

Letter from Bank / Financial Institution, along with the Lien Marking Request Letter from Investor

(On the Letter of the Financier/Pledgor)

Date:

#### **HSBC Mutual Fund**

9-11 Floors, NESCO IT Park, Building No. 3, Western Express Highway, Goregaon (East), Mumbai 400 063, India.

Dear Sir(s),

# Subject: Pledge/lien over the units of HSBC Mutual Fund

We have entered into an Agreement with our customer \_\_\_\_

("Client")

under which he /she has agreed to provide pledge/lien of units of your Mutual Fund scheme held in the name of the 'Client'; which we have agreed to.

The Client holds the following units of your scheme on which the pledge/lien is to be marked.

Folio No.	Scheme	No. of Units	PAN

The Client has agreed to place a lien/pledge in our favour on the above mentioned units.

The authority letter issued to you by the Client for marking the pledge/lien in our favour is enclosed.

### Lien to be marked in favour of:

Name of the Institution	
Address	
Contact Person	
Email ID	
Contact Number	

1. Any additional units allotted by way of investments/dividend reinvestments/bonus or otherwise, during the term of pledge, must also be pledged in our favour All benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall also be subject to lien in our favour.

- 2. During the period of the Pledge, we shall have the right to transfer the units to ourself / our nominees / assigns / successors or sell or redeem the units by written notice to the Fund irrespective of any contrary instructions given by the Unit Holder/Pledgee.
- 3. You are requested not to change investor level details (e.g. Address, Bank Mandate, Mode of holding etc) without prior consent of us. However you should modify the same on the sole instruction given by us.
- 4. You are requested to confirm, that there is no prior pledge / charge / lien upon the Units, which has not been registered with the Fund. Also confirm that the lien is on the number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.
- 5. I/We hereby undertake to indemnify at all times, the Fund, HSBC Asset Management (India) Private Limited, its Registrars & Share Transfer Agents, successors and assigns, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said lien/Pledge of the Units and fraudulent redemption of units under lien/pledge whether intentional or accidental.

HSBC Mutual Fund	NOWLEDGEMENT SLIP (T	o be filled in by the Distributor)
Request submitted : Name		
Folio No PAN		For Office use only
Mobile No. + 9 1 -		
Request submitted for Duplicate TDS Certificate		
Subject to further verification and furnishing of mandatory information/documents. Please ret	ain this slip until processed	Acknowledgement Stamp & Date

The above pledge/lien shall be valid till a letter discharging the said pledge/lien is issued by us.

Please mark the pledge/lien in our favour and send the confirmation at the earliest. Please note that lien is to be vacated only at our request and not otherwise.

Thanking you,

Yours sincerely,

Name of Financier/Pledgor	
Authorised Signatory	×

Date: \_

Place: \_\_\_\_

Enclosed:

1. Lien/pledge marking request by Client

2. Authorised Signatory List

# CALL US AT

Please visit our website www.assetmanagement.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit www.camsonline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

### TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)	
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900	n ⊆ .
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in	Corporation