

Template for disclosing actions taken against MFDs related to misconduct by AMC's on their website (Jan to Mar 2026)	
Particulars	Inputs to be filled by AMC
1. Name of the Mutual Fund	HSBC Mutual Fund
2. ARN of the MFD	Not applicable
3. Name of the MFD	Not applicable
4. Name of the employee of the MFD involved	Not applicable
5. EUIN of the employee of the MFD involved	Not applicable
6. Source of the complaint	Not applicable
7. Nature of Mis-Selling observed by the AMC	Not applicable
8. Summary of the incident	Not applicable
9. Amount of investment Involved in the incident	Not applicable
10. Corrective Action taken by the AMC prevent recurrence	Not applicable
11. Code of Conduct Violation (other than mis-selling) if any	Not applicable
12. Action taken by the AMC against the errant MFD	Not applicable