

Please refer Terms and Conditions/Instructions overleaf and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Broker Name & ARN Code/RIA Code	Sub-broker ARN Code	Sub Code	EUIN	Branch Code	Time Stamp

**Investor's Declaration where EUIN is not furnished:** I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction

Sole/1st applicant / Authorised Signatory	<input checked="" type="checkbox"/>	2nd applicant / Authorised Signatory	<input checked="" type="checkbox"/>	3rd applicant / Authorised Signatory	<input checked="" type="checkbox"/>
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Please (✓) any one  New Registration (in case of multiple registrations, please fill up separate Enrolment Forms)  Cancellation

1 UNIT HOLDER INFORMATION																
Name of Sole/1st Unit Holder as per PAN						Name as per PAN CARD										
Folio No. of 'Transferor' Scheme (for existing Unit holder)/Application No. (for new investor)																
	First Unit Holder/Guardian#				Second Unit Holder				Third Unit Holder							
PAN/PEKRN**																
KIN^																
Date of Birth^	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
<small># In case the First/Sole Applicant is a Minor. KYC of Guardian is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. **PEKRN required for Micro investments upto Rs. 50,000 in a year. ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).</small>																

2 FLEX SYSTEMATIC TRANSFER PLAN (FLEX STP) (Please tick (✓) wherever applicable)																															
Name of 'Transferor' Scheme/Plan/Option	HSBC			Scheme Name	Plan	Option/Sub-Option																									
Name of 'Transferee' Scheme/Plan	HSBC			Scheme Name	Plan	Growth Option (Only Growth Option)																									
Transfer Amount	Amount per instalment Rs.*			(The transfer amount shall be determined by formula in Instruction 2(a))																											
Flex STP Frequency	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Monthly (Default)	<input type="checkbox"/> Quarterly (10th)	No. of Installments*																										
Day of Transfer	<input type="checkbox"/> Monday (Default*)	<input type="checkbox"/> Tuesday	<input type="checkbox"/> Wednesday	<input type="checkbox"/> Thursday	<input type="checkbox"/> Friday																										
Enrolment Period	From	M	M	Y	Y	Y	Y	To	M	M	Y	Y	Y	Y																	
Date of Transfer <sup>¶</sup>	<input type="checkbox"/> 1st	<input type="checkbox"/> 2nd	<input type="checkbox"/> 3rd	<input type="checkbox"/> 4th	<input type="checkbox"/> 5th	<input type="checkbox"/> 6th	<input type="checkbox"/> 7th	<input type="checkbox"/> 8th	<input type="checkbox"/> 9th	<input type="checkbox"/> 10th (Default)	<input type="checkbox"/> 11th	<input type="checkbox"/> 12th	<input type="checkbox"/> 13th	<input type="checkbox"/> 14th	<input type="checkbox"/> 15th	<input type="checkbox"/> 16th	<input type="checkbox"/> 17th	<input type="checkbox"/> 18th	<input type="checkbox"/> 19th	<input type="checkbox"/> 20th	<input type="checkbox"/> 21st	<input type="checkbox"/> 22nd	<input type="checkbox"/> 23rd	<input type="checkbox"/> 24th	<input type="checkbox"/> 25th	<input type="checkbox"/> 26th	<input type="checkbox"/> 27th	<input type="checkbox"/> 28th	<input type="checkbox"/> 29th	<input type="checkbox"/> 30th	<input type="checkbox"/> 31st
<small>* Minimum 12 installments for registration. Refer Instruction 9(b) and 9(c). The minimum amount of transfer required under daily, weekly and monthly frequency is Rs. 500/- and in multiples of Re. 1/- while for quarterly frequency, it is Rs. 1500/- and in multiples of Re. 1/- . ¶ If no debit date is mentioned default date would be considered as 10th of every month/quarter. If the day for Weekly STP is not selected, Monday will be the default day.</small>																															

3 DECLARATION & SIGNATURES (To be signed in the same order as per Mode of Holding)		
<p>I/We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms &amp; conditions mentioned overleaf of Flex Systematic Transfer Plan (Flex STP) of the relevant Scheme(s) and hereby apply to the Trustees for enrolment under the Flex STP of the Scheme(s)/Plan(s)/Options(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. Also, the AMFI registered Distributor has disclosed to me/us the commissions (in trail commission or any other mode), payable to him for different Schemes of mutual funds from amongst which the Scheme is being recommended to me/us.</p> <p>I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to the group companies of HSBC for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.</p>		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA
In case the mode of holding is joint, all Unit holders are required to sign.		

Received from \_\_\_\_\_ Folio No/Application No: \_\_\_\_\_

'Flex STP' application for transfer of Units;

From Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option/Sub-option \_\_\_\_\_

To Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option/Sub-option : **Growth**

Flex STP Instalment amount Rs. \_\_\_\_\_ Frequency:  Daily  Weekly  Monthly  Quarterly

Acknowledgement  
Stamp & Date

## TERMS & CONDITIONS / INSTRUCTIONS FOR FLEX STP

1. HSBC Flex Systematic Transfer Plan (“**Flex STP**”) is a facility wherein Unit holder(s) of designated open-ended Scheme(s) of HSBC Mutual Fund can opt to transfer variable amount(s) linked to value of investments under Flex STP on the date of transfer at predetermined intervals from designated open-ended Scheme(s) of HSBC Mutual Fund [hereinafter referred to as “**Transferor Scheme**”] to the **Growth Option** of designated open-ended Scheme(s) of HSBC Mutual Fund [hereinafter referred to as “**Transferee Scheme**”]. The Flex STP Facility is available only for units held/to be held in Non-demat Mode in the Transferor and the Transferee Scheme.

a. Currently, the Scheme(s) eligible for this facility are as follows:

**Source / Transferor Scheme(s):** All open-ended schemes of HSBC Mutual Fund except HSBC ELSS Tax Saver Fund & HSBC Tax Saver Equity Fund.

**Target / Transferee Scheme(s):** Growth option in all open-ended schemes of HSBC Mutual Fund where subscription is allowed.

The above Scheme(s) are subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of HSBC Mutual Fund for updated list.

b. Flex STP facility is available only through Offline application form and cannot be enrolled through Distributor and Exchange platforms

2. Flex STP transfer facility frequency will be daily (Business days), weekly, monthly and quarterly intervals.

a. Under the Flex STP – Daily Interval, Investor will be eligible to transfer higher of the following:

- Fixed amount to be transferred per instalment or the amount as determined by the following formula [(fixed amount to be transferred per instalment X number of instalments including the current instalment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer].

- In case the amount as specified above to be transferred is not available in the Transferor Scheme in the unitholder(s) account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

b. Under the Flex STP - Weekly Interval, Investor will be eligible to transfer higher of the following: {fixed amount to be transferred per instalment or the amount as determined by the following formula [(fixed amount to be transferred per instalment X number of instalments including the current instalment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer]}. Investor can choose any day of the week from Monday to Friday to start this facility. If day is not specified in case of Weekly frequency, then Flex STP shall be registered from Monday as default. In case the amount as specified above to be transferred is not available in the Transferor Scheme in the unitholder(s) account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

c. Under the Flex STP - Monthly Interval, Investor will be eligible to transfer higher of the following: {fixed amount to be transferred per instalment or the amount as determined by the following formula [(fixed amount to be transferred per instalment X number of instalments including the current instalment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] } on any day of each month. If day is not specified, then default date of Flex STP shall be 10th of the month. In case the amount (as specified above) to be transferred is not available in the Transferor Scheme in the unitholder(s) account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

d. Under the Flex STP - Quarterly Interval, Investor will be eligible to transfer higher of the following: {fixed amount to be transferred per instalment or the amount as determined by the following formula [(fixed amount to be transferred per instalment X number of instalments

including the current instalment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] } on any day of the month. If day is not specified, then default date of Flex STP shall be 10th of the respective month for that quarter. In case the amount (as specified above) to be transferred is not available in the Transferor Scheme in the Investor’s account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

3. One Flex STP Enrollment Form can be filled for transfer into one Scheme/Plan only.

4. In case of valid enrollment forms received, indicating choice of option other than the Growth Option in the Transferee Scheme, it will be deemed as the Growth Option in the Transferee Scheme and processed accordingly.

5. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs/KIMs of the respective Scheme(s) and SAI are available with the ISCs of HSBC Mutual Fund/distributors and also displayed at the HSBC Mutual Fund website i.e. www.hdfund.com

6. Unit holders should note that unit holders’ details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number. Unitholders’ name should match with the details in the existing folio number, failing which the enrollment form is liable to be rejected.

7. Flex STP offers transfer facility at daily, weekly, monthly and quarterly intervals. Unit holder is free to choose the frequency of such transfers. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.

8. a. The Dates of transfers shall be as under:

Daily Interval	Every Business Day
Weekly Interval	Monday, Tuesday, Wednesday, Thursday or Friday
Monthly Interval	On any date of the first month of each quarter. The beginning of the quarter could be any month e.g. April, August, October, November, etc.

In case the day/date of transfer falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.

b. The first Flex STP instalment will be processed for the fixed instalment amount specified by the Unitholder in the enrollment form. From the second Flex STP instalment onwards, the transfer amount shall be computed as per formula stated above.

c. The total Flex STP amount invested in the Transferee Scheme shall not exceed the total enrollment amount i.e. amount per instalment X number of instalments.

d. The redemption/switch-out of units allotted in the Transferee Scheme shall be processed on First In First Out (FIFO) basis. In case there is a redemption/switch-out of any units allotted under Flex STP, the balance instalments under Flex STP will be processed for the fixed instalment amount specified by the unitholder at the time of enrollment subject to clause 8(d) above.

e. **Illustration 1: How to calculate the transfer amount? Flex STP Enrollment Details :**

Transferor Scheme	HSBC Overnight Fund
Transferee Scheme	HSBC Flexi Cap Fund
Date and Frequency	10th date - Monthly of Flex STP Interval
Amount of Transfer per Instalment	Rs. 2000/-
Number of Installments	12
Enrollment Period	January – December 2024

Calculation of Flex STP instalment amount on the date of the fifth instalment i.e. May 10, 2024:

- Total units allotted upto the date of last instalment i.e. April 10, 2024 is assumed as 500;
- The NAV of HSBC Flexi Cap Fund - Growth Option on May 10, 2024 is assumed as Rs. 15/- per unit;
- Hence the market value of the investment in the Transferee Scheme on the date of transfer is Rs. 7500 [500 X 15].

**The instalment amount will be calculated as follows:**

Fixed amount specified at the time of enrollment	Rs. 2000/-
Or	
As determined by the formula	[(2000 x 5) – 7500] by formula : = Rs.2500
whichever is higher	

Hence, on May 10, 2024, the instalment amount transferred to the Transferee Scheme will be Rs 2500/-.

**Illustration 2: How to calculate the transfer amount?**

**FLEX STP Enrollment Details :**

Transferor Scheme	HSBC Overnight Fund
Transferee Scheme	HSBC Flexi Cap Fund
Date and Frequency	15th date - Monthly of Flex STP Interval
Amount of Transfer	Rs. 2000/- per instalment
Number of Installments	12
Enrollment Period	January – December 2024

Calculation of Flex STP instalment amount on the date of the seventh instalment i.e. July 15, 2024:

- Total units allotted up to the date of last instalment i.e. June 15, 2024 is assumed as 1050;
- The NAV of HSBC Flexi Cap Fund - Growth Option on July 15, 2024 is assumed as Rs. 16.20/- per unit;
- Hence the market value of the investment in the Transferee Scheme on the date of transfer is Rs. 17010 [1050 X 16.20].

**The instalment amount will be calculated as follows :**

Fixed amount specified at the time of enrollment	Rs. 2000/-
Or	
As determined by the formula	[(2000 x 7) – 17010] = Rs. – 3010
whichever is higher	

Hence, on July 15, 2024, the instalment amount transferred to the Transferee Scheme will be Rs. 2000/-

9. a. The minimum number of instalments for enrolment under daily, weekly, and monthly frequency would be 12 instalments while for quarterly frequency, it would be 4 instalments. The minimum balance in unitholder(s) account in Transferor Scheme(s) or minimum amount of application at the time of enrolment for Flex STP should be Rs. 6,000/-.

b. In case the day/date of transfer falls on a Non-Business Day or on a day which is not available in a particular month, the STP will be processed on the immediate next Business Day.

c. The first Flex STP instalment will be processed for the fixed instalment amount specified by the Investor at the time of enrolment. From the second Flex STP instalment onwards, the transfer amount shall be computed as per formula stated above.

d. At the time of enrolment in Flex STP, the minimum amount of transfer required under daily, weekly and monthly frequency is Rs. 500/- and in multiples of Re. 1/- while for quarterly frequency, it is Rs. 1500/- and in multiples of Re. 1/-.

e. The total Flex STP amount invested in the Transferee Scheme shall not exceed the total enrolment amount i.e.,

### CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

### TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
<b>Toll Free Number</b>	1800-4190-200 / 1800-200-2434	1800-419-9800	1800-4190-200 / 1800-200-2434	+ 91 44 39923900
<b>Email ID</b>	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

amount per instalment X number of instalments.

- f. The redemption/ switch-out of units allotted in the Transferee Scheme shall be processed on First In First Out (FIFO) basis. In case there is a redemption/ switch-out of any units allotted under Flex STP, the balance instalments under Flex STP will be processed for the fixed instalment amount specified by the Investor at the time of enrolment.
- g. The "Minimum Redemption Amount" specified in Scheme Information Document (SID) of the Transferor Schemes and "Minimum Application Amount" applicable to the Transferee Schemes as mentioned in its SID will not be applicable in case of Flex STP.
- h. If the Flex STP date and/or frequency has not been indicated or multiple frequencies are selected, monthly frequency shall be treated as default frequency and 10th of the month shall be treated as default date.
- i. Flex STP shall be applicable subject to payment of exit load, if any, in the Transferor Schemes.
- j. Only one registration of Flex STP per Target scheme in a folio would be allowed.
- k. Flex STP may be discontinued on a written notice to the Registrar of at least 10 Calendar Days by a unit holder of the Scheme.
- l. Only those Units that are free from lien will be considered for transfer.
- m. Any unitholder can avail this facility subject to certain terms and conditions detailed in the Flex STP Form available at the ISC's of the AMC.
- o. All other provisions mentioned in SID & KIM applies to this facility also.

**Flex STP is only a disciplined way of investing and units may not be allotted on the selected date if the amount is not available for utilization by the Scheme.**

10. Flex STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
11. **Permanent Account Number:** SEBI has made it mandatory for all applicants (in the case of application in joint names,

each of the applicants) to mention his/her permanent account number (PAN) (Except as mentioned below) irrespective of the amount of investment. Where the applicant is a minor, and does not possess his/her own PAN, he/she shall quote the PAN of his/her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/processed. PAN card copy is not required separately if KYC acknowledgement letter is made available with PAN number.

**Redemption and related transaction(s) will not be allowed if PAN is not updated in the folios.**

For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in)

**PAN Exempt Investments:** PAN Exempt KYC Reference Number (PEKRN) holders may enroll for this facility. For further details on PAN exempt Investments, refer Instructions of Scheme Application Form or Statement of Additional Information. However, if the amount per installment is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

12. **Know Your Customer (KYC) Compliance:** Investors should note that it is mandatory for all registrations for Flex STP to quote the KYC Compliance Status/KYC Number, as applicable for each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. For more details, please refer to the SAI available on our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in)
13. Investors with existing Flex STP enrolment, who wish to invest under the Direct Plan of the Transferee Scheme must cancel their existing enrollment and register afresh for the facility.
14. **Investments through distributors :** As per directions of Securities and Exchange Board of India (SEBI), Investors can route their application forms directly and/or through the distributors/employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by Association of Mutual Funds in India (AMFI). Further, no agents/distributors are entitled to sell units of mutual funds unless the intermediary is

registered with AMFI.

**Employee Unique Identification Number (EUIDN):** Every employee/relationship manager/sales person of the distributor of mutual fund products to quote the EUIDN obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIDN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.

**New cadre distributors :** Postal agents, retired government and semi-government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/the AMC from time to time as new cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible scheme is available on [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in)). They also hold an EUIDN which must be quoted in the application form. In case your application for subscription through such distributor is not for an eligible scheme, it is liable to be rejected.

These requirements do not apply to Overseas Distributors.

**Overseas Distributors:** For, overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

15. The AMC/Trustee reserves the right to change/modify the terms and conditions under Flex STP or withdraw the facility prospectively at a future date.
16. All other terms and conditions of the Scheme Information Document and Key Information Memorandum of the respective schemes, read with the addenda issued from time to time, will remain unchanged.
17. The Flex STP Enrollment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of HSBC Mutual Fund.