Empanelled Distributor	<input type="checkbox"/> Agent <input type="checkbox"/> Dealer <input type="checkbox"/> Distributor
Relationship of Third Party with the Beneficial Investor	Custodian SEBI Registration No. of Custodian <input type="text"/> Registration Valid Till <input type="text"/>	Employer	AMC	Corporate
<b>Declaration by Third Party</b>	I/We declare that the payment is made on behalf of FPI/Client and the source of this payment is from funds provided to us by FPI/Client.	I/We declare that the payment is made on behalf of employee(s), as per the list enclosed herewith, under Systematic Investment Plan or lump sum/one time subscription through Payroll Deductions or deductions out of expense reimbursements.	I/We declare that the payment is made on behalf of empanelled distributors on account of commission/incentive etc in the form of Mutual Fund units through Systematic Investment Plan or lump sum/one time subscription	I/We declare that the payment is made on behalf of agents/dealer/distributor on account of commission/incentive payable for sale of its goods/services in the form of Mutual Fund units through Systematic Investment Plan or lump sum/one time subscription.

### 3. THIRD PARTY PAYMENT DETAILS (Refer instruction no. 4) - Maximum value should not exceed Rs. 50,000

Mode of Payment (Please tick (✓))	Mandatory Enclosure(s)*
<input type="checkbox"/> Cheque	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
<input type="checkbox"/> Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Banker's Cheque	<b>Procured against registered pay-in account: Any one of the following (please tick ✓)</b> <input type="checkbox"/> Letter from Bank Manager with details of account holder's name, bank account number and PAN as per bank records (if available) or <input type="checkbox"/> Debit instruction from the bank, mentioning the bank account details and name of the Third Party, or <input type="checkbox"/> A copy of the passbook/bank statement evidencing the debit for issuance of a Demand Draft / Pay Order. <b>Procured against cash</b> (For investments below ₹ 50,000/- only): A banker's certificate for issuance of a Demand Draft / Pay Order against cash stating the name of the Third Party, bank account number and PAN as per bank record (if available)
<input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

\* HSBC Mutual Fund, the AMC reserves the right to seek information and/or obtain such other additional documents/information from the Third Party for establishing the identity of the Third Party.



Amount <sup>#</sup>	in figures (₹)	in words								
Cheque/DD/PO/UTR No.	Cheque/DD/PO/RTGS Date <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>		D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			
Pay-in Bank A/c No.										
Name of the Bank										
Branch	Bank City									
Bank A/c. Type:	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRI-NRO <input type="checkbox"/> NRI-NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____ (please specify)									
# including Demand Draft charges, if any.										

#### 4. DECLARATION & SIGNATURE (Refer instruction no. 5)

##### Third Party Declaration:

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which HSBC Mutual Fund is entitled to verify directly or indirectly. I/We agree to furnish such further information as HSBC Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, HSBC Mutual Fund is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject/not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of contravention and/or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that HSBC Mutual Fund may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the Beneficial Investor(s) as detailed in the Application Form.

##### Applicable to NRIs only :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account.

Please (✓) ☐ Yes ☐ No

If yes, (✓) ☐ Repatriation basis ☐ Non-repatriation basis

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of the Third Party) X \_\_\_\_\_

##### Beneficial investor(s) declaration & signature/s:

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that HSBC Mutual Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the Beneficial Investor(s) detailed in the Application Form. HSBC Mutual Fund will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of HSBC Mutual Fund.

X \_\_\_\_\_ X \_\_\_\_\_ X \_\_\_\_\_  
**\*Sole/First Applicant** **Second Applicant** **Third Applicant**

\* Authorised signatory on behalf of employee(s), as per the list enclosed.

Date 

D	D	M	M	Y	Y	Y	Y
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#### THIRD PARTY PAYMENT RULES

- In accordance with AMFI best practice guidelines Circular No. 16/2010-11, pertaining to "risk mitigation process against Third Party instruments and other payment modes for mutual fund subscriptions", mutual funds/asset management companies shall ensure that Third-Party payments are not used for mutual fund subscriptions.

- The following words and expressions shall have the meaning specified herein:

- "Beneficial Investor"** is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
- "Third Party"** means any person making payment towards subscription of Units in the name of the Beneficial Investor.
- "Third Party payment"** is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

In case of payments from joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which the payment is made.

##### Illustrations

**Illustration 1:** An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

**Illustration 2:** An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

**Illustration 3:** An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- HSBC Mutual Fund will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of

requisite documentation/ declarations:

- Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) or lump sum/one-time subscription, through Payroll deductions or deductions out of expense reimbursements.
  - Custodian on behalf of an Foreign Institutional Investor (FII) or a Client
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
    - KYC Acknowledgement letter (as issued by CDSL Ventures Limited) of the Beneficial Investor and the person making the payment i.e. Third Party.
    - Declaration Form containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e., third party. The said form shall be available at Investor Service Centres of the Fund or can be downloaded from our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in).
  - Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of HSBC Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

**The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of HSBC Mutual Fund for any further information or updates on the same.**

## INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM

### 1. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document carefully before making an investment decision and filling up the Application Form. Investors are deemed to have accepted the terms of subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment for investment.

#### Manner of filling Application Form

Manner must be completed in BLOCK LETTERS in ENGLISH.

Please tick in appropriate box for relevant options wherever applicable. Signatures should be in English or in any Indian Language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. Submission of Application: Duly completed applications along with full payment must be remitted at the designated Collection Centres or may be routed through Agents of HSBC Mutual Fund. Note: Kindly retain the acknowledgement slip initiated/stamped by the collecting authority.

### 2. BENEFICIAL INVESTOR INFORMATION

- The Third Party should provide the Folio Number of the Beneficial Investor already having an account in any of the HSBC Mutual Fund Schemes in Section 1. In case the Beneficial Investor does not have a Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.
- Investors are requested to provide name of the FII/Client, if the Beneficial Investor is a FII/Client. Please note that the name of the First/Sole Applicant should be match with the details mentioned in the Application Form. If the Beneficial Investors are employees, a separate list of employees mentioning their codes and signatures and list of authorised signatories on behalf of employees along with the KYC compliance and PAN details of each employees shall be furnished by the employer on its letterhead.

If the Beneficial Investors are empanelled distributors, a separate list of the distributors mentioning their ARN numbers and signatures shall be furnished.

If the Beneficial Investors are agents/distributors/dealers, a separate list of the agents/distributors/dealers with their signatures and list of authorised signatories on behalf of agents/distributors/dealers along with the KYC compliance and PAN details of each agent/distributor/dealer shall be furnished by the employer on the letter head.

### 3. THIRD PARTY INFORMATION

"Third Party" Custodian or Employer, making payment towards subscription of Units in the name of the Beneficial Investor(s).

Full Name and relationship of Third Party with the Beneficial Investor must be provided. The Relationship declared by the Third Party will suggest that the payment made on behalf of Beneficial Investor(s) is:

- On behalf of employee under Systematic Investment Plans or as lump sum / onetime subscription, through Payroll deductions or deductions out of expense reimbursements made by employer; or
  - On behalf of an FPI or a Client made by the Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- Mailing address and contact details of Third Party must be written in full.

### 4. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with incomplete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party as per the mode of payment selected:

#### (i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not preprinted on the cheque, then the third party should provide any one of the following documents:

- A copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number; or
- A letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be

certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

The original documents along with the documents mentioned above should be submitted to the Official Points of Acceptance of HSBC Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the HSBC Mutual Fund / Registrar and Transfer Agent. The original documents will be returned across the counter after due verification.

#### (ii) Source of funds - if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc. against registered Pay-in account

- a proof of debit to the investor's bank account in the form of a bank manager's certificate with details of account holder's name, bank account number and PAN as per bank records, if available, or
- a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available, or
- a copy of the passbook/bank statement evidencing the debit for issuance of a DD.

#### (iii) Source of funds - if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.

- In such case, investor is required to provide a copy which has been provided to the Bank indicating the account number and the debit instructions.
- For payment through online mode AMC may match payer account details with registered Pay-in bank accounts of the Investor.

#### (iv) Source of funds - if paid by a pre-funded instrument issued by the Bank against Cash

Subscription through a pre-funded instrument procured against cash shall only be accepted for investments below Rs. 50,000/-. Investor is required to provide a banker's certificate for issuance of a DD against cash stating the investor's name, bank account number and PAN as per bank record (if available).

However, investors are requested to note that such bank account number of the investor is same as the one of the registered bank account mandate(s) with the mutual fund or with the bank details mentioned in the Application Form.

### 5. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Declarations on behalf of FII clients/Employee/Agent/Dealer/Distributor should be signed by their Custodian/Employer/AMC/Corporate respectively.

### 6. PERMANENT ACCOUNT NUMBER

As per SEBI Circular dated April 27,2007, Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, effective July 2, 2007. Transactions by unit holder/investors who fail to submit copy of PAN are liable to be rejected.

Accordingly, it is mandatory for all investors to quote their Permanent Account Number (PAN) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. Furnishing an incorrect PAN or not furnishing these details could invite a penalty of R 10,000 as per the extant provisions of the Income Tax Act, 1961.

However, there are certain nature of transactions and type of clients for which PAN is not mandatory, as mentioned below:

- Micro SIPs: SIPs upto R 50,000/- per year per investor;
- Investments from investors residing in the state of Sikkim;
- Investments from Central Government, State Government and the official appointed by the Courts e.g. Official Liquidator, Court Receiver etc. (under the category of Government).

In all the above cases, Investors are requested to submit such documents as mentioned under "Non PAN based KYC applicability" under the Section "Know Your Customer".

### 7. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The Third Party should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for

the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, HSBC Mutual Fund reserves the right to seek information and/or obtain and retain documentation for establishing the identity of the third party, proof of residence, source of funds, etc. It may reverify identity and obtain any incomplete or additional information for this purpose.

HSBC Mutual Fund and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/ rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the Act, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

## 8. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.

b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site ([www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in)) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/Trustees/ Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies ( KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

c. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

### • Non PAN Based KYC applicability

Non PAN Based KYC applicability For certain nature of transactions and type of clients, PAN is not mandatory. In such cases, common standard KYC through CVL will not apply. In such cases, the client will have to submit certain documents as elaborated below and KYC will be handled by the AMC/Registrar & Transfer Agent i.e. Computer Age Management Services Private Limited directly. Such nature of transaction and type of clients and the documents required for successful completion of KYC is mentioned below:

#### A. Micro SIPs: Micro schemes such as Micro SIPs upto Rs. 50,000/- per year per investor

Documents required

1. Standard specified identification instruments like Voter ID card, Government/ Defence ID card, Card of reputed employer, Driving Licence, Passport in lieu of PAN.

2. Proof of address copy. It is clarified that where photo identification document contains the address of the investor, a separate proof of address is not required.
3. Supporting documents copy shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority. However, it is clarified that Investors with PAN are required to follow PAN based common standard KYC through CVL procedure as mentioned above.

#### B. Investments from investors residing in Sikkim

Documents required

1. Proof of address of Sikkim state and application form should mention the same address.
2. Address proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

#### C. Investments from Central Government, State Government and the officials appointed by the courts, e.g., Official Liquidator, Court receiver, etc., (under the category of Government)

Documents required

1. Proof of identity as documentary evidence in support of the claim for such an exemption.
2. Such proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

For complying with the KYC requirement, all the investors could approach POS or CVL for submitting their KYC Application Form (KAF) and the mandatory documents of Proof of Identity and Proof of Address. The list of all documents required to fill up the form and the detailed process is mentioned above and can be found in the KYC Application Form. An updated list of POS is available at [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in).

After verification of the KYC Application Form and accompanying documents, investors will receive a letter certifying their KYC compliance. Investors are requested to visit the Knowledge Centre Section of our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) and also read the KYC section of Statement of Additional Information (SAI) in detail.

Investors are requested to note that KYC would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the mutual fund.

The AMC, under powers delegated by the Trustee, shall have absolute discretion to reject any application, prevent further transactions by a Unit holder, if after due diligence, the investor/unitholder/a person making the payment on behalf of the investor does not fulfil the requirements of the KYC Policy or the AMC believes that the transaction is suspicious in nature with regard to money laundering.

## CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

## TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900
Email ID	<a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>	<a href="mailto:partner.line@mutualfunds.hsbc.co.in">partner.line@mutualfunds.hsbc.co.in</a>	<a href="mailto:onlinemf@mutualfunds.hsbc.co.in">onlinemf@mutualfunds.hsbc.co.in</a>	<a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>

Please refer to the general instructions for assistance and complete all sections in English.  
For legibility, please use BLOCK LETTERS in black or dark ink.

Broker Name & ARN Code / RIA Code	Sub-broker ARN Code	Sub Code	EUIN	Branch Code	Time Stamp

**Transaction Charges:** SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs. 10,000/-.

If this is the first time, you are investing in any mutual fund, please tick here ☐

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction

<b>Sole/1st Applicant / Authorised Signatory</b> <input checked="" type="checkbox"/>	<b>2nd Applicant / Authorised Signatory</b> <input checked="" type="checkbox"/>	<b>3rd Applicant / Authorised Signatory</b> <input checked="" type="checkbox"/>
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- ☐ New SIP Registration   
 ☐ SIP Renewal   
 ☐ Update new OTM debit mandate for already registered SIP   
 ☐ OTM Debit Mandate to be registered in the folio.  
☐ OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate Reference Number (UMRN)

**1 APPLICANT INFORMATION**

Name of Sole/1st Unit Holder	First Name	Middle Name	Last Name
Folio No.	Mobile No. + 9 1		
	<b>First Unit Holder</b>	<b>Second Unit Holder</b>	<b>Third Unit Holder</b>
PAN/PEKRN**			
KIN^			
Date of Birth^			
Email ID^			

*KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).*

**2 OTM DEBIT MANDATE FOR NACH/ECS/AUTO DEBIT**

UMRN	F O R O F F I C E U S E O N L Y	Date	D D M M Y Y Y Y
Sponsor Bank Code		<input type="radio"/> Create <input type="radio"/> Modify <input type="radio"/> Cancel	
Utility Code		I/We hereby authorize	<b>HSBC Mutual Fund</b>
To debit (✓)	<input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Others	Bank Account No.	
with Bank	Name of customers bank	IFSC/MICR	
An amount of Rupees	In Words	₹	In Figures
Debit Type :	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount	Frequency:	<input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented
Reference 1	Folio No.	Reference 2	Application Number
Mobile No.		Email ID	

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

**PERIOD\*** Mandatory

Maximum period of validity of this mandate is 40 years only.

From	D D M M Y Y Y Y	×	×	×
To	D D M M Y Y Y Y			

Signature of Primary Bank Account Holder	Signature of Bank Account Holder	Signature of Bank Account Holder
Name as in bank records	Name as in bank records	Name as in bank records

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)**

This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Received from	Folio No./Application No.
Scheme	Plan
<input type="checkbox"/> New SIP Registration <input type="checkbox"/> SIP Renewal <input type="checkbox"/> Update New OTM Debit Mandate <input type="checkbox"/> OTM Debit Mandate to be registered in the folio.	
<input type="checkbox"/> SIP Amount Rs.	Frequency <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
<input type="checkbox"/> STP Top Up Amount Rs.	Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly

Acknowledgement  
Stamp & Date



<b>3</b>	<b>SIP &amp; INVESTMENT DETAILS (For more than One Scheme please issue cheque favouring "HSBC Multi SIP Collection Account")</b>				
<b>First SIP Details</b>					
Instrument No. <input style="width: 100px;" type="text"/>		Dated <input style="width: 100px;" type="text"/>		Cheque Amount <input style="width: 100px;" type="text"/>	
Bank Name <input style="width: 150px;" type="text"/>		A/C No. <input style="width: 100px;" type="text"/>			
Branch <input style="width: 150px;" type="text"/>		City <input style="width: 100px;" type="text"/>			
A/c. Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> Others		UMRN for OTM <input style="width: 100px;" type="text"/>			
Reason for your SIP (✓) <input type="checkbox"/> Children's Education <input type="checkbox"/> Children's Marriage <input type="checkbox"/> House <input type="checkbox"/> Car <input type="checkbox"/> Retirement <input type="checkbox"/> Others					
<b>1</b>	<b>Scheme 1</b> Name <input style="width: 150px;" type="text"/>		Plan <input style="width: 100px;" type="text"/>	Option/Sub option <input style="width: 100px;" type="text"/>	
IDCW Frequency <input style="width: 100px;" type="text"/>		SIP Date <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th			
SIP period From <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> To <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/>		<input type="checkbox"/> 9th <input type="checkbox"/> 10th (Default^*) <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th			
[If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]		<input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th			
SIP Frequency <input type="checkbox"/> Weekly^ <input type="checkbox"/> Monthly^* <input type="checkbox"/> Quarterly (10th)		SIP Day <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday^ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday			
SIP Amount (figures) ₹ <input style="width: 100px;" type="text"/> (words) <input style="width: 100px;" type="text"/>					
<input type="checkbox"/> SIP Top Up (Optional) – Available only for investments effected through Auto Debit.					
Top Up Amount ₹ <input style="width: 100px;" type="text"/>		Top Up Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual (Default) ^			
Top Up to continue till SIP amount reaches^^ ₹ <input style="width: 100px;" type="text"/>		OR Top Up to continue till # <input style="width: 100px;" type="text"/>			
<b>2</b>	<b>Scheme 2</b> Name <input style="width: 150px;" type="text"/>		Plan <input style="width: 100px;" type="text"/>	Option/Sub option <input style="width: 100px;" type="text"/>	
IDCW Frequency <input style="width: 100px;" type="text"/>		SIP Date <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th			
SIP period From <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> To <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/>		<input type="checkbox"/> 9th <input type="checkbox"/> 10th (Default^*) <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th			
[If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]		<input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th			
SIP Frequency <input type="checkbox"/> Weekly^ <input type="checkbox"/> Monthly^* <input type="checkbox"/> Quarterly (10th)		SIP Day <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday^ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday			
SIP Amount (figures) ₹ <input style="width: 100px;" type="text"/> (words) <input style="width: 100px;" type="text"/>					
<input type="checkbox"/> SIP Top Up (Optional) – Available only for investments effected through Auto Debit.					
Top Up Amount ₹ <input style="width: 100px;" type="text"/>		Top Up Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual (Default) ^			
Top Up to continue till SIP amount reaches^^ ₹ <input style="width: 100px;" type="text"/>		OR Top Up to continue till # <input style="width: 100px;" type="text"/>			
<b>3</b>	<b>Scheme 3</b> Name <input style="width: 150px;" type="text"/>		Plan <input style="width: 100px;" type="text"/>	Option/Sub option <input style="width: 100px;" type="text"/>	
IDCW Frequency <input style="width: 100px;" type="text"/>		SIP Date <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th			
SIP period From <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> To <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/>		<input type="checkbox"/> 9th <input type="checkbox"/> 10th (Default^*) <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th			
[If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]		<input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th			
SIP Frequency <input type="checkbox"/> Weekly^ <input type="checkbox"/> Monthly^* <input type="checkbox"/> Quarterly (10th)		SIP Day <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday^ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday			
SIP Amount (figures) ₹ <input style="width: 100px;" type="text"/> (words) <input style="width: 100px;" type="text"/>					
<input type="checkbox"/> SIP Top Up (Optional) – Available only for investments effected through Auto Debit.					
Top Up Amount ₹ <input style="width: 100px;" type="text"/>		Top Up Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual (Default) ^			
Top Up to continue till SIP amount reaches^^ ₹ <input style="width: 100px;" type="text"/>		OR Top Up to continue till # <input style="width: 100px;" type="text"/>			
<p>* For NRI Investors. ^ If the day for Weekly SIP is not selected, Wednesday will be the default day ^ If no debit date is mentioned default date would be considered as 10th of every month/quarter. Minimum gap of 21 days required between first cheque and subsequent instalment. In case of discrepancy in the SIP Period, the one mentioned in the Debit Mandate will be considered. Please ensure the amount mentioned in the NACH form is a total of per SIP installment requested above. ^^ SIP Top Up will cease once the mentioned amount is reached. # It is the date from which SIP Top-Up amount will cease. Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment.</p>					
<b>4</b>	<b>DECLARATION AND SIGNATURE(S) (to be signed by all Unit Holders if Mode of Holding is 'Joint')</b>				
<b>OTHER DECLARATIONS (Signature(s) should be as it appearing on the Application Form and in the same order)</b>					
<p>I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of HSBC Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through participation in Electronic Debit arrangement/NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution or any of their appointed service providers or representatives responsible. I/We will also inform HSBC Mutual Fund about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of HSBC Mutual Fund for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.</p>					
<b>X</b>	<b>X</b>	<b>X</b>			
Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA			

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

#### CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

#### TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in



## SYSTEMATIC INVESTMENT PLAN (SIP)/MICRO SIP - GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI and AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

### 1. ONE TIME DEBIT MANDATE FORM (OTM):

- Investors who have already submitted One Time Debit Mandate Form (OTM) or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility, may fill the form with the new bank details.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Alongwith OTM, investors need to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted. Investor's cheque/bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of SIP registration, Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and addendum issued from time to time of the respective Scheme(s) of HSBC Mutual Fund.
- Date and validity of the mandate should be mentioned in DD/MM/YYYY format.
- Sponsor Bank Code and Utility Code of the Service Provider will be mentioned by HSBC Mutual Fund.
- For the convenience of investors, the frequency of the mandate mentioned "As and when presented".
- From date and To date is mandatory. However, the maximum duration for enrollment is 40 years.

**Mandatory Information to be provided by investors in One Time Debit Mandate Form (OTM):**

• Date of Mandate	• Bank A/c Type
• Bank Account Number	• Bank Name
• IFSC and/or MICR Code	• Maximum Amount (Rupees in figures and words)
• Folio No./Appln No.	• Mandatory From Date
• Mandate To Date	• Signature(s) as per Bank records
• Name(s) as per Bank records	

### 2. Applicant Information:

Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 2 of the Form. Your investment would be processed in the specified folio.

Investors/Unit holders should provide the Folio & Name of the Sole/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing Folio, the application form may be rejected.

Your personal information and bank account details would apply to this investment as well. Please provide the Mobile Number and E-Mail Address of the Sole/First Applicant in the form in case of Individuals and Key Contact in case of Non-Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. \* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.

### 3. SIP & Investment Details:

- For SIP in more than 1 scheme, your investment cheque should be crossed "Account Payee only" and drawn favoring "HSBC Multi SIP Collection Account").
- New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum).

New Investors can apply for SIP into respective Scheme/Plans/Option without any existing investment/folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.

- Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
- Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ECS/Auto debit mandatorily.
- Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
- Investors can choose any preferred day/date of the month as SIP debit day/date. In case the chosen day/date falls on a non-business day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day.
- Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar CAMS. Please note that effect from December 1, 2024 it would take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce/discontinue SIP/variants of SIP from time to time.

Further in case of 3 consecutive SIP payment instructions provided by the investor are dishonored for insufficiency of funds, the AMC reserves the right to discontinue the SIP.

### Micro SIP:

- As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.

- The exemption of PAN requirement is only available to individuals.
- Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
- Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

### Third Party Payments:

- Third Party Payments for investments are not accepted except in the below cases
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense reimbursements.
  - Custodian on behalf of an FPI or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/ services in the form of Mutual Fund units through SIP or lump sum/one time subscription.


In the above cases, necessary declaration/banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

### SIP Top-Up Facility:

- Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
  - Top Up facility will be available for valid new registration(s) under SIP or renewal of SIP & for the existing SIPs;
  - Top Up facility will be available only for investments under SIP effected through auto – debit;
  - Amount of Top Up shall be in multiples of ₹500;
  - Top Up can be done on a half yearly/ annual basis;
  - Top Up Facility will not be available for investments under SIP where the auto debit period has not been indicated by the investor at the time of investments.
  - Unit holders have the option of indicating the threshold in terms of amount or the date up to which the Top Up will continue. On reaching the threshold, Top Up with respect to the SIP concerned shall cease and SIP instalments will continue at the amount which was invested last for such period as may be specified in the SIP application form.

Default Option is Annual

- Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- Payments made by Cash/Money Order/Postal Order, Non-MICR cheque, outstation cheques are not accepted.
- For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) and also refer to scheme related documents.
- Note:** Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- Applications incomplete in any respect are liable to be rejected. AMC/RTA shall have absolute discretion to reject any such Application Forms.
- Investors are advised to retain the acknowledgment slip till they receive a confirmation of processing of their SIP Mandate from the HSBC Mutual Fund Investor Service Centre (ISC)/CAMS.
- Minimum application amount and number of instalments:

Frequency	Minimum Installment Amount			Minimum number of Installments			SIP Dates
	Equity and Hybrid Schemes#	Debt and Fund of Funds (FoF) Schemes#	HSBC ELSS Tax Saver Fund and HSBC Tax Saver Equity Fund*	Equity and Hybrid Schemes#	Debt and Fund of Funds (FoF) Schemes#	HSBC ELSS Tax Saver Fund and HSBC Tax Saver Equity Fund*	
Weekly	Rs. 500/-	Rs. 500/-	Rs. 500/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000		Any Dates 
Monthly	Rs. 500/-	Rs. 1,000/-	Rs. 500/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000		
Quarterly	Rs. 1,500/-	Rs. 1,500/-	Rs. 500/-	Minimum 4 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000		

# in multiples of Re. 1/- \*in multiples of Rs. 500/-

As per ELSS guidelines, a mutual fund can have only one open-ended ELSS scheme. In view of the said restriction, subscription into HSBC Tax saver Equity Fund has been closed from business hours on November 25, 2022. Hence, no SIP, STP or switch into HSBC Tax saver Equity Fund is allowed from the close of business hours on November 25, 2022. However, the unitholders will be allowed to hold their existing investments, except that no further investments/subscription would be accepted. Unitholders will be permitted to redeem/switch out their units post the mandatory lock-in period. The above amendment in minimum SIP amount, number of installments and aggregate amount for SIP shall be applicable only for prospective investors from the Effective Date.

❖ In case investor has missed to tick the date for Monthly and Quarterly frequency then the default date with be considered as 10th. Similarly, in case of Weekly SIP frequency the Default day will be considered as Wednesday. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next available Business Day. If the choice of date selected is more than one date then the SIP installment will be processed only for Business Days when NAV is available. No SIP installment shall be processed for Saturday, Sunday or any other non-business day.

### 4. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.

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## Multiple Bank Accounts Registration / Deletion Form

(Please read the Instructions overleaf and attached necessary documents for registration of Bank Accounts. Strike off the Sections not used by you to avoid unauthorised use.)

<b>Folio No.</b> (For Existing Unit Holders)	OR	<b>Application No.</b> (For New Unit Holders)
<b>Name of Sole / First Unit Holder</b>		
<b>Permanent Account No. (PAN)</b>		

**A. Old / Existing Bank Account details:**

Bank Account No. \_\_\_\_\_ Bank A/c. Type: ☐ Savings ☐ Current ☐ NRI-NRO ☐ NRI-NRE ☐ Others

Bank Name : \_\_\_\_\_

*\*\* In case of non-availability of old bank proof (as mentioned in mandatory documents), In-Person verification (IPV) is mandatory*

**B. Change in Tax Status:**

In-case of Change in Tax Status, please tick the applicable new tax status: ☐ Resident Individual ☐ NRI on Non-Repatriation Basis

**Overseas Address (Mandatory in case of NR/FPI applicant)**

\_\_\_\_\_

State \_\_\_\_\_ City \_\_\_\_\_ Country (Mandatory) \_\_\_\_\_ Zip Code \_\_\_\_\_

**C. Addition of Bank Accounts:**

• If you are changing an existing bank account with a new one for redemption/dividend proceeds in future, please mention the new bank account in Part C as well as in Part D. If the new bank account is not mentioned in Part C, redemption/dividend proceeds will be sent to existing default bank account only. • For each bank account mentioned in Part C, Investors should submit originals of any one of the documents mentioned below. If copies are submitted, the originals should be produced for verification.

Please register my/our following additional bank accounts for all investments in my/our folios. I/we understand that I/we can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/We understand that the bank accounts listed below shall be taken up for registration in my/our folio and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non-individuals.

Bank A/c. Type: ☐ Savings ☐ Current ☐ NRI-NRO ☐ NRI-NRE ☐ Others

For each bank account, Investors should produce original for verification or submit originals of the documents mentioned below.

<b>1</b>	<b>Core Bank Account No.</b> _____ <b>Bank Name</b> _____ <b>City</b> _____ <b>MICR Code<sup>^</sup></b> _____	<b>Account Type (✓):</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> _____ <b>Branch</b> _____ <b>PIN Code</b> _____ <b>IFSC Code<sup>^^</sup></b> _____
<b>Any one Document with name of investor pre printed</b> <input type="checkbox"/> Canceled Cheque Leaf <input type="checkbox"/> Passbook		
<b>2</b>	<b>Core Bank Account No.</b> _____ <b>Bank Name</b> _____ <b>City</b> _____ <b>MICR Code<sup>^</sup></b> _____	<b>Account Type (✓):</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> _____ <b>Branch</b> _____ <b>PIN Code</b> _____ <b>IFSC Code<sup>^^</sup></b> _____
<b>Any one Document with name of investor pre printed</b> <input type="checkbox"/> Canceled Cheque Leaf <input type="checkbox"/> Passbook		
<b>3</b>	<b>Core Bank Account No.</b> _____ <b>Bank Name</b> _____ <b>City</b> _____ <b>MICR Code<sup>^</sup></b> _____	<b>Account Type (✓):</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> _____ <b>Branch</b> _____ <b>PIN Code</b> _____ <b>IFSC Code<sup>^^</sup></b> _____
<b>Any one Document with name of investor pre printed</b> <input type="checkbox"/> Canceled Cheque Leaf <input type="checkbox"/> Passbook		
<b>4</b>	<b>Core Bank Account No.</b> _____ <b>Bank Name</b> _____ <b>City</b> _____ <b>MICR Code<sup>^</sup></b> _____	<b>Account Type (✓):</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> _____ <b>Branch</b> _____ <b>PIN Code</b> _____ <b>IFSC Code<sup>^^</sup></b> _____
<b>Any one Document with name of investor pre printed</b> <input type="checkbox"/> Canceled Cheque Leaf <input type="checkbox"/> Passbook		
<b>5</b>	<b>Core Bank Account No.</b> _____ <b>Bank Name</b> _____ <b>City</b> _____ <b>MICR Code<sup>^</sup></b> _____	<b>Account Type (✓):</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> _____ <b>Branch</b> _____ <b>PIN Code</b> _____ <b>IFSC Code<sup>^^</sup></b> _____
<b>Any one Document with name of investor pre printed</b> <input type="checkbox"/> Canceled Cheque Leaf <input type="checkbox"/> Passbook		

<sup>^</sup> 9 digit code on your cheque next to the cheque number.

<sup>^^</sup> 11 digit code printed on your cheque.

# For NRI Investors.

**D. Default Bank Account:**

If you are changing an existing default bank account with new one for redemption/dividend proceeds in future, please mention the new bank account in Part C as well as in Part D. From among the bank accounts mentioned above or those already registered with you, please register the following bank account as a Default Bank Account for payment of future redemption and/or dividend proceeds, if any, in the above mentioned folio:

**Core Bank Account No.** \_\_\_\_\_ **Bank Name :** \_\_\_\_\_

<b>E. Bank Account Deletion:</b>			
Name of Sole / First Unit Holder _____			
Please delete the following Bank accounts as registered accounts for my/our above folio:			
Bank Account No. _____	Bank Name _____		
Bank Account No. _____	Bank Name _____		
Deletion of a default bank account will not be effective in the Folio unless the investor mentions another valid registered Bank Account as a default account in Part D of this Form.			
<b>F. My identity details for IPV (copy enclosed &amp; original shown for verification)*</b>			
<b>Description</b>	<b>First Holder / Guardian</b>	<b>Joint Holder1</b>	<b>Joint Holder2</b>
PAN** / (Please Specify) #			
Holder's Name			
Contact Number			
Signature §	X	X	X
<p>* First unit holder OR Any 1 of the unit holder where mode of holding in the folio is anyone or survivor</p> <p># Self Attested Photo Identity Proof for PAN Exempt Investors like Passport, Voter ID, Ration Card, Driving License, Aadhaar Number (Number to be scored out)</p> <p>§ To be signed by all the holder(s) as per the mode of holding. In case of Non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES</p>			
<b>G. In-Person verification (For Office Use only) – applicable only if the old / existing bank mandate proof not submitted</b>			
I have done the In-Person verification of the above referred investor along with ID document specified above; matched with the information available in the referred Folio(s) and found them in order. Also verified the originals of new bank mandate documentary proof with the copies shared and found them in order.			
Employee Name			X
Employee No.			
Location Name	CAMS/AMC - <Location Name>		
Date	<div style="border: 1px solid black; padding: 2px;"> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 15px; height: 15px; border: 1px solid black;"></div> </div>		Signature with Branch Seal
<b>H. Declaration &amp; Signatures (To be signed by all the holder(s) as per the mode of holding)</b>			
<p>I/We have read and understood the Instructions and the Terms and Conditions for Multiple Bank Accounts Registration and agree to abide by the same.</p> <p>I/We acknowledge that my/our request will be processed only if all details are properly filled and valid documents are attached, failing which the request may be rejected/delayed as the case may be in which case I/We will not hold HSBC Mutual Fund, the AMC and the Registrar liable for any loss due to delayed execution or rejection of the request.</p>			
X	X	X	
Sole/First Unit Holder	Second Unit Holder	Third Unit Holder	

## INSTRUCTIONS AND TERMS & CONDITIONS

- This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HUF can register upto 5 different bank accounts for a folio by using this form. Non individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts, please use extra copies of this form.
- Supporting Documents as mentioned in Part C will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which valid documents are provided. Accounts not matching with such documents will not be registered.
- If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, then any one of the following document should be submitted as a supporting:
  - Cancelled original cheque leaf with first Unit Holder name and bank account number printed on the face of the cheque OR
  - Copy of Bank Passbook having the name, address and account number of the account holder.
  - Bank Statement (issued within 3 months for new bank, in case of old bank account the date of statement will not be applicable)

**Important :** The above documents should be either in original or copy to be submitted alone with original produced for verification.
- Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
- The first/sole unit holder in the folio should be one of the holders of the bank account being registered. Unitholder(s) cannot provide the bank account(s) of any other person or where the First/Sole Unitholder is not an account holder in the bank account provided.
- The investors can change the default bank account only by submitting this form.
- In case multiple bank accounts are opted for registration as default Bank Account, the mutual fund retains the right to register any one of them as the default bank account.
- A written confirmation of registration of the additional bank account details will be dispatched to you within 10 calendar days of receipt of such request. Unitholder(s) must preserve this written confirmation as the account statement will only reflect the default bank mandate.
- If any of the registered bank accounts are closed/alterd, please intimate the AMC in writing of such change with an instruction to delete/alter it from our records.
- The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
- If request for redemption is received prior to/together with a change of bank account or before verification and validation of the new bank account, the redemption request would be processed to the currently registered default (old) bank account.
- If in a folio, purchase investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank account types for redemption can be SB/NRO/NRE.
- The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
- HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.

## CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

## TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

## HSBC Asset Management (India) Private Limited

CIN NO: U74140MH2001PTC134220

9-11 Floors, NESCO IT Park, Building No. 3,  
Western Express Highway, Goregaon (East), Mumbai 400 063, India

Website : [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in)

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200 / 1800-200-2434	1800-419-9800	1800-4190-200 / 1800-200-2434	+ 91 44 39923900
Email ID	<a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>	<a href="mailto:partner.line@mutualfunds.hsbc.co.in">partner.line@mutualfunds.hsbc.co.in</a>	<a href="mailto:onlinemf@mutualfunds.hsbc.co.in">onlinemf@mutualfunds.hsbc.co.in</a>	<a href="mailto:investor.line@mutualfunds.hsbc.co.in">investor.line@mutualfunds.hsbc.co.in</a>

To get your updated account statement email us at : "[mfsoa@mutualfunds.hsbc.co.in](mailto:mfsoa@mutualfunds.hsbc.co.in)"

We're always here to help you, so feel free to reach out to us

### Self-service request at your Fingertips

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- Transact via Whats App • Use 24/7 ChatBot - Ask Me



Remember, you can also find out more via our social media handles !



Please check our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund.

**CAMS SERVICE CENTRES / CAMS LIMITED TRANSACTION POINTS / CAMS COLLECTION CENTRES**

For details on CAMS Service Centres, please visit [www.camsonline.com](http://www.camsonline.com)