



Key Information Memorandum and Application Form

HSBC Focused Equity Fund

(Focused Fund - An open ended equity scheme investing in maximum 30 stocks across market caps (i.e. Multi-Cap))

Offer of Units of Rs. 10/- per unit during the New Fund Offer Period and at NAV based prices upon re-opening

New Fund Offer price: Rs. 10/- per unit for cash

New Fund Offer Opens on:	New Fund Offer Closes on:	Scheme re-opens for continuous sale and repurchase on:
July 01, 2020	July 15, 2020	July 29, 2020

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights and services, risk factors, penalties and pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) dated June 8, 2020 and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.assetmanagement.hsbc.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The date of this KIM is June 8, 2020.

Product Labeling: To provide investors an easy understanding of the kind of product/scheme they are investing in and its suitability to them, the product labelling is as under:

Scheme Name	Riskometer
HSBC Focused Equity Fund (Focused Fund – An open ended equity scheme investing in maximum 30 stocks across market caps (i.e. Multi-Cap))	Moderate Moderate Magazini
This product is suitable for investors who are seeking*: Long term wealth creation	LOW HIGH
Investment in equity and equity related securities across market capitalization in maximum 30 stocks	Investors understand that their principal will be at Moderately High risk

^{*} Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Sponsor:

HSBC Securities and Capital Markets (India) Private Limited Regd. Office: 52/60, Mahatma Gandhi Road, Fort, Mumbai 400 001, India. Trustee:
Board of Trustees
16, Veer Nariman Road, Fort,
Mumbai 400 001, India

Asset Management Company: HSBC Asset Management (India) Private Limited Regd. & Corp. Office: 16, Veer Nariman Road, Fort, Mumbai 400 001, India

Visit: www.assetmanagement.hsbc.co.in

SMS INVEST to 56767 Toll free: 1800 200 2434/1800 258 2434

Name of the Scheme	HSBC Focused Equity Fund (HFEF)				
Type of Scheme	Focused Fund – An open ended equity scheme investing in maximum 30 stocks across market caps (i.e. Multi-Cap				
Investment Objective	To seek long term capital growth through investments in a concentrated portfolio of equity & equity instruments of up to 30 companies across market capitalization. However, there is no assurance t investment objective of the Scheme will be achieved.				
Asset Allocation Pattern of	Under normal circumstances, it is anticipated that the asset	allocation of the	Scheme will be a	s follows:	
the Scheme	Instruments		Indicative Allocation (% of net assets)		
		Minimum	Maximum		
	Equities & Equity related securities*	65%	100%	High	
	Debt instruments & Money Market instruments (including Cash & Cash equivalents)	0%	35%	Low to medium	
	Units issued by REITs and InvITs	0%	10%	Medium to High	
	If the Scheme decides to invest in securitized debt, it i investments will not normally exceed 20% of the corpi exposure as per the SEBI regulations issued from time to call options under covered call strategy. Further, derivative the scheme. The cumulative gross exposure through equ	* Subject to overall limit of 30 stocks across market capitalization. If the Scheme decides to invest in securitized debt, it is the intention of the Investment Manager that such investments will not normally exceed 20% of the corpus of the Scheme. The Scheme shall have derivative exposure as per the SEBI regulations issued from time to time. However, the Scheme does not intend to write call options under covered call strategy. Further, derivatives exposure shall not exceed 50% of the net assets of the scheme. The cumulative gross exposure through equity, debt & money market instruments, REITs & InvITs units and derivative positions, shall not exceed 100% of net assets of the Scheme.			
	engage in short selling or securities lending.	9	3		
Investment Strategy	long-term capital growth from an actively managed porticapitalization. The Scheme aims to predominantly invessarge cap companies are the 1st-100th, mid cap companies company onwards in terms of full market capitalization. AMFI in accordance with the SEBI circular no. SEBI/HO/I	The aim of HSBC Focused Equity Fund is to seek growth and deliver above benchmark returns by providin long-term capital growth from an actively managed portfolio comprising of up to 30 companies across marke capitalization. The Scheme aims to predominantly invest in equity and equity related securities. Currently th large cap companies are the 1st-100th, mid cap companies are 101st - 250th and small cap companies are 251s company onwards in terms of full market capitalization. The list of stocks would be as per the list published b AMFI in accordance with the SEBI circular no. SEBI/HO/IM/DF3/CIR/P/2017/114 dated October 6, 2017 and a may be amended by SEBI from time to time and updated on half yearly basis.			
	The Scheme will endeavor to achieve this by maintaining a minimum of 65% allocation to equity and equity related securities. Investment could also be made towards fixed income securities including money market instruments.				
	A top down and bottom up approach will be used to invest in equity and equity related instruments. Investments will be based on the Investment Team's analysis of business cycles, regulatory reforms, competitive advantage etc. The fund manager in selecting stocks will focus on the fundamentals of the business including profitability, the industry structure, the quality of management, sensitivity to economic factors, the financial strength of the company, valuation and the key earnings drivers. The Scheme will maintain a portfolio spread across various industries/sectors in order to mitigate the concentration risk.				
	As per the asset allocation pattern indicated above, for investment in debt securities and money market instruments, the Scheme may invest a part of its portfolio in various debt securities issued by corporates and/or state and central government. Such government securities may include securities which are supported by the ability to borrow from the treasury or supported only by the sovereign guarantee or of the state government or supported by GOI/ state government in some other way.				
	With the aim of controlling risks, rigorous in depth credit evaluation of the instruments proposed to be invested in will be carried out by the Investment Team of the AMC. The credit evaluation includes a study of the operating environment of the company, the past track record as well as the future prospects of the issuer, the short as well as long-term financial health of the issuer. The AMC will also be guided by the ratings of rating agencies such as CRISIL, CARE and ICRA or any other rating agency as approved by the regulators.				
	The Scheme may invest in other Scheme(s) managed fund, provided it is in conformity with the investment ob Regulations. As per the Regulations, no investment man	jectives of the	Scheme and in	terms of the prevailing	
	Since investing requires disciplined risk management, to controlling risks in the portfolio construction process. Rist of the portfolio.				
	A Segregated Portfolio may be created, in case of a credit event at issuer level i.e. downgrade in credit rating by a SEBI registered Credit Rating Agency (CRA), as under:				
	a. Downgrade of a debt or money market instrument to 'below investment grade', or				
	b. Subsequent downgrades of the said instruments from 'below investment grade', or c. Similar such downgrades of a loan rating.				
	In case of unrated debt or money market instruments of an issuer that does not have any outstanding rated debt or money market instruments, actual default of either the interest or principal amount by the issuer of such instruments shall be considered as a Credit Event for creation of Segregated Portfolio.				
	For details, please refer to the Segregated Portfolio section under Investment Strategy in the Scheme Information Document of the scheme.				
Plans / Options	The Regular Plan and Direct Plan shall be available under options viz. Growth and Dividend with Dividend Payout be default Option under the Plans of the Scheme. Broke expenses will not be charged under the Direct Plan. Bot common portfolio.	and Reinvestmerage/Commissi	ent facilities. Th on paid to distri	e Growth Option shall butors and distribution	
	*Investors may please note that the Direct Plan under the capital market, mutual funds and the risks ass				

	investments in the schemes of mutual funds may vary depending upon the investment objective, asset allocation and investment strategy of the Schemes and the investments may not be suited for all categories of investors. The AMC believes that investors investing under the Direct Plan of the Scheme are aware of the investment objective, asset allocation, investment strategy, risks associated therewith and other features of the Scheme and has taken an informed investment decision. Please note that SID, SAI, Key Information Memorandum or any other advertisements and its contents are for information only and do not constitute any investment advice or solicitation or offer for sale of units of the Scheme from the AMC.
Sub Options under Dividend Option	Dividend Payout Option and Dividend Reinvestment Option
Dividend Declaration	Declaration of dividend and its frequency will inter alia depend upon the distributable surplus. Dividend may be declared from time to time at the discretion of the Trustees.
Dividend Policy	Declaration of dividend for HFEF is subject to the availability of distributable surplus. Such dividends if declared will be paid under normal circumstances, only to those Unit holders who have opted for Dividend Payout option.
	However, it must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme, as computed in accordance with SEBI Regulations. The Trustees reserve the right of dividend declaration and to change the frequency, date of declaration and the decision of the Trustees in this regard shall be final. There is no assurance or guarantee to Unit holders as to the rate of dividend distribution nor that dividend will be regularly paid.
	The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unit holders whose names appear in the register of Unit holders on the notified record date. In case of Units held in dematerialized mode, the Depositories (NSDL/CDSL) will give the list of demat account holders and the number of units held by them in demat norm on the Record Date to the Registrars and Transfer Agent of the Mutual Fund.
	The dividend will be at such rate as may be decided by the AMC in consultation with the Trustees. Under the Growth Option, income earned on the Scheme's corpus will remain invested in the Scheme and will
	be reflected in the Net Asset Value (NAV). Unit holders who opt for this Option will not receive any dividend in normal circumstances. Under the Dividend Option, it is proposed to distribute dividends at regular intervals, subject to availability of distributable profits, as computed in accordance with SEBI Regulations. Investors in the Scheme have the choice of opting for either payout or reinvestment of dividend, as stated above. Subsequent to the declaration of dividend, NAV of the Dividend Option and Growth Option will be different.
Redemption options/Liquidity	The Scheme will offer for purchase/switch-in and redemption/switch-out of units at NAV based prices on every Business Day on an ongoing basis. The Mutual Fund shall dispatch the Redemption proceeds within 10 business days from the date of acceptance of the Redemption request.
Dematerialization	Unit holders opting to hold the Units in demat form must provide their demat Account details in the specified section of the application form. The Unit holder intending to hold the Units in demat form are required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL/CDSL as may be indicated by the Fund at the time of launch of the Scheme) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP at the time of purchasing Units during the NFO of the Scheme. In case Unit holders do not provide their demat Account details, the Units will be allotted in physical mode provided the application is otherwise complete in all respect and accordingly an Account Statement shall be sent to the Unit holder. Such investors will not be able to trade on the stock exchange till the holdings are converted into demat form. Investors opting to hold Units in demat form should furnish Bank Account details linked with their demat account.
Risk Profile	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:
	Scheme will invest in a concentrated portfolio of equity & equity related instruments of up to 30 companies across market capitalization. These factors may impede the ability of the fund manager to align the portfolio in line with market expectations potentially exposing the Scheme to periods of volatile performance.
	Risk factors associated with investing in Equity or Equity related Securities:
	Subject to the stated investment objective of the Scheme, the Scheme proposes to invest predominantly in equity and equity related securities. Equity instruments by nature are volatile and prone to price fluctuations on a daily basis due to both macro and micro factors. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments. Different segments of financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities' purchases due to settlement problems could cause the Scheme to miss certain investment opportunities.
	The Scheme may also use various derivative products from time to time, as would be available and permitted by SEBI, in an attempt to protect the value of the portfolio and enhance Unit holders' interest.
	Risk factors associated with investing in Fixed Income Securities: Subject to the stated investment objective, the Scheme propose to invest in debt and related instruments and the risk factors pertinent to the same are:
	Price-Risk or Interest Rate Risk: As with all debt securities, changes in interest rates may affect the NAV of the Scheme as the prices of securities increase as interest rates decline and decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.
	In the case of floating rate instruments, an additional risk could be due to the change in the spreads of floating rate instruments. If the spreads on floating rate papers rise, then there could be a price loss on these instruments. Secondly in the case of fixed rate instruments that have been swapped for floating rates, any adverse movement in the fixed rate yields vis-à-vis swap rates could result in losses. However, floating rate debt instruments which have periodical interest rate reset, carry a lower

losses. However, floating rate debt instruments which have periodical interest rate reset, carry a lower interest rate risk as compared to fixed rate debt instruments. In a falling interest rate scenario the returns on floating rate debt instruments may not be better than those on fixed rate debt instruments.

- Liquidity or Marketability Risk: This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Liquidity risk is today characteristic of the Indian fixed income market. HSBC monitor liquidity risk on an ongoing basis from both assets and liability side. The stress testing of the liquid and money market funds, as per SEBI guidelines is carried out on monthly basis.
- Credit Risk: Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e. will be unable to make timely principal and interest payments on the security). Because of this risk, corporate debentures are sold at a yield above those offered on Government Securities, which are sovereign obligations. Normally, the value of a fixed income security will fluctuate depending upon the changes in the perceived level of credit risk as well as any actual event of default. The greater the credit risk, the greater the yield required for someone to be compensated for the increased risk.
- Reinvestment Risk: This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.
- **Duration Risk:** Duration is a risk measure used to measure the bond/security price changes to potential changes in interest rates. Duration of portfolio x the expected changes in rates = the expected value change in the portfolio. Duration is more scientific measure of risk compared to average maturity of the portfolio. The higher the duration of the portfolio, the greater the changes in value (i.e. higher risk) to movement in interest rates. Modified duration is the duration of a bond/security given its current yield to maturity, put/call feature, and an expected level of future interest rates.
- Benchmark Risk: The floating rate segment of the domestic debt market is not very developed. Currently,
 majority of the issuance of floating rate papers is linked to NSE MIBOR. As the floating rate segment develops
 further, more benchmark rates for floating papers may be available in future. The fewer number of benchmark
 rates could result in limited diversification of the benchmark risk.
- Different types of securities in which the Scheme would invest as given in the SID carry different levels
 and types of risk. Accordingly, the Scheme's risk may increase or decrease depending upon its investment
 pattern. E.g. corporate bonds carry a higher amount of risk than Government Securities. Further even among
 corporate bonds, bonds which are AAA rated are comparatively less risky than bonds which are AA rated.
- **Prepayment Risk:** The risk associated with the early unscheduled return of principal on a fixed-income security. The early unscheduled return of principal may result in reinvestment risk.

Risk factor associated with legal, tax and regulatory risk

The Schemes could be exposed to changes in legal, tax and regulatory regime which may adversely affect it and/or the investors. Such changes could also have retrospective effect and could lead to additional taxation imposed on the Schemes which was not contemplated either when investments were made, valued or disposed off.

Risk Factors associated with investments in Money Market instruments

- Investments in money market instruments would involve a moderate credit risk i.e. risk of an issuer's liability to meet the principal payments.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest
 rates, general level of market liquidity and market perception of credit worthiness of the issuer of such
 instruments

The NAV of the Scheme's Units, to the extent that the corpus of the Scheme is invested in money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise, the value of a portfolio of money market instruments can be expected to decline.

Risks associated with investing in Derivatives

- The Scheme may use derivative instruments like stock index futures, option on stocks, stock indices, interest
 rate swaps, forward rate agreements or other derivative instruments as permitted under the Regulations
 and guidelines.
- As and when the Scheme trade in the derivatives market, there are risk factors and issues concerning the use of derivatives that investors should understand. Derivative products are specialised instruments that require investment techniques and risk analyses different from those associated with stocks and bonds. The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is the possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives, lack of liquidity and the inability of derivatives to correlate perfectly with underlying assets, rates and indices. Thus, derivatives are highly leveraged instruments. Even a small price movement in the underlying security could have a large impact on their value. Also, the market for derivative instruments is nascent in India.
- Derivative products are leveraged instruments and can provide disproportionate gains as well as
 disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund
 manager to identify such opportunities. Identification and execution of the strategies to be perused by the
 fund manager involve uncertainty and decision of fund manager(s) may not always be profitable. No assurance
 can be given that the fund manager(s) will be able to identify or execute such strategies.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

Risks associated with investing in securitised debt

Securitised Debt: Securitised debt papers carry credit risk of the Obligors and are dependent on the servicing of the PTC/Contributions etc. However these are offset suitably by appropriate pool selection as well as credit enhancements specified by Rating Agencies. In cases where the underlying facilities are linked to benchmark

rates, the securitised debt papers may be adversely impacted by adverse movements in benchmark rates. However this risk is mitigated to an extent by appropriate credit enhancement specified by rating agencies. Securitised debt papers also carry the risks of prepayment by the obligors. In case of prepayments of securities debt papers, it may result in reduced actual duration as compared to the expected duration of the paper at the time of purchase, which may adversely impact the portfolio yield. These papers also carry risk associated with the collection agent who is responsible for collection of receivables and depositing them. The Investment team evaluates the risks associated with such investments before making an investment decision.

The underlying assets in the case of investment in securitised debt could be mortgages or other assets like credit card receivables, automobile/vehicle/personal/commercial/corporate loans and any other receivables/loans/debt.

The risks associated with the underlying assets can be described as under:

Credit card receivables are unsecured. Automobile/vehicle loan receivables are usually secured by the underlying automobile/vehicle and sometimes by a guarantor. Mortgages are secured by the underlying property. Personal loans are usually unsecured. Corporate loans could be unsecured or secured by a charge on fixed assets/receivables of the company or a letter of comfort from the parent company or a guarantee from a bank/financial institution. As a rule of thumb, underlying assets which are secured by a physical asset/guarantor are perceived to be less risky than those which are unsecured. By virtue of this, the risk and therefore the yield in descending order of magnitude would be credit card receivables, personal loans, vehicle/automobile loans, mortgages and corporate loans assuming the same rating.

Risk factors associated with investing in REITs and InvITs:

Market Risk: REITs and InvITs Investments are volatile instruments and are subject to price fluctuations on a daily basis owing to the market conditions and factors impacting the underlying assets. Fund Manager will do the necessary due diligence but actual market movements may be at variance with the anticipated trends.

Liquidity Risk: The liquidity of the investments could, at times, be restricted by trading volumes, settlement periods, dissolution of the trust, potential delisting of units on the exchange etc. Hence, the time taken by the Fund Manager for liquidating the investments in the scheme may be longer than anticipated. As these products are new to the market they are likely to be exposed to liquidity risk.

Reinvestment Risk: Investments in REITs & InvITs may carry reinvestment risk as there could be repatriation of funds by the Trusts in form of buyback of units or dividend pay-outs, interest payments, etc. Depending upon the market conditions, interest rates prevailing on the interest or maturity due dates may differ from the original coupon of the bond. As a result, the proceeds may get deployed at a lower yield.

Regulatory/Legal Risk: REITs and InvITs being new asset classes, regulatory guidelines may be evolving in nature which may impact the investments in REITs and InvITs.

Risks associated with transaction in Units through Stock Exchange mechanism

In respect of transactions in Units of the Scheme routed through the BSE StAR MF platform or any other recognised stock exchange platform as intimated by the AMC, allotment and redemption of Units on any Business Day will depend upon the order processing/settlement by BSE, or such other exchange and their respective clearing corporations on which the Fund has no control. Further, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by BSE or such other recognised exchange in this regard.

Risks associated with Segregated Portfolio

Liquidity risk - Segregated Portfolio is created to separate debt and money market instruments affected by a Credit Event from the Main Portfolio of the Scheme to ensure fair treatment to existing, entering and exiting investors of the scheme. The Fund will not permit redemption of the Segregated Portfolio units, but the units will be listed on a recognized stock exchange. The Fund is not assuring any liquidity of such units on the stock exchange which may subject investors to impact cost. Further, trading price of units on the stock exchange may be significantly lower than the prevailing NAV. Investors can continue to transact (subscribe/redeem) from the Main Portfolio.

Credit risk –While the AMC will put in sincere efforts to recover the securities in the Segregated Portfolio and distribute the same to unit holders, since such securities are affected by credit event, it is likely that such securities may not realize any value leading to losses to investors.

Applicable NAV
(after the scheme opens for repurchase and sale)

This is the time before which an investor's application (complete in all respects) should reach the official points of acceptance.

(i) For Subscriptions/Purchases including switch-ins

Particulars	Applicable NAV		
For an amount less than Rs. 2 lacs			
In respect of valid applications received upto 3.00 p.m. along with a local cheque or a demand draft payable at par at the place where the application is received	the closing NAV of the day on which application is received		
In respect of valid applications received after 3.00 p.m. along with a local cheque or a demand draft payable at par at the place where the application is received	the closing NAV of the next business day		
Where the application is received with an outstation cheque or demand draft which is not payable on par at the place where it is received.	Closing NAV of day on which the cheque or demand draft is credited.		
For an amount of Rs. 2 lacs and above			
In respect of valid applications for purchase of units with amount equal to or more than Rs. 2 lacs,	the closing NAV of the day (or immediately following Business Day if that day is not a Business day) on which the funds are available for utilization		

In respect of subscriptions/purchase/Switch-in application with amount equal to or more than Rs. 2 lacs, for allotment of units at applicable NAV as above, it shall be ensured that:

- a. Application is received before the applicable cut-off time (i.e. 3.00 p.m.)
- b. Funds for the entire amount of subscription/purchase/switch-in as per the application are credited to the bank account of the Plans under the Scheme before the applicable cut-off time.
- c. The funds are available for utilization before the applicable cut-off time without availing any credit facility whether intra-day or otherwise, by the respective Plans under the Scheme.

The closing NAV of the day on which the funds are available for utilization shall be applicable for application amount equal to or more than Rs. 2 lacs aggregated at Permanent Account Number (PAN) of the unit holder excluding minor investors.

(ii) For Redemptions/Sales including switch-outs

Particulars	Applicable NAV	
In respect of valid applications received upto 3 p.m.	the closing NAV of the day of receipt of application	
In respect of valid applications received after 3 p.m.	the closing NAV of the next business day	

Note: Purchase / Switch-ins and Redemptions/ Switch-outs of units under Segregated Portfolio is not allowed. However, the unit of Segregated Portfolio will be listed on the recognized Stock Exchange.

Minimum Application Amount/Number of Units Lumpsum investment

Purchase (during NFO): Rs. 5,000/- and in multiples of Re. 1/- thereafter. Minimum application amount is applicable for switch-ins as well.

Additional Purchase (during NFO): Rs. 1,000 and in multiples of Re. 1/- thereafter.

Rs. 1000/- and in multiples of Re. 1/- thereafter or account balance whichever is lower.

Minimum Application Amount (SIP)

Minimum Investment Amount - Rs. 500 (monthly) or Rs. 1500 (quarterly);

Minimum no. of instalments - 12 (monthly) or 4 (quarterly);

Minimum Redemption Amount Benchmark Index

S&P BSE200 TRI

Name of the Fund Manager(s)

Mr. Neelotpal Sahai and Mr. Gautam Bhupal

Board of Trustees (The Trustees) of HSBC Mutual Fund

Name of the Trustee Company

The Sponsor has appointed a Board of Individual Trustees (the Trustees) to be the Trustees of HSBC Mutual Fund. Presently, Mr. Pedro Basto, Jasmine Batliwalla, Mr. Nani Javeri and Dr. T. C. Nair are the Trustees of HSBC Mutual Fund.

Performance of the Scheme Scheme Portfolio Holdings and

This is a new scheme and does not have any performance track record.

Scheme Portfolio Holdings and Fund allocation towards various sectors

Not Applicable

Scheme's Portfolio turnover ratio

where applicable)

(i) Load Structure

Not Applicable

Expenses of the Plan

New Fund Offer Period

(including SIP/STP

Entry Load : Nil*
Exit Load :

- (i) Any redemption/switch-out of units within 1 year from the date of allotment shall be subject to 1% exit load.
- (ii) No Exit Load will be charged, if units are redeemed/switched-out after 1 year from the date of allotment.

The exit loads set forth above is subject to change at the discretion of the AMC and such changes shall be implemented prospectively.

*In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged to the investor effective August 1, 2009. No exit load (if any) will be charged for units allotted under bonus/dividend reinvestment option.

New Fund Offer Expenses - The NFO expenses of HFEF shall be borne by the AMC.

Continuous Offer

Entry Load - Nil *

Exit Load:

- (i) Any redemption/switch-out of units within 1 year from the date of allotment shall be subject to 1% exit load.
- (ii) No Exit Load will be charged, if units are redeemed/switched-out after 1 year from the date of allotment.

The exit loads set forth above is subject to change at the discretion of the AMC and such changes shall be implemented prospectively.

*In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged to the investor effective August 1, 2009. No exit load (if any) will be charged for units allotted under bonus/dividend reinvestment option.

Entry / Exit load is not applicable for Segregated Portfolio.

(ii) Recurring expenses

These are the fees and expenses for operating the Schemes. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc.

The total recurring expenses of the Scheme shall be as per the limits prescribed under sub-regulation 6 and 6A of Regulation 52 of the SEBI Regulations and shall not exceed the limits prescribed there under as a percentage limit of daily net assets in the table below:

As per the Regulation 52(6), the maximum recurring expenses that can be charged to the Scheme shall be subject to a percentage limit of daily average net assets in the table below:

First Rs. 500 crores	Next Rs. 250 crores	Next Rs. 1,250 crores	Next Rs. 3,000 crores	Next Rs. 5,000 crores	Next Rs. 40,000 crores	Balance
2.25%	2.00%	1.75%	1.60%	1.50%	TER reduction of 0.05% for every increase of Rs. 5,000 crores of daily net assets or part thereof	1.05%

The expenses of the Direct Plan will be lower than that of Regular Plan of the Scheme. No commission or distribution expenses will be charged under the Direct Plan.

The AMC may charge the following costs and expenses in addition to the total recurring expense limits as prescribed in the table above:

- (a) Additional expenses of upto 30 bps under Registration 52 (6A) (b) for new inflows from specified cities;
- (b) Additional recurring expenses of upto 0.05% of daily net assets of the scheme towards the investment and advisory fees or various other permissible expenses.
 - However, in accordance with SEBI circular SEBI/HO/IMD/DF2/CIR/P/2018/15 dated February 2, 2018, AMC shall not charge any additional expense of upto 0.05% as per Regulation 52(6A) (c), if exit load is not being levied under the Scheme.
- (c) Brokerage and transaction costs (inclusive of GST) which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions;
- (d) Goods & Service tax on investment management and advisory fees.

For further details on recurring expenses, investors are requested to refer to the SID.

Waiver of load for Direct Application

Pursuant to SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no Entry Load will be charged for all Mutual Fund Schemes. Therefore, the procedure for Waiver of Load for Direct Applications is no longer applicable.

Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Statement of Additional Information (SAI) and also independently refer to his tax advisor.

Stamp Duty: Pursuant to Notification No. S.O. 4419(E) dated December 10, 2019 read with Notification No. S.O. 115(E) dated January 08, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on mutual fund transactions (including transactions carried through stock exchanges and depositories for units in demat mode), with effect from July 01, 2020*.

Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including dividend reinvestment and dividend transfers) to the unitholders would be reduced to that extent.

Further, with effect from July 01, 2020*, stamp duty shall be applicable at the rate of 0.015% on the consideration amount stated in the transfer instrument for off market transfer of units held is demat mode as well units held in physical mode.

*Or any other future date as may be notified by the Government of India.

Daily Net Asset Value (NAV) Publication

The first NAV of the Scheme will be declared within 5 business days of allotment. On an ongoing basis, NAVs will be calculated on each calendar day and disclosed on every Business Day. The Unit holders may obtain the information on NAV of the prescribed days by calling the office of the AMC or any of the Investor Service Centres or on the website of the AMC at www.assetmanagement.hsbc.co.in. Further, AMC has extended the facility of sending latest available NAVs to unit holders through SMS, upon receiving a specific request for the same. For detailed process of receiving the latest NAV through SMS, please visit http://www.assetmanagement.hsbc.co.in/mutual-funds.

The AMC shall update the NAVs on the website of Association of Mutual Funds in India-AMFI (www.amfiindia.com) and the Fund's website (www.assetmanagement.hsbc.co.in) by 11.00 p.m. on every Business Day. However, the AMC will endeavour to update the NAVs on the above websites daily by 11:00 p.m. on every Business Day, in case of any delay, the reasons for such delay would be explained to AMFI by the next day. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.

Modes of Payment

In addition to Cheque and Demand Draft as payment mechanisms, an additional mode of payment viz. Applications Supported by Blocked Amount (ASBA) is available for investors. ASBA refers to an application made for subscribing to an NFO, along with an authorization to a Self Certified Syndicate Bank (SCSB) to block the application money in a bank account. This will be available upon stock exchanges commencing this facility for mutual fund investors through their platforms. Please refer to the instructions in the KIM for details.

Unitholders' Information

Account Statement (for investors holding units in non-demat mode)

An allotment confirmation specifying the number of units allotted will be sent to the unit holders' by way of email and/or SMS to the registered e-mail address and/or mobile number, within 5 Business Days from the date of closure of the initial subscription list and/or from the date of receipt of the request from the unit holders'. In case of any specific request received from the unit holder(s), the AMC/Fund will provide the account statement to the unit holder(s) within 5 Business Days from the receipt of such request.

A statement of holding indicating the units held by the investors in the Segregated Portfolio along with the NAV of both Segregated Portfolio and Main Portfolio as on the day of the credit event shall be communicated to the investors within 5 working days of creation of the Segregated Portfolio.

A Consolidated Account Statement (CAS) for each calendar month shall be sent by email on or before 10th of the succeeding month to those unit holders in whose folio(s), transactions* have taken place during the month and have provided a valid Permanent Account Number (PAN). In the event that the registered email address of the unit holder is not available with the Fund, the CAS will be sent as a physical statement. CAS shall contain details relating to all transactions* carried out by the Unitholder across schemes of all mutual funds during the month, holdings at the end of the month and transaction charges paid to the distributor, if any.

For the purpose of sending CAS, common Unitholders' across mutual funds shall be identified by their PAN. In the event that the folio has more than one registered Unitholder, the first named holder will receive the CAS. The CAS shall not be received by those Unitholders whose folio(s) are not updated with PAN details. Unitholders are therefore requested to ensure that each of their folio(s) are updated with their PAN details. In case a specific request is received from the Unitholder, the AMC/Fund will provide the account statement to the unit holder(s) within 5 Business Days from the receipt of such request. The CAS issued to investors shall also reflect the total purchase value/cost of investment in each schemes.

Further, CAS detailing holding of investments across all schemes of all mutual funds at the end of every six months (i.e. September/March) shall be sent by email/physical on or before 10th day of succeeding month as the case may be, to all such Unit holders in whose folios no transactions have taken place during that period. The half yearly CAS will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical.

Further, CAS issued for the half year (September/March) shall also provide:

- a) The amount of actual commission paid by AMCs/Mutual Funds (MFs) to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each MF scheme. The term "commission" here refers to all direct monetary payments and other payments made in the form of gifts/rewards, trips, event sponsorships etc. by AMCs/MFs to distributors. Further, a mention may be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as goods and service tax ("GST") (wherever applicable, as per existing rates), operating expenses, etc.
- b) The scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

* The word 'transaction' includes purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, STP, SWP, and bonus transactions.

Allotment Advice (for investors holding units in dematerialised mode)

Allotment advice will be sent upon allotment of units stating the number of Units allotted to each of the Unit holder(s) who have opted for allotment in dematerialized mode within 5 working days from the date of closure of the NFO period. The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form.

For ongoing purchase transactions, units will be credited to the investors demat account upon realization of funds. Units will be allotted as per Applicable NAV for subscriptions/purchases as mentioned in the SID. For ongoing transactions there is no separate communication send to the customers holding units under demat mode.

Dematerialisation/Rematerialization of Units, if any will be in accordance with the provisions of SEBI (Depositories & Participants) Regulations, 1996 as may be amended from time to time.

All Units will rank pari passu among Units within the same Option/Sub-Option, i.e. either the Dividend Sub-Option or the Growth Sub-Option, as to assets, earnings and the receipt of dividend distributions, if any, as may be declared by the Trustees. Allotment of Units and despatch of Account Statements to NRIs/FPIs will be subject to RBI's general permission dated 30 March, 1999 to mutual funds, in terms of Notification no. FERA.195/99-RB or such other notifications, guidelines issued by RBI from time to time.

Annual Report:

A Scheme wise Annual Report/abridged summary thereof shall be provided to all Unitholders as soon as may be but not later than 4 months from 31 March of each year. The abridged/full Scheme wise Annual Report shall contain such details as are required under the Regulations/Circulars issued thereafter.

The Fund shall provide the Scheme wise annual report/abridged summary thereof as under:

- (i) By hosting the same on the websites of the AMC and AMFI;
- (ii) The physical copy of the scheme wise annual report/abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund.
- (iii) By e-mailing the same to those Unit holders' whose e-mail address is registered with the Fund;

Unit holders are therefore requested to update their email address with the Fund to receive annual reports through email.

The AMC shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on its website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unit holders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Half yearly Disclosures: Financial Results

The Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on AMC's website, containing details as specified in Twelfth Schedule of the Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund. The Fund shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Fund is situated.

Portfolio Disclosure

The AMC shall disclose portfolio (along with ISIN) as on the last day of the month/half-year for all their schemes on its website and on the website of AMFI within 10 days from the close of each month/half-year respectively in a user-friendly and downloadable spreadsheet format.

In case of unitholders whose e-mail addresses are registered, the AMC shall send via email both the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month/half-year respectively.

The AMC shall publish an advertisement every half-year disclosing the hosting of the half-yearly statement of its schemes portfolio on its website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the statement of scheme portfolio. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Compliance under FATCA

India has executed an Inter-Governmental Agreement (IGA) with the U.S. and the Fund intends to take any measures that may be required to ensure compliance under the terms of the IGA and local implementing regulations. In order to comply with its FATCA obligations, the Fund will be required to obtain certain information from its investors so as to ascertain their U.S. tax status. If the investor is a specified U.S. person, U.S. owned non-U.S. entity, non-participating FFI ("NPFFI") or does not provide the requisite documentation, the Fund may need to report information on these investors to the appropriate tax authority, as far as legally permitted. If an investor or an intermediary through which it holds its interest in the Fund either fails to provide the Fund its agents or authorised representatives with any correct, complete and accurate information that may be required for the Fund to comply with FATCA or is a NPFFI, the investor may be subject to withholding on amounts otherwise distributable to the investor, may be compelled to sell its interest in the Fund or, in certain situations, the investor's interest in the Fund may be sold involuntarily. The Fund may at its discretion enter into any supplemental agreement without the consent of investors to provide for any measures that the Fund deems appropriate or necessary to comply with FATCA, subject to this being legally permitted under the IGA or the Indian laws and regulations. Other countries are in the process of adopting tax legislation concerning the reporting of information. The Fund also intends to comply with such other similar tax legislation that may apply to the Fund although the exact parameters of such requirements are not yet fully known. FATCA is globally applicable from July 1, 2014 and in order to comply with FATCA obligations, the Fund will, seek additional information from investors while accepting applications, in order to ascertain their U.S. tax status. The Fund will not accept applications which are not accompanied with information / documentation required to establish the U.S. tax status of investors. Investors are therefore requested to ensure that the details provided under Section "Confirmation under Foreign Account Tax Compliance Act (FATCA) for determining US person status" of the application form are complete and accurate to avoid rejection of the application (updated forms are available with ISCs or on Fund's website - www.assetmanagement.hsbc.co.in).

Investors should consult their own tax advisors regarding the FATCA requirements with respect to their own situation. In the event of any conflict or inconsistency between any of these Terms and Conditions and those in any other service, product, business relationship, account or agreement between investor and HSBC, these terms shall prevail, to the extent permissible by applicable local law. If all or any part of the provisions of these Terms and Conditions become illegal, invalid or unenforceable in any respect under the law of any jurisdiction, that shall not affect or impair the legality, validity or enforceability of such provision in any other jurisdictions or the remainder of these Terms and Conditions in that jurisdiction. These Terms and Conditions shall continue to apply notwithstanding the death, bankruptcy or incapacity of the investor, the closure of any investor account, the termination of HSBC's provision of the Services to the investor or the redemption of the investor's investment in the Fund.

Common Reporting Standards

India has joined the Multilateral Competent Authority Agreement (MCAA) on automatic exchange of financial information in Tax Matters, commonly known as Common Reporting Standards ('CRS'). All countries which are signatories to the MCAA are obliged to exchange a wide range of financial information after collecting the same from financial institutions in their jurisdiction.

In accordance with Income Tax Act read with SEBI Circular nos. CIR/MIRSD/2/2015 dated August 26, 2015 and CIR/MIRSD/3/2015 dated September 10, 2015 regarding implementation of CRS requirements, it shall be mandatory for all new investors to provide details and declaration pertaining to CRS in the application form, failing which the AMC shall have authority to reject the application.

Compliance with Volcker Rule

The Volcker Rule is a part of the U.S. Dodd Frank Act which prohibits U.S. banks from proprietary trading and restricts investment in hedge funds and private equity by commercial banks and their affiliates. HSBC Holdings plc, is a U.S. regulated bank holding company and any entity (company, fund, trust, partnership etc.) located anywhere in the world, that is directly or indirectly controlled by the parent company is subject to the Volcker Rule. The Volcker Rule is effective from July 21, 2015.

As part of HSBC's Volcker Conformance obligations, the Fund is required to implement a Compliance Programme to ensure on-going compliance with the Volcker Rule and the AMC must ensure that no HSBC affiliate (fund or business entity) invests in the Fund unless it has implemented necessary controls to ensure that the ownership limits, in line with the Volcker Rule, can be met. Hence, the Fund may not be able to accept subscriptions from HSBC group entities into the schemes of the Fund, aggregating to more than 25% of the voting rights of a scheme. In the event of the aggregate investment by HSBC group entities crossing the above limits, the Fund will have the discretion to reject any subscription/switch applications received or redeem any excess exposure by the group entities in the Fund, to be in compliance with the Volcker Rule.

For Investor Grievances, please contact

Registrar

Computer Age Management Services Limited (CAMS),

HSBC Mutual Fund 'C' Block, 2nd Floor, Hanudev Info Park P Ltd, SF No.558/2, Udayampalayam Road, Nava India, Coimbatore – 641028.

Mutual Fund

Ms. Rheitu Bansal,

HSBC Asset Management (India) Private Limited, 16, V. N. Road, Fort, Mumbai - 400 001.

Toll free: 1800 200 2434/1800 258 2434

Fax: 022-49146254

E-mail: hsbcmf@camsonline.com

Notwithstanding anything contained in the SAI, SID and Key Information Memorandum of the Scheme the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Investors can also obtain further changes after the date of this Key Information Memorandum from the Mutual Fund / Investor Service Centres or distributors.

Important Instructions

- Please refer to the SID, SAI and the KIM carefully before filling the Application Form.
- Please refer the sections on "Who can invest" and "Who cannot invest" for a list of eligible investors in the SID. Applications from investors resident in USA or Canada will not be accepted.
- 3) All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- 4) Investors are requested to use the services of AMFI certified Distributors empanelled with the AMC. The AMC shall not be liable to an Investor, with respect to investments made through non-empanelled Distributors.

If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for "ARN Number" in the Application Form/Transaction Form. Any subsequent change/updation/removal of broker code will be based on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

Employee Unique Identification Number (EUIN): SEBI circular CIR/IMD/DF/21/2012 dated September 13, 2012, required creation of a unique identity number of the employee/relationship manager/sales person of the distributors interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This has been recommended by SEBI in order to avoid any instance of mis-selling, particularly in advisory based transactions. This would further help tackle the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor. Due to this regulatory change, all employees of distributors who are involved in sale of mutual fund products are required to obtain an Employee Unique Identification Number (EUIN). Applications received without a valid EUIN and/or valid sub-broker code(should be a valid ARN and not an internal code) are subject to rejection by the fund.

Treatment of Financial Transactions Received Through Suspended Distributors :

- 1. All Purchase/Switch requests (including under fresh registrations of Systematic Investment Plan ("SIP")/Systematic Transfer Plan ("STP") or under SIPs/STPs registered prior to the suspension period) received during the suspension period shall be processed under "Direct Plan" and continue to be processed under "Direct Plan" perpetually unless, after suspension of ARN is revoked, investor makes a written request to process the future instalments/investments under "Regular Plan". HSBC Asset Management (India) Private Limited ('AMC') shall also suitably inform the concerned unitholders about the suspension of the distributor from doing mutual fund distribution business.
- 2. Any Purchase/Switch or SIP/STP transaction requests received through the stock exchange platform, from any distributor whose ARN has been suspended, shall be rejected.
- 3. Additionally, where the ARN of a distributor has been terminated permanently, the AMC shall advise the concerned unitholder(s), who may at their option, either continue their existing investments under Regular Plan under any valid ARN holder of their choice or switch their existing investments from "Regular Plan" to "Direct Plan" subject to tax implications and exit load, if any.
- 5) In respect of purchase of units in Equity oriented Schemes with amounts equal to or more than Rs. 2 lakhs, the closing NAV of the business day on which the funds and transaction are available on or before 3 pm shall be applicable.

6) Identification of Ultimate Beneficial Owner (UBO)

Pursuant to SEBI master circular vide ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and guidelines on Identification of Beneficial Ownership issued by SEBI vide its circular ref. no. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proofs to identify the UBO, i.e., Identity and address proof.

Ultimate Beneficial Owner (UBO) is the natural person, who ultimately owns or controls, directly or indirectly your organisation. Controlling ownership interest has been defined as ownership of/entitlement to;

- a) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- b) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership or,
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals
- d) In case of Trust, beneficial owners of the trust needs to be known by

- determining the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- e) In case the Investor is a listed company or a subsidiary where the majority is held by a listed company, then the details of shareholders or beneficial owners is not required.
- f) The identification of beneficial ownership in case of Foreign Portfolio Investors (FPIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

Investors (other than Individuals & Listed companies) shall be mandatorily required to submit the following additional documents along with the declaration, to the Fund at the time of an investment transaction. Additionally, investors shall be required to notify the fund, when there is a change in the beneficial ownership:

- Copy of the latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the Company Secretary/Whole time director/MD.
- Documents confirming identity and address of the UBOs of the entity.

Investors are requested to note that, the fund shall reserve the right to seek additional information to ascertain the beneficial or controlling ownership in the entity investing with the fund. Applications without the information are subject to rejection/refund.

7) Applications under Power of Attorney / Body Corporate / Registered Society / Trust / Partnership

The original Power of Attorney or a duly notarised copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

A company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the schemes should furnish a certified copy of resolution or authority to make the application as the case may be and a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or Trust Deed and/or Partnership Deed and certificate of registration or any other document as the case may be. In case of a Trust/Fund, it shall submit a certified true copy of the resolution Important Instructions from the Trustee(s) authorising such purchases. The officials should sign the application under their official designation and furnish a list of authorised signatories. All communications and payments shall be made to the First Applicant only.

8) For investment in SIP under the category of Micro Financial Products, please refer to instruction for filling of the Application Form on Page No. 14.

9) Prevention of Money Laundering and Know Your Client (KYC) Norms

KYC (Know Your Customer) norms are mandatory for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor for transacting in Mutual Funds. Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and nonfinancial requests will not be processed if the unit holders (including POA holder) have not completed KYC requirements. Investors are requested to note that all investors are required to be KRA (KYC Registration Agency) KYC compliant. Those investors who had obtained MF KYC compliance through CVL (KYC registration authority till 31 December 2011) are required to submit necessary supporting(s) and update the missing information to be in compliance with the uniform KYC requirement laid down by SEBI.

For investors who have not completed KYC compliance through KRA, any application received without the requisite KYC information will be rejected. However, investors who have obtained KRA KYC compliance, as well as existing investors of the Fund who have registered their KYC details with the Fund shall be required to submit the additional KYC information to the Fund, only in the event of change in their occupation or income details. Kindly use the updated application forms or the separate KYC form of the Fund, available at ISCs or on the Fund's website for updating the additional information. The AMC reserves the right to reject the application and refund the application amount, post acceptance of the application, in the event that the required KYC information is not provided or not found adequate.

Implementation of Central KYC (CKYC)

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form. New individual investors investing into the Fund are requested to note the following changes, from February 1, 2017.

- New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system, will be required to fill the new CKYC form while investing with the Fund.
- If any new individual investor uses the old KRA KYC form which does not have all the information needed for registration with CKYC, such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.
- 3. Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC Registry can invest in schemes of the Fund quoting their 14 digit KIN in the application form. Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card will need to be provided.

10) Third Party Payments

- a) Third party payments (i.e where payment is made from a source other than that of the first holder) will not be accepted by the Fund, except if made under the following exceptional categories, namely i) as gift by parents/grandparents/related persons in favour of minor, not exceeding Rs. 50,000/-, ii) employer on behalf of employee as payroll deductions or deductions out of expense reimbursements for SIP/Lumpsum investments, iii) Custodian on behalf of FII/client and iv) Payment by Asset Management Company (AMC) to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or Lumpsum Investment (w.ef January 16, 2012). v) Payment by a Corporate to its Agent/Distributor/Dealer, on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment (w.e.f. April 20, 2015). In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment. (Declaration formats can be obtained from ISCs or downloaded from the Fund's website.)
- b) In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may pre-register their bank accounts (single/multiple) with the AMC/RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third party payment.
- c) Where the payment instrument does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holders name should be attached, in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs. 50,000 or more.
 - For RTGS/NEFT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.
- d) The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents/declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund orders will be marked "A/c. payee only" and will be in favour of and be despatched to the Sole/First Applicant, by courier/speed post/registered post.
- 11) Subject to the SEBI (MF) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit holders to accept such an application.

12) NRIs, Persons of Indian Origin, FPIs

The Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) Regulations, 2000 (the "FEMA Regulations") permit a NRI / POI to purchase on repatriation or non-repatriation basis, without limit, units of domestic mutual funds. Payment for such units must be made either by cheque or demand draft by means of: (i) inward remittance through normal banking channels; or (ii) out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of purchases on a non-repatriation basis.

Investments by NRIs/FPIs shall be in accordance with the prevailing laws governing such investments.

Payment by the FPI must be made either by inward remittance through normal banking channels or out of funds held in foreign currency account or non resident rupee account maintained by the FPI with a designated branch of an authorised dealer in terms of paragraph 2 of Schedule 2 to the FEMA Regulations.

13) Confirmation under the Foreign Account Tax Compliance Act (FATCA) for determining US person status (MANDATORY for ALL unitholders)

The United States Department of the Treasury and the US Internal Revenue Service (IRS) has introduced The Foreign Account Tax Compliance Act (FATCA), effective 1 July 2014. The purpose of FATCA is to report financial assets owned by United States persons to the United States tax authorities. Accordingly, Government of India may collect information from banks and financial institutions and onward submit it to United States authorities.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request confirming their status against a list of US indicia's. The indicia's are to identify a United States Person as defined under the Laws of the United States of America. The absence of these completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by AMFI/SEBI. We may also be required to report information relating to these folios to the authority established by the Government of India.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on the fund website). AMC reserves the right to seek additional information/documents in order to ascertain your status.

14) Nomination Details

Applicants applying for Units singly/jointly can also make the nomination at the time of initial investment. Investors are advised to consider availing nomination facility in their own interest.

- a) The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
- b) Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.
- c) There can be no nomination in a folio held in the name of minor.
- d) The investors/Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the 'Share/Ratio' of nomination has to be clearly specified in the nomination form/request letter. If the 'Share/Ratio' of nomination is not explicitly stated, then the nomination shall be treated at 'Equal Share/Ratio'.
- e) A minor can be nominated and in that event, the name, address and PAN of the Guardian of the minor nominee (in case the minor does not have PAN) shall be provided by the Unitholder.
- f) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination can also be made in favour of the Central Government, State Government, Local Authority, any person designated by virtue of his office or a religious or charitable trust.
- g) Nomination in respect of the Units stands rescinded upon the transmission of Units.
- h) Transmission of Units in favour of a Nominee shall be valid discharge by the AMC / Mutual Fund / Trustees against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- j) On cancellation of the nomination, the nomination shall stand rescinded and the AMC/Mutual Fund/Trustees shall not be under any obligation to transmit the Units in favour of the Nominee.
- k) The rights in the units will rest in the Nominee(s) only upon death of all Unitholders.
- Every new nomination for a folio/account will overwrite the existing nomination.
- m) Nomination shall be mandatory for new folios/accounts opened by individual especially with sole holding and no new folios/accounts for individuals in single holding should be opened without nomination.
- n) In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.

Instructions for filling up the Application Form

1. General Information

- (i) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (☐), where boxes have been provided.
- (ii) Please do not overwrite. For any correction/changes (if any) made on the application form, applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signed by the sole /all applicants.
- (iii) In view of the RBI Circular DPSS.CO.CHD.No. 1832/01.07.05/2009-10 dated 22nd February 2010, kindly note the following:

No changes/corrections should be carried out on the cheques. For any change in the payee's details, amount in figures or amount in words, etc., fresh cheque would be required. Cheque with alterations/corrections will not be honoured effective 1st July, 2010.

2. Applicant's Information

Applicants must provide all the details under Section 2 of the Application Form.

- (i) Name and address must be given in full (P.O. Box Address alone is not sufficient).
- (ii) If the Name given in the application is not matching PAN, application may be liable to get rejected or further transactions may be liable get rejected.
- (iii) Non-Resident Investors and Foreign Nationals should mandatorily state their complete overseas address in the application form else the application will be rejected. Further, Investors are requested to note that in case information with regard to US Person or Canada Resident is subsequently received from the investor by way of change of address or obtained from KRA database, the AMC at a later date reserves the right to redeem the investments after providing due notification to such investor.
- (iv) Please provide the name of the Contact Person in case of investments by a Company/Body Corporate/Partnership Firm/Trust / Society/FPIs / Association of Persons / Body of Individuals.
- (v) If the application is on behalf a Minor, the Guardian's Name and date of birth of the Minor should be provided.
- (vi) The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- (vii) Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only, else the transaction is liable to get rejected
- (viii) Existing unit holders are requested to review the Bank Account registered in the folio and ensure that the registered Bank Mandate is in favour of minor or joint with registered guardian in folio. If the registered Bank Account is not in favour of minor or not joint with registered guardian, unit holders will be required to submit the change of bank mandate, where minor is also a bank account holder (either single or joint with registered guardian), before initiation of any redemption transaction in the folio, else the transaction is liable to get rejected

3. Bank Account Details / Multiple Bank Account Registration

Investors to ensure the name in the application form and in their bank account are the same. Applicants should provide the name of the bank, branch address, account type and account number of the Sole/First Applicant. As per SEBI guidelines, it is mandatory for investors to mention their bank account details in the Application Form. AMC will endevour to directly credit redemption/dividend proceeds in customer's bank account wherever possible

For NRI investors - Kindly also provide the FIRC letter from your banker (i.e. source of funds confirmation) if your account type is NRE.

Applications without this information will be rejected.

Multiple Bank Accounts Registration / Deletion Facility:

1. The fund offers it's investors' facility to register multiple bank accounts for pay-in & payout purposes and designate one of the bank account as "Default Bank Account". This facility can be availed by using a designated Multiple Bank Accounts Registration / Deletion Form (available at the ISCs or downloaded from the Fund's website). In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all

dividends and redemptions payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.

- Bank Account Details with Redemption Request: Please note the following important points related to payment of redemption proceeds:
 - Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.
 - b. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used.
 - c. Updation of Bank Account in a customer's account / folio should be submitted either using the Multiple Bank Account Registration Form or the standalone Change of Bank Mandate form only. Hence, any form containing redemption request will not have the facility to change the bank mandate or update a new bank mandate.
 - The Fund will continue to follow a cooling period policy whenever any change of Bank Mandate request is received/processed few days prior to submission of a redemption request.
 - d. Updation/change of bank account in a folio should either be through Multiple Bank Account Registration Form or a standalone separate Change of Bank Mandate form only. Hence, forms like Common Transaction Form or any other form containing Redemption and Change of Bank Mandate requests will not be processed by the Fund and investors must refrain from using such forms which have combined Redemption and Change of Bank Mandate requests for the purpose of changing their bank mandate or updating a new bank mandate. Please visit our website www.assetmanagement.hsbc.co.in for the list of documents for updation of new bank mandate.

Any request for change of bank mandate details will be entertained only if the Unit Holder provides any of the following documents along with the designated Multiple Bank Account Registration/Deletion form or a standalone separate Change of Bank Mandate form :

Any one of the following document to be provided for Existing (Old) as well as New Bank account:

- Cancelled original cheque leaf with first Unit Holder name and bank account number printed on the face of the cheque. OR
- Copy of Bank Passbook having the name, address and account number of the account holder.

Important: The above documents should be either in original or copy to be submitted along with original produced for verification. In case if documents for the existing bank account are not available, kindly visit HSBC/CAMS office for In Person Verification along with PAN Card Copy/Photo Identification Proof for PAN Exempt cases. All documents to be self attested. Kindly carry originals for adding a new bank.

- e. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within ten days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.
- f. Unitholder(s) are strongly advised to register their various bank accounts and continuously update the bank account details with the mutual fund, using this facility well in advance and specify any one of registered bank account for payment of redemption proceeds with each redemption request. If any of the registered bank accounts are closed/altered, please intimate such change with an instruction to delete/alter it from of our records using this form.
- g. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
- h. If in an NRI folio, subscription investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/NRO/NRE.

- HSBC Mutual Fund, the AMC or its registrar and other service providers shall not be held liable for any loss arising to the unit holder(s) due to the credit of the redemption proceeds into any of the bank accounts registered in the folio.
- j. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.
- k. In case a redemption request is received before the change of bank details has been validated and registered, the redemption request would be processed to the currently registered (existing on fund records) bank account. In such a case the Trustee/AMC will not be responsible in case of old bank mandate being frozen/locked by the bank for any purpose including non-maintenance of adequate balance.
- 3. Bank Mandate Registration as part of new folio creation: Investor(s) or Unit Holder(s) are requested to note that any one of the following documents shall be submitted by the investor(s) or Unit Holder(s), in case the cheque/Fund Transfer Request provided along with fresh subscription/new folio creation does not belong to the bank mandate specified in the application form:
 - Cancelled original cheque leaf with first Unit Holder name and Bank account number printed on the face of the cheque. OR
 - Copy of Bank Passbook having the name, address and account number of the account holder.

Important: The above documents should be either in original or copy to be submitted along with original produced for verification. Kindly visit HSBC/CAMS office with originals for verification. All documents to be self attested

Where such additional document(s) are not provided for the verification of bank account, the AMC reserves the right to capture the bank account used towards subscription for the purpose of redemption and dividend payments.

4. Investment and Payment Details

- (i) The application amounts can be tendered by cheque/demand drafts payable locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques/stockinvests/postal orders/money orders/cash will not be accepted.
- (iii) All cheques and bank drafts must be drawn in the name of the Scheme e.g. "HSBC Focused Equity Fund" and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application. All cheques and bank drafts accompanying the application form should contain the application form number /folio number on its reverse.

Please note that amount in words and figures on the cheque must tally and should **not** be in local languages.

Kindly note that post dated cheques are not allowed except for Systematic Investment Plan (SIP) Transactions.

(iii) Bank charges for outstation demand drafts will be borne by the AMC and units will be allotted inclusive of the DD charges incurred. The above will be limited to the bank charges as per table below.

Amount DD Charges	
Upto Rs. 10,000/-	At actuals, subject to a maximum of Rs. 65/-
Above Rs. 10,000/-	At Rs. 3.50/- per Rs. 1,000/ Minimum Rs. 65/-
	and Maximum Rs. 12,500/-

However, such Demand Draft charges would be borne by the AMC only when the investor is not residing in any of the locations where the AMC or CAMS have official points of acceptance and the Demand draft has not been issued at the AMC/CAMS point of acceptance. Such demand drafts should be payable at the AMC/CAMS location where the investment application is submitted. The AMC will not entertain any request for refund of demand draft charges.

(iv) If no indication is given for the investment the default Option will be as follows:

Indication not made / incorrectly made	Default
Scheme Name	As indicated on the Application Form
Direct plan ticked (irrespective whether broker code written on the application or not)	
Distributor code is Incorrect or left blank or "Direct"	Units will be allotted under "Direct Plan"
Dividend / Growth Option / Sub-options	Growth Option / Sub-option
Dividend Payout / Reinvestment	Dividend Reinvestment
Mode of holding (in cases where there are more than one applicant)	Joint

Indication not made / incorrectly made	Default
Status of First Applicant (Individual, HUF, Company etc.)	Others#
Demat Account Details*	Units will be held in physical mode

- * For Investors, who wish to opt for Demat mode, the applicants under the Scheme (including a transferee) will be required to have a beneficiary account with a DP of NSDL/CDSL and will be required to indicate in the application the DP's name, DP ID Number and its beneficiary account number with DP. In the absence of the information (including incomplete information/incorrect) in respect of DP ID/BO ID, the application will be processed with statement option as 'physical' only.
- # Tax rates (including the tax on dividend distribution) wherever applied on 'others' by HSBC Mutual Fund shall be the same as applicable to a Resident Indian Company.

With regard to Broker Code, default Plan as per the following table will apply to investors.

Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. In cases of Suspended ARN codes mentioned on the application form, the application shall be processed under Direct Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

(v) ASBA Facility

As per SEBI circular dated March 15, 2010 read with circular dated July 28, 2010, investors can subscribe to the NFO through ASBA facility for NFOs launched on or after October 1, 2010. The ASBA facility shall co-exist with the current process, wherein cheques/demand drafts are accepted by the Mutual Fund as a mode of payment for subscription. ASBA is an application containing an authorisation given by the investor to block the application money in his specified bank account towards the subscription of Units offered during the NFO of the Scheme. Thus, for an investor who applies though ASBA facility, the application money blocked towards subscription of Units shall be debited only if his/her application is selected for allotment of Units. The ASBA facility is offered by selected Self Certified Syndicate Banks (SCSBs) which are registered with SEBI for offering the facility, and whose names appear in the list of SCSBs on the website of NSE, BSE and SEBI. Investors are requested to check with their respective banks about the availability of the ASBA facility. Investors adopting the ASBA route may only fill up the ASBA application form.

PAYMENT MECHANISM

A) Lumpsum Investment

a) All cheques and bank drafts must be drawn in the name of the Scheme and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application. Kindly note that post dated cheques are not allowed except for Systematic Investment Plan (SIP) Transactions.

Refer below details for subscription through RTGS/NEFT:

Bank	Hongkong & Shanghai Banking Corporation Ltd
Branch	52/60, M G Road, Fort, Mumbai
Account Type	Current Account
Bank account name	HSBC Focused Equity Fund Collection Account
Account number	002-119485-001
RTGS/NEFT IFSC	HSBC0400002

B) SIP Investment

- a) In case an investor wishes to invest on a monthly basis, the investor is required to provide:
 - at least 12 post-dated cheques of at least Rs. 500 (Rs. Five hundred) or
 - a mandate form to enable SIP debits either through NACH or such other

facilities as may be provided by the AMC for a block of atleast 12 instalments along with a copy of the cancelled cheque leaf with name of the unit holder pre-printed.

In case an investor wishes to invest on a **quarterly** basis, the investor is required to provide:

- at least 4 post-dated cheques of at least Rs. 1500 (Rupees One thousand Five hundred) each, or
- a mandate form to enable SIP debits either through NACH or such other facilities as may be provided by the AMC for a block of atleast 4 instalments along with a copy of the cancelled cheque leaf with name of the unit holder pre-printed
- b) The cheque for the first SIP installment can carry any date. The first installment of the SIP will be processed subject to applicable NAV & load, if any, on the date of receipt of the application form (post dated cheque will not be accepted). The second installment in case of monthly SIP will be processed on any date as mentioned by the investor. If the choice of date for the second installment is not indicated by the investor, the second installment of SIP will be processed on the 10th of every month. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next available Business Day.
 - In case of quarterly SIP, the date for next instalment will be 10th of the relevant month. If any of above dates fall on a holiday, the transaction will be taken as of the next Business Day. Please refer to the Load Structure of Scheme for details of applicable loads. Outstation cheques will not be accepted.
- c) The cheques should be drawn in the name of the Scheme and crossed "Account Payee only" and must be payable at the locations where the applications are submitted at the Investor Service Centres. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected.
- d) You can choose multiple SIP dates in the Auto Debit Form in case you wish to make the SIP investment on multiple dates each month.
- e) If SIP form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits will not happen and SIP instruction shall be rejected.
- f) In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- g) In case the Frequency (Monthly/Quarterly) and SIP date is not indicated, Monthly frequency shall be treated as the Default Frequency, 10th shall be treated as the Default date.
- h) In case of investments under the SIP, if 2 or more consecutive post dated cheques/payment instructions provided by the investor are dishonored for either insufficiency of funds or as a result of a stop payment instruction issued by the investor, the AMC reserves the right to discontinue the SIP.
- i) There is no maximum duration for SIP enrolment. However, SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The SIP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age. An investor has an option to choose the 'End Date' of the SIP by filling the date or by selecting the Default Date i.e. March 2099. Incase the end date is not filled or default March 2099 chosen, the AMC reserves the right to reject such SIP applications.
- j) The SIP may be discontinued on a written notice to the Registrar of at least 21 calendar days by a unit holder of the Scheme. The AMC reserves the right to introduce/discontinue SIP/variants of SIP from time to time.

C) SIP under Micro Financial Product category

In accordance with guidelines issued by AMFI vide its circular dated July 14, 2009 SIPs up to Rs. 50,000/- per year per investor i.e. aggregate of investments in a rolling 12 months period or in a financial year i.e. April to March (hereinafter referred to as "Micro SIP") shall be exempted from the requirement of PAN, with effect from August 1, 2009. This exemption shall be applicable only to investments by individuals (excluding PIOs), Minors and Sole proprietary firms including joint holders. HUFs and other categories of investors will not be eligible for this exemption. Micro SIP investors will require to be KYC compliant by fulfilling the uniform KRA KYC formalities and submit the requisite documents along with the investment application.

Micro SIP investors will be subject to uniform KRA KYC process. This exemption on PAN shall not be applicable to normal purchase transactions up to Rs. 50,000/which will continue to be subject to the PAN requirement.

Along with the KRA KYC acknowledgement and a proof of address, any one of the following photo identification documents can be submitted along with Micro

SIP applications as proof of identification in lieu of PAN:

Where photo identification documents contains the address of the investor, a separate proof of address is not required.

The photo identification document and the proof of address have to be current and valid and also to be either self attested or attested by the ARN holder (AMFI Registered Distributor) mentioning the ARN number.

While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting document. The Micro SIP application will be rejected by the AMC where it is found that the registration of the application will result in the aggregate of Micro SIP installments in a financial year exceeding Rs. 50,000 or where there are deficiencies in the documents submitted by the investors in lieu of PAN as mentioned above. The rejected application will be sent back to the investor with a deficiency memo. In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds shall be made by the AMC for the units already allotted and a communication to this effect will be sent to the investors. However, investors shall be allowed to redeem their investments at applicable NAV.

5. Transaction Charges

In accordance with SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, the Fund will deduct Transaction Charges from the investment amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges for a specific product type). Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase/subscription of Rs. 10,000 and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested.

Transaction Charges in case of Investments through SIP:

Transaction Charges in case of investments through SIP are deductible only if the total commitment of investment (i.e. amount per SIP instalment x No. of instalments) amounts to Rs. 10,000 or more. In such cases, Transaction Charges shall be deducted in 3-4 instalments.

Transaction Charges shall not be deducted:

- (a) where the Distributor of the investor has not opted to receive any Transaction Charges for the specific product category;
- (b) for purchases/subscriptions/total commitment amount in case of SIP of an amount less than Rs. 10,000/-;
- (c) for transactions other than purchases/subscriptions relating to new inflows i.e. through; Switches/Systematic Transfers/Dividend Transfers/Dividend Reinvestment, etc.;
- (d) for purchases/subscriptions made directly with the Fund (i.e. not through any Distributor);
- (e) for purchases/subscriptions routed through Stock Exchange(s).

First/Sole Applicant/Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose in the application form. The AMC/Fund will endeavor to identify the investor as "first time" or "existing" based on the Permanent Account Number (PAN) at the First / Sole Applicant/Guardian level. If the PAN details are available, then the First/Sole Applicant/Guardian will be treated as existing investor (i.e. Rs. 100 will be deducted as Transaction Charge) else the declaration in the application form will be considered (i.e. INR. 150 for first time investors or INR. 100 for other than first time investors will be deducted as Transaction Charge, as applicable). However, if an investor has not provided any declaration, he will be considered as an "existing" investor.

6. Process and requirements for Change of Address

AMFI vide its circular 26/2011-12 dated 21 March 2012 has advised uniform procedure to be followed for Change of address in Mutual Fund folios. The following documents will be mandatorily required to effect Change in Address:

a. For Non-KYC compliant folios / clients:

- Proof of new Address (POA), and
- Proof of Identity (POI): PAN card copy if PAN is updated in the folio, or PAN/other proof of identity if PAN is not updated in the folio.

b. For KYC compliant folios / clients:

- Proof of new Address (POA),
- Any other document/form that the KRA may specify form time to time.

Copies of documents submitted must be self-attested and accompanied by originals for verification. If originals are not produced, copies should be properly attested/verified by entities authorized for attesting / verification of documents as per extant KYC guidelines.

Proof of Identity (POI): List of documents admissible as Proof of Identity (*Documents having an expiry date should be valid on the date of submission):

- PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
- Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission)

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook Not more than 3 months old.
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly or Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. The proof of address in the name of the spouse may be accepted.

List of people authorized to attest the Proof of Address and Proof of identity after verification with the originals:

- 1. Authorised officials of Asset Management Companies (AMC).
- Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC
- 3. KYD compliant mutual fund distributors.
- 4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
- Government authorized officials who are empowered to issue Apostille Certificates.

7. Mode of Holding

Demat / Non-Demat Mode: Investors have the option to hold the units in demat form. Please tick the relevant option of Yes/No for opting/not opting units of the Plan in demat form. In no option is excercised, "No" will be the default option.

Investor can hold units in demat/non-demant mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.

The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.

Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

Unitholders who intend to avail the facility to trade in units are required to have a Demat Account. Unitholders holding units in SOA form and desires to trade in the units, can do so by dematerialising the SOA through depositories. For conversion of Mutual Fund units represented by SOA into dematerialized form or vice-a-versa, the unitholders are required to approach depositories. Currently, the units are listed at National Stock Exchange (NSE).

8. Declaration and Signatures

- a) Signature should be in black or blue ink only.
- b) Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his /her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
- c) Applications on behalf of minors should be signed by their Guardian.

HSBC Mutual Fund/AMC, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund/AMC.

CHECKLIST FOR DOCUMENTATION

Do	cuments	Individuals	NRIs	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs	Investments through Constituted Attorney
1.	Certificate of Incorporation/Registration				✓	✓			✓	✓	
2.	Resolution/Authorisation to invest				✓	✓	✓		✓	✓	
3.	List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	✓
4.	Memorandum & Articles of Association				✓						
5.	Trust Deed					✓					
6.	Bye-Laws						✓				
7.	Partnership Deed/Deed of Declaration							✓	✓		
8.	Notarised Power of Attorney										✓
9.	Proof of PAN (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10.	Proof of KYC/CKYC - KIN number	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
11.	Overseas Auditor's Certificate (applicable for DTAA)		✓							✓	
12.	Foreign Inward Remittance Certificate		✓							✓	
13.	Date of Birth Certificate or School Leaving Certificate or Passport of Minor			✓							
14.	Document evidencing relationship with Guardian			✓							
15.	Declaration for Identification of Beneficial ownership				✓	✓	✓		✓	✓	
16.	FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS

HSBC MUTUAL FUND

- Ahmedabad : Mardia Plaza, C.G. Road, Ahmedabad 380 006.
- Bengaluru: No. 7, HSBC Center, M.G. Road, Bengaluru 560 001.
- Chennai : No. 30, Rajaji Salai, 2nd Floor, Chennai 600 001.
- Chandigarh: SCO 1, Sector 9 D, Chandigarh 160 017.
- Hyderabad: 6-3-1107 & 1108, Rajbhavan Road, Somajiguda, Hyderabad 500 082.
- Kolkata: 31 BBD Bagh, Dalhousie Square, Kolkata 700 001.
- Mumbai: 16, V.N. Road, Fort, Mumbai 400 001.
- New Delhi : Ground Floor, East Tower, Birla Tower, 25, Barakhamba Road, New Delhi 110 001.
- Pune : Amar Avinash Corporate City, Sector No. 11, Bund Garden Road, Pune 411 001.

CAMS SERVICE CENTRES / CAMS LIMITED TRANSACTION POINTS / CAMS COLLECTION CENTRES

For details on CAMS Service Centres, CAMS Limited Transaction Points and CAMS Collection Centres, please visit www.camsonline.com or call us on 1800 200 2434/1800 258 2434 AND Investors calling from abroad may call on +91 44 39923900 to connect to our customer care centre.

CAMS Limited Transaction Points and CAMS Collection Centres have limited operating hours from 12:00 p.m. to 3 p.m. Collection Centres only accept application forms and service requests. For any enquiries, customers transacting at these locations are requested to call the nearest CAMS Service Center or the National Toll Free Customer Support number.

Toll Free Number : $1800\ 200\ 2434/1800\ 258\ 2434$ (can be dialled from all phones within India) AND Investors calling from abroad may call on :+91 44 39923900 to connect to our customer care centre.

For more details on visit : www.camsonline.com

ASBA

All Self Certified Syndicated Banks (SCSBs) and their branches, through which Applications Supported by Blocked Amounts (ASBA) are sourced shall also be considered as Official Points of Acceptance for ASBA Applications.

Please check our website www.assetmanagement.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please note that during the NFO period, switch transactions (switch-out from an existing Scheme of HSBC Mutual Fund to HSBC Focused Equity Fund should be submitted only at HSBC Mutual Fund Investor Service Centres listed above.

HSBC Focused Equity Fund - NFO

NFO Application Form



(Focused Fund – An open ended equity scheme investing in maximum 30 stocks across market caps (i.e. Multi-Cap))

(To be Filled in BLOCK LETTERS only)

	DISTRIBUTOR INFORMATION (Onl	y empanelled Distributors/Bro	kers will	be permitted to	distribute U	Jnits)		
	Broker Name & ARN code/RIA code	Sub-broker ARN code	S	ub code	El	UIN	App.	
							No.:	
	^ I/We hereby confirm that by mentioning R	IA code, I/We authorise you to	share with	h the SEBI Regis	stered Invest	ment Adviser		
	(RIA) the details of my/our transactions in th	ne schemes(s) of HSBC Mutual F	fund.	_				For Office Use Only
	I/We hereby confirm that the EUIN box has interaction or advice by the employee/relation the advice of in-appropriateness, if any, provide	nship manager/sales person of th	he above o	distributor/sub b	oroker or not	twithstanding		Tor office ese only
	Sole/First Applicant/Authorised Signatory	Second Applicant/Authorised Signa	atory	Third Applica	nt/Authorised	Signatory		
	TRANSACTION CHARGES (Please t	ick any one of the below. Re	efer poin	t 5 on page 14	regarding	transaction cl	harges app	olicability)
	I AM A FIRST TIME MUTUAL FUN (₹ 150 will be deducted as transaction charge)		id more)			G INVESTOR		UAL FUND er purchase of ₹ 10,000 and more)
2	APPLICANT'S INFORMATION [Please	e fill in your Folio No. below. In cas	se of existin	ng folio, furnish on	nly KYC and l	PAN details below	v (if not prov	ided earlier) and proceed to Section 3
	Folio No.	Pleas	se note t	hat applicant de	etails and n	node of holdii	ng will be	as per existing Folio Number.
	SOLE/FIRST APPLICANT'S PERSONA	L DETAILS		Are you a re	esident of U	SA/Canada? (v	/) Yes	No ^{‡‡} (^{‡‡} Default if not ticked)
	Name Mr Ms M/s							
	Date of Birth ~‡ (Mandatory) D D M	M Y Y Y Y				Birth Certificate SC State Board		Leaving Certificate Passport (please specify)
	KYC Identification No. (KIN) ‡‡							
	PAN** (Mandatory)			Proof to be e	nclosed (PAN care	d Copy	
	Nationality‡			Country of I	Residence			
	GUARDIAN NAME (if Sole/First appli	cant is a Minor) Contact Pe	erson (in	case of Non-in	ndividual Ir	nvestors only)		
	Mr Ms M/s							
	KYC Identification Number (KIN) ‡‡							
	PAN** (Mandatory)			Proof to be en	closed (✓) [PAN card C	ору	
	Natural Guardian ⁺ (Father or Mother) + Document evidencing relationship with Guardian	Legal Guar	rdian++ (.egal Guard	court appointed lian, please submit	Guardian) attested copy	of the court appo	intment letter	, affidavit etc. to support.
3	Limited Co. Body Corporate Partnership Society LLP PIO Non Profit Org. KYC DETAILS [Mandatory (Details of G	anisation Global Development uardian in case the unitholder	t Network	Foreign Nation				Government Body NGO BO
_	Investors are requested to complete the KY			* **	1		7xx :01	
a.	Occupation Details (✓): □Private Sector Service □ Business [Nature of Business] □ □ C							
b.	Gross Annual Income (Please ✓) : ☐ I	Below ₹ 1 Lac	s	5-10 Lacs	₹ 10-25 Lac	cs	acs - ₹ 1 Cı	rore
	OR Net-worth in Rupees (Mandatory for	Non-Individuals) ₹ Net-wor	rth should	not be older than	n 1 year	as on (date)	D D M	1 M Y Y Y Y
	For Individuals [Tick (✓) if applicable] :	For Non-Individual Investors	` 1					
	Politically Exposed Person (PEP)	I. Is the company a Listed Co (If No, please attach manda			Listed Compa	any or Controlle	d by a Liste	d Company Yes No
c.	Related to a Politically Exposed	II. Foreign Exchange/Money						Yes No
	Person (PEP)	III. Gaming/Gambling/Lotter						Yes No
	☐ Not Applicable	IV. Money Lending/Pawning	•					Yes No
	For Non Individual Investors - Identification of Beneficial Ownership	Mandatory UBO Declaration (Not Required for a Listed Co	on form d				ed by a Lis	Yes No
	** W.e.f. January 1, 2008, PAN number is Mand	latory for all investors (including .		<u> </u>				• • •
	Instructions for filling up the Application Forr W.e.f. January 1, 2011, all the applicants need are required to complete the uniform KYC pr under KRA (KYC Registration Agency) regim	to be KYC Compliant irrespective ocess (for details refer point 9 und	der Import	tant Instructions).	W.e.f. Februa	ary 1, 2017, Nev	v individual	investors who have never done KY0
	 Please note that information sought here will be Transactions subject to rejection if minor has of Minor. 						for instruction	ons related to folios held in the namcontinued overleaf
	CKNOWLEDGEMENT SLIP (To be fill	•	- — —					
	ote: This Acknowledgement Slip is for your refere	nce only. Information provided on	the form	is considered fina	1.		App.	
	eceived from Mr Ms M/s blio No.	application for Units of	HCRC E	noused Farity F-	ınd		No.:	
		***		Cheque/DD No	ına			
	ated Drawn on (Bank)			Amount (₹)				700 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
	SIP Investment Total Cheques F	CCS (Debit Clearing)/Direct Debi	it Facility	Total Amount (₹)			ISC Stamp, Signature & date

CONTACT DETAILS AND CORRESPONDENCE ADDRESS	
Address for Correspondence [‡] [P.O. Box Address is NOT sufficient] (Shou	d be same as in KRA records)
	N. G. I
City State	Country Pin Code
Contact Details Phone R	Extn. Fax Mobile
	abridged summary thereof/account statements/statutory & other documents by
If unticked, by default the above will be sent on email.	ability thereof account statements statutory & other documents by t
	landatory in case of NRI/FPI applicant in addition to mailing address) (Should be sai
in KRA records)	
	City
State Country (
JOINT APPLICANTS, IF ANY AND THEIR DETAILS (Please tick (✓) whe	rever applicable)
MODE OF HOLDING (✓) Single Joint (Defa	alt if not mentioned) Anyone or Survivor
	Second Applicant cannot be a Minor) Are you a resident of USA/Canada? (✓) Yes No ^{‡‡} (†*Default if no
Mr Ms M/s	
Date of Birth D D M M Y Y Y Y	KYC Identification Number (KIN)++
PAN** (Mandatory)	Proof to be enclosed (✓) ☐ PAN card Copy
Nationality	Country of Residence
a. Occupation (please ✓): ☐ Private Sector Service ☐ Public Sector Service	
Business [Nature of Business]	□ Doctor □ Forex Dealer □ Money lender □ Casino Owner □ Arms manufacture
Gambling services offerer Money lender Pawn Broker Others	
b. Gross Annual Income (please ✓): ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore	OR Twet-worth in Kupees (Mandatory for Ron-Individuals)
C. Others (please ✓): ☐ Politically Exposed Person (PEP) ☐ Related to a	* * * * * * * * * * * * * * * * * * * *
	hird Applicant cannot be a Minor) Are you a resident of USA/Canada? (*) Yes No ^{‡‡} (**Default if no
Mr Ms M/s	
Date of Birth D D M M Y Y Y Y	KYC Identification Number (KIN) ‡‡
PAN** (Mandatory)	Proof to be enclosed (✓) ☐ PAN card Copy
Nationality	Country of Residence
a. Occupation (please ✓): Private Sector Service Public Sector Service	
Business [Nature of Business]	Doctor Forex Dealer Money lender Casino Owner Arms manufacturer
Gambling services offerer Money lender Pawn Broker Others	Please specify]
b. Gross Annual Income (please ✓): ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-	OR Net-worth in Rupees (Mandatory for Non-Individuals) Net-worth in Rupees (Mandatory for Non-Individuals)
₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Crore > ₹ 1 Crore	Net-worth should not be older than 1 year
C. Others (please ✓): ☐ Politically Exposed Person (PEP) ☐ Related to a	Politically Exposed Person (PEP) Not Applicable
POA HOLDER DETAILS (If the investment is being made by a Constituted Attorno	y please furnish details of PoA holder).
Name Mr Ms M/s	
Date of Birth D D M M Y Y Y Y	KYC Identification Number (KIN)**
PAN** (Mandatory)	Proof to be enclosed (✓) ☐ PAN card Copy
Nationality	Country of Residence
a. Occupation (please ✓): ☐ Private Sector Service ☐ Public Sector Service	
Business [Nature of Business]	UDoctor UForex Dealer Money lender Casino Owner Arms manufac
Gambling services offerer Money lender Pawn Broker Others	
b. Gross Annual Income (please ✓): ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-	Net-worth in Rupees (Mandatory for Non-Individuals) Net-worth should not be older than 1 year
☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore	
C. Others (please ✓): ☐ Politically Exposed Person (PEP) ☐ Related to a	· · · · · · · · · · · · · · · · · · ·
BANK ACCOUNT DETAILS (MANDATORY as per SEBI Guideline	•
Core Banking A/c No.	A/c. Type (✓) ☐ Current ☐ Savings ☐ NRO* ☐ NRE* * For NRI Investors
Bank Name	
Branch Address	
MICR Code 9 digit number next to your Cheque No RTGS IFSC Code For Rup	es Two lakhs and above NEFT IFSC Code For less than Rupees Two la
Please provide a cancelled cheque leaf with your name and IFSC code pre-printed	I. This will help us transfer the amount to your bank account quicker, electronically.
Incase of application on behalf of Minor, kindly refer to "Instruction for Filling U	* **
	continued on next p
ALL US AT	
BEC MUTUAL FUND INVESTOR SERVICE CENTRES:	# HCDC Courters M.C. Dood P
	. 7, HSBC Center, M.G. Road, Bengaluru - 560 001. ● Chandigarh: SCO 1, Sector 9 1. ● Hyderabad : 6-3-1107 & 1108, Rajbhavan Road, Somajiguda, Hyderabad - 500 0
Kolkata : 31 BBD Bagh, Dalhousie Square, Kolkata - 700 001. ● Mumbai : 16, V.	N. Road, Fort, Mumbai - 400 001 • New Delhi : Ground Floor, East Tower, Birla Tov
Barakhamba Road, New Delhi - 110 001. ● Pune : Amar Avinash Corporate Ci I Free Number : 1800 200 2434/1800 258 2434 (can be dialled from all phones wi	ty, Sector No. 11, Bund Garden Road, Pune - 411 001. thin India) AND Investors calling from abroad may call on - +91 44 39923900 to conn
ur customer care centre.	,

Contact us at hsbcmf@camsonline.com

Visit us at

www.assetmanagement.hsbc.co.in

INVESTMENT		N II	CDC Foor	sed Equity Fund	d by							
LUMPSUM:				1 0	1							
Option/Sub-optio	. ,	owth (default)		dend Reinvestment	Dividend		units will be allotted as per	the coheme name man				
					for Filling Up the App		inits will be anotted as per	the scheme name men				
Payment Mode	Che	eque 🗌 DD	RTGS	NEFT Fund Tra	insfer Cheque/RT	GS/NEFT/DD/	FT Date DD / M	M / Y Y Y Y				
Payment from Bar	nk A/c. No.				Cheque/DI	/RTGS/NEFT	No.					
Investment Amour	at (Rs.) (i)				Bank Name							
DD charges (Rs.)	(ii)				Branch							
DD charges (RS.)	(II)				A/c. Type (v	() Current	Savings NRO*	NRE* FC				
Total Amount (Rs.) (i + ii)				11/ct 1/pe (Others		For NRI Investors)				
(₹ in words)												
							Certificate for Pre-funded my/our name Yes					
If no, my relations	hip with the bank acc	count holder	(✓) ☐ Paren	t Grandparent	☐ Employee ☐ Custo	odian Others						
					Third Party Payment							
	Details : Cheque No			Through Post Da		7-	should be of same date of	the months/quarters)				
Drawn on Bank A	-	J	Date		Bank Bran							
			741 0	1		-	(Default¶) Quarterly (1	Oth)				
	2nd				SIP Period	_		M M Y Y				
	24th □ 25th □ 26th					March 20						
Each SIP Amount	i (Rs.)			Cheque	e Nos. From		То					
Drawn on Bank	A/c.			Bank			Branch					
SYSTEMATIC	WITHDRAWAL I	PLAN (SW	P)									
Scheme HSBC	Focused Equity	Fund		Pla	an							
	Regular Instit		Institutional Plu	ıs Su	ıb-Option Grow	rth (default)	Dividend Reinvestment	Dividend Payout				
	Monthly (Default¶)		Quarterly (10th		******							
	ns Fixed Amount					al Amount (Mini	mum Rs. 1000 and in mult	tiples of Re. 1/- there				
	☐ 2nd ☐ 3rd ☐ 4th ☐ ☐ 3th ☐ 14th ☐ 15th ☐							nount will equal appre				
	25th \square 26th \square 27th				Period of	enrolment M	M Y Y Y Y To	M M Y Y				
			To be submitte	ed 10 days prior to	the SWP date in case	of Registration.						
SYSTEMATIC	TRANSFER PLAI	N (STP)			. 1							
	cheme Name HSB	3C Focused	Equity Fu	nd	Transfer To: Schen	ne Name :						
Plan					Plan	C 4 (1 6 1)		. 🗆 D' : 1 1 1 D				
Sub-option C	rowth (default)	Dividend Re	einvestment	Dividend Payout	Sub-option Dividend Frequence	Growth (default) y Daily	Weekly	nent Dividend P Fortnightly				
STP Frequency (() Monthly (Defa	ault¶)	Quarterly (10	th)		Monthly	Quarterly	Half Yearly				
T . 11	. p Ba Ba		w m		Transfer Amount		Capital Appreciation (1st	Business Day of the f				
Installment comme	encing From M M	YYYY	Y To M N	1 Y Y Y Y	Trunster Timount		sfer amount Rs. 1000/- excep	ot HTSF. For HTSF Rs.				
						13th □ 14th □ 1:	5th 🗆 16th 🗆 17th 🗆 18th	n □ 19th □ 20th □ 2				
						entioned default de	ate would be considered as 1	10th of every month/o				
	22nd 23rd 24th 25th 26th 27th 28th 29th 30th 31st To be submitted 10 days prior to the STP date incase of Registration. ¶ If no debit date is mentioned default date would be considered as 10th of every month/quarter											
DEMAT ACCOUNT DETAILS												
	OUNT DETAILS ails of your Deposito	• •	•	o hold units in Dem	at Form.			,				
Please provide deta		ory Participant	•	o hold units in Dem	at Form.		CDSL					
Please provide deta	ails of your Deposito	• •	•	o hold units in Dem	nat Form.		CDSL					
Please provide deta		• •	•	o hold units in Dem	aat Form.		CDSL					
Please provide deta	ails of your Deposito	• •	•	o hold units in Dem	tat Form.		CDSL					
DP Name DP ID I Beneficiary According to the control of the contro	N Deposito unt No. NOMIN	NSI	DL datory for r	ew Folios of Ind	ividuals where mo	_	s single and who do r	not wish to nomin				
DP Name DP ID I Beneficiary According to the control of the contro	N Deposito unt No. NOMIN	NSI	DL datory for r	ew Folios of Ind	ividuals where mo	_		not wish to nomin				
DP Name DP ID Beneficiary According I DO NOT V I/We hereby con	N Deposito N Deposito Unit No. WISH TO NOMIN Infirm that I/We do	NSI	DL datory for r	ew Folios of Ind	ividuals where mo	_	s single and who do r	not wish to nomin				
DP Name DP ID I Beneficiary According to the control of the contro	N Deposito N Deposito N Deposito NISH TO NOMIN Offirm that I/We do X	NSI NATE (Man lo not wish t	odatory for 1 to exercise the	new Folios of Ind	ividuals where mo	_	s single and who do r	not wish to nomin				
DP Name DP ID Beneficiary According I DO NOT V I/We hereby con	N Deposito N Deposito N Deposito NISH TO NOMIN Offirm that I/We do X	NSI	odatory for 1 to exercise the	new Folios of Ind the right of nomin	ividuals where monation in respect o	_	s single and who do r	not wish to nomin				
Please provide deta DP Name DP ID Beneficiary According to NOT \ I/We hereby con Signature(s)	N	NSE NATE (Man lo not wish to	datory for r	new Folios of Ind he right of nomin	ividuals where monation in respect of Second Applicant	f units subscri	s single and who do r	not wish to nomin/us.				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s)	N Unit No. WISH TO NOMIN There that I/We do	NATE (Man to not wish to the order of the or	datory for rate exercise the ex	new Folios of Ind he right of nomin	ividuals where monation in respect of Second Applicant	f units subscri	s single and who do r bed/purchased by me X Third A	not wish to nomin/us.				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. WISH TO NOMIN There that I/We do	NATE (Man to not wish to the one of the one	datory for rate exercise the ex	new Folios of Ind he right of nomin X (ned, Non intention to ry for new Folios	ividuals where monation in respect of Second Applicant OR	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (ref Signature of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instruction Proportion (%) in v				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. WISH TO NOMIN TO NOMINATE A	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X (ned, Non intention to ry for new Folios	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (red Signature of Nominee / Guardian of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instructic Proportion (%) in the units will be sl				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. NISH TO NOMIN Infirm that I/We do X Solution and Non intention TO NOMINATE Address of Nominee(s	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X ned, Non intention to y for new Folios Name & Addre	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (ref Signature of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instruction Proportion (%) in v				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. WISH TO NOMIN TO NOMINATE A	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X ned, Non intention to y for new Folios Name & Addre	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (red Signature of Nominee / Guardian of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instructic Proportion (%) in the units will be sl				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. NISH TO NOMIN Infirm that I/We do X Solution and Non intention TO NOMINATE Address of Nominee(s	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X ned, Non intention to y for new Folios Name & Addre	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (red Signature of Nominee / Guardian of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instructic Proportion (%) in the units will be sl				
Please provide deta DP Name DP ID Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. NISH TO NOMIN TO HAND TO NOMINATE Address of Nominee (S	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X ned, Non intention to y for new Folios Name & Addre	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (red Signature of Nominee / Guardian of Nominee	not wish to noming/us. pplicant updated without Nor f. Important Instructic Proportion (%) in the units will be sl				
Please provide deta DP Name DP ID I Beneficiary Accor I DO NOT V I/We hereby con Signature(s) Where Nominee det I/WE WISH	N Unit No. NISH TO NOMIN Infirm that I/We do X Sol ails and Non intention TO NOMINATE Address of Nominee(s	NATE (Man to not wish to the one of the one	and according to exercise the contract of the	new Folios of Ind he right of nomin X ned, Non intention to y for new Folios Name & Addre	ividuals where mo nation in respect o Second Applicant OR onominate will be considered in the consid	f units subscri	s single and who do r bed/purchased by me X Third A ". Folio in such case will be ding is single) (ref Signature of Nominee (Optional)	not wish to noming/us. pplicant updated without Nor f. Important Instructic Proportion (%) in the units will be sl				

ı	×		
ı	۰	,	1

CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS) [Mandatory for all investors including Unit holder (Guardian in case of minor), Joint holder(s) and POA Holder]

					MINOR/PROPRIET	Ontonii Tinivi,
	Sole/First Appl	licant Guardian	Second	Applicant	Third A	pplicant
Place and Country of Birth	Place		Place		Place	
	Country		Country		Country	
Address Type for KYC address]	Residential Registered Office	Business	Residential Registered Office	Business	Residential Registered Office	Business
Tax Resident (i.e. are you assessed for Tax) in any country other than India?	Yes	☐ No	Yes	☐ No	Yes	□ No
If 'Yes' please fill for all countries in the respective countries	s (other than India) in	which you are a Reside	ent for tax purpose i.e.	where you are Citizen	/Resident/Green Card	Holder/Tax Reside
Country of Tax Residency#						
Tax Identification Number (TIN) or Functional Equivalent						
Identification Type (TIN or Other, please specify)						
If TIN is not available, please tick ✓ the reason A, B or C [as defined below]	A1		□ A □		A	В С
Reason A – The country where th Reason B – No TIN required [Sel	lect this reason only for	able to pay tax does not the authorities of the i	t issue TIN to its resid respective country of t	ents. ax residence do not req	uired the TIN to be col	lected]
Reason C – Others - Please special # To also include USA, where the		n/green card holder of	IISA			
^ In case Tax Identification Num						
FATCA/CRS SELF (R NON-INDIVIDUA IPANY/TRUST/SO			E BENEFICIAL OW	NER (UBO)
Please complete Annexure A &	k В					
DECLARATION AND SIGNA	ATURES (In case of	f joint holding signs	atures of all unit ho	lders are mandatory	·)	
FATCA/CRS DECLARATIO		,			,	
information provided by me and by me to the Fund with other SE changes/modification/updation tand/or by the domestic tax autho	BI Registered Intermed to the above information	diaries to facilitate sing on in future and also ur	le submission/updation dertake to provide an	on. I also undertake to ly other additional infor	keep the Fund informe mation as may be requ	d in writing about a iired at the Fund's
OTHER DECLARATIONS						
Having read and understood the the Scheme(s) issued till date, I/ rules and regulations of the Sche to disclose my/our details includ my/our bank details provided by make payments referred above th information, I/We would not hold	We hereby apply to theme and the above men ling investment details me/us, or to disclose t rough participation in I	e Trustees of HSBC M tioned documents of F to my/our bank(s)/HS o such other service pr	Iutual Fund for units of ISBC Mutual Fund. ISBC Mutual Fund's B	of the relevant Scheme We hereby authorise F ank(s) and/or Distribut	and agree to abide by ISBC Mutual Fund, the	the terms, condition
bank account. I/We have read an		ts service providers or	lity. If the transaction representatives respon	s delayed or not effecte	ed at all for reasons of i	Advisor and to ver my/our willingness ncomplete or incorr
bank account. I/We have read an I/We confirm that I am/we are I my/our NRE/NRO/FCNR Acco	d agreed to the terms a Non-Residents of India	ts service providers or and conditions for ECS an Nationality/Origin	lity. If the transaction representatives respon / Direct Debit.	s delayed or not effecte sible. I/We will also in:	nusiness. I/We express and at all for reasons of it form the AMC, about a	Advisor and to ver my/our willingness ncomplete or incorr ny changes in my/o
I/We confirm that I am/we are I my/our NRE/NRO/FCNR Accordive Confirm that the details programmental or statutory authoritax advice on the specific tax imports been induced by any rebate of the form of trail commission or a second confirmation of the second confir	d agreed to the terms a Non-Residents of India ount (Applicable to NRI rovided by me/us are I or designed for the putify from time to time. I lications arising out of r gifts, directly or indirently other mode), payabl	ts service providers or and conditions for ECS, an Nationality/Origin I). true and correct. I/We rpose of contravention /We acknowledge that my/our participation is cetly, in making this invite to him for the different	lity. If the transaction representatives respon / Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Retent the AMC has not corn the Scheme. I/We have the the AMC has not corn the Scheme. I/We confirm that competing Scheme	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the deta- that the ARN holder has of various Mutual Fun-	usiness. I/We express at at all for reasons of it form the AMC, about a through approved band as through approved band as the sted by me/us in the applicable laws or Notification in particular and its of the Scheme and I as disclosed to me/us a	Advisor and to ver my/our willingness neomplete or incorr ny changes in my/o king channels or fro Scheme(s) is throu fications issued by a that I/we should so /We have not receivall the commissions
I/We confirm that I am/we are my/our NRE/NRO/FCNR Accordiffer Confirm that the details probability of the details probability of the details	d agreed to the terms a Non-Residents of India ount (Applicable to NRI ovided by me/us are I or designed for the puity from time to time. I lications arising out of r gifts, directly or indire ny other mode), payablonfirm that primary emale not United States pe	ts service providers or and conditions for ECS. an Nationality/Origin (1). true and correct. I/We rpose of contravention /We acknowledge that my/our participation in actly, in making this invectly, in making this invect to him for the differential ID provided belongerson(s) under the laws.	lity. If the transaction representatives respon / Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Rethe AMC has not conthe Scheme. I/We has estment. I/We confirm that competing Scheme is to self or a family missing of United States or	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the detail that the ARN holder has s of various Mutual Fur- ember.	nusiness. I/We express at at all for reasons of inform the AMC, about a through approved bands at through approved bands at the applicable laws or Notificable laws or	Advisor and to ver my/our willingness neomplete or incorrency changes in my/our willingness in my/our willing channels or from Scheme(s) is through that I/we should see /We have not receivall the commissions the scheme is being the scheme is being the scheme is being the scheme in the scheme is being the scheme in the scheme in the scheme is being the scheme in th
I/We confirm that I am/we are my/our NRE/NRO/FCNR According I/We confirm that the details problegitimate sources and is not held governmental or statutory author tax advice on the specific tax imports been induced by any rebate on the form of trail commission or a recommended to me/us. I/We confirm that I am/We are notify the AMC, in which event We confirm that we have not is	d agreed to the terms a Non-Residents of India ount (Applicable to NRI ovided by me/us are I or designed for the puity from time to time. I lications arising out of a gifts, directly or indire ny other mode), payablonfirm that primary emale not United States pet the AMC reserves the	ts service providers or and conditions for ECS, an Nationality/Origin (1). true and correct. I/Wo rpose of contravention / We acknowledge that my/our participation in actly, in making this inverted to him for the differential ID provided belongerson(s) under the laws e right to redeem my.	lity. If the transaction representatives respond Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Rest the AMC has not corn the Scheme. I/We confirm that competing Scheme is to self or a family mess of United States or four investments in the self-ment in the self-ment of the self-ment competing scheme is to self-or a family mess of United States or four investments in the self-ment control of the self-ment con	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the detai that the ARN holder his s of various Mutual Fur- ember. resident(s) of Canada. the Scheme(s).	usiness. I/We express ed at all for reasons of it form the AMC, about a through approved band ested by me/us in the applicable laws or Notificable	Advisor and to ver my/our willingness neomplete or incorr ny changes in my/oking channels or from Scheme(s) is through that I/we should so that I/we should so the commissions of the Scheme is be this status, I/We should so that I/we should so that I/we should so the scheme is be this status, I/We should so that I/we should s
I/We confirm that I am/we are my/our NRE/NRO/FCNR According to the confirm that the details precipitimate sources and is not held governmental or statutory author tax advice on the specific tax imports been induced by any rebate of the form of trail commission or a recommended to me/us. I/We commended to me/us. I/We com	d agreed to the terms a Non-Residents of India ount (Applicable to NRI ovided by me/us are I or designed for the puity from time to time. I lications arising out of a gifts, directly or indire ny other mode), payablonfirm that primary emale not United States pet the AMC reserves the	ts service providers or and conditions for ECS, an Nationality/Origin (1). true and correct. I/Wo rpose of contravention / We acknowledge that my/our participation in actly, in making this inverted to him for the differential ID provided belongerson(s) under the laws e right to redeem my.	lity. If the transaction representatives respond Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Rest the AMC has not corn the Scheme. I/We confirm that competing Scheme is to self or a family mess of United States or four investments in the self-ment in the self-ment of the self-ment competing scheme is to self-or a family mess of United States or four investments in the self-ment control of the self-ment con	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the detai that the ARN holder his s of various Mutual Fur- ember. resident(s) of Canada. the Scheme(s).	usiness. I/We express ed at all for reasons of it form the AMC, about a through approved band ested by me/us in the applicable laws or Notificable	Advisor and to ver my/our willingness neomplete or incorr ny changes in my/oking channels or from Scheme(s) is through that I/we should so that I/we should so the commissions of the Scheme is be this status, I/We should so that I/we should so that I/we should so the scheme is be this status, I/We should so that I/we should s
I/We confirm that I am/we are my/our NRE/NRO/FCNR Accordive Confirm that the details probability of the details probability of the details of	d agreed to the terms a Non-Residents of India ount (Applicable to NRI ovided by me/us are I or designed for the puity from time to time. I lications arising out of a gifts, directly or indire ny other mode), payablonfirm that primary emale not United States pet the AMC reserves the	ts service providers or and conditions for ECS, an Nationality/Origin (1). true and correct. I/Wo rpose of contravention / We acknowledge that my/our participation in actly, in making this inverted to him for the differential ID provided belongerson(s) under the laws e right to redeem my.	lity. If the transaction representatives respond Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Rest the AMC has not conton the Scheme. I/We confirm that competing Scheme is to self or a family most of United States or four investments in the services of the services	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the detai that the ARN holder his s of various Mutual Fur- ember. resident(s) of Canada. the Scheme(s).	usiness. I/We express ed at all for reasons of it form the AMC, about a through approved band ested by me/us in the applicable laws or Notificable	Advisor and to ver my/our willingness neomplete or incorr ny changes in my/our willingness neomplete or incorr ny changes in my/our will change in my/our will the commissions that I/we should self the commissions that the Scheme is beithis status, I/We should self the status of the status
I/We confirm that I am/we are I my/our NRE/NRO/FCNR Accordive Confirm that the details problem that sources and is not held governmental or statutory authoritax advice on the specific tax imposite properties of the form of trail commission or a recommended to me/us. I/We confirm that I am/We are notify the AMC, in which event We confirm that we have not is issued subsequently.	d agreed to the terms a Non-Residents of India ount (Applicable to NRI rovided by me/us are I or designed for the pu ity from time to time. I lications arising out of r gifts, directly or indire ny other mode), payabl onfirm that primary ema e not United States pe t the AMC reserves th sued any bearer share	ts service providers or and conditions for ECS, an Nationality/Origin I). true and correct. I/We rpose of contravention /We acknowledge that my/our participation is cetly, in making this inverted to him for the differential ID provided belongerson(s) under the law eright to redeem my ess or share warrants.	lity. If the transaction representatives respond Direct Debit. and that the funds are the hereby declare that of any Act, Rules, Rest the AMC has not conton the Scheme. I/We confirm that competing Scheme is to self or a family most of United States or four investments in the services of the services	s delayed or not effecte sible. I/We will also in remitted from abroad the amount being inve- gulations or any other a sidered my/our tax po- ve understood the detai that the ARN holder h- s of various Mutual Fur- ember. resident(s) of Canada. the Scheme(s).	usiness. I/We express ed at all for reasons of it form the AMC, about a through approved band ested by me/us in the applicable laws or Notificable	Advisor and to vermy/our willingness neomplete or incorn ny changes in my/oking channels or fr Scheme(s) is through the stations issued by a that I/we should so that I/we should so the scheme is be this status, I/We show the scheme is bether share warrants and show the scheme is the status, I/We show the scheme is

Annexure A - Ultimate Beneficial Ownership (UBO) Declaration form

[MANDATORY for Non-Individual Applicants/Investors]

This declaration is NOT needed for Companies that are Listed on any recognized stock exchange in India or is a Subsidiary of such Listed Company or is Controlled by such Listed Company

HSBC	Global Asset	Management
	4	

*

						ation in the		Occupation		Service Business Others	Service Business Others	Service Business Others	Service Business Others	Service Business Others	
				cify]		, required inform		Nationality O							
		_		Will Others [Specify]		ows are not sufficient	-	Father's Name	ided						:
	, Ç			reated by a		If the given r		Gender [Male, Female, others]	N not prov						
	Application No.	11		Religious Trust 🗌 Private Trust/Trust created by a Will		Please list below each controlling person, confirming ALL countries of tax residency/permanent address/citizenship and ALL Tax Identification Numbers for EACH controlling person. If the given rows are not sufficient, required information in the given formation is additional sheet(s) duly signed by Authorized Signatory. Type of Beneficial Ownership (control or Benefit directly or indirectly through a chain of controls or ownerships) > 25% control of company > 15% control of Partnership/LLP/Trust/AoP/BoI Habre is no holding heneficial interest, a striking off the below table and provide signatures under the declaration & signatures socition	Suaraic section:	Address, Address Type* & Contact details [include City, Pin code, State, Country]	Mandatory, if PAN not provided						
		_			eclaration forms)	tification Numbers f	ar acciai arion w	Date of Birth / Incorporation [dd- mm- yyyy]							
		- - -		Public Charitable Trust	attach multiple d	and ALL Tax Iden	o againt a anna i	Place & Country of Birth / Incorporation							-
		_			adequate, please	address/citizenships s or ownerships)	munical and around	% of beneficial interest (Enclose appropriate proof)							-
				ssociation/body o	ace below is not	idency/permanent Signatory. a chain of controls striking off the helo	oracionale oracionale	Document Type							-
	Folio Nos.	Ш		Unincorporated association/body of individuals	JERS (If the given sp	LL countries of tax resigned by Authorized by or indirectly through in or indirectly through in or indirectly intenset.	- 163 rational minima Sin	PAN/Taxpayer Identification Number/Equivalent ID Number	Mandatory						
			olicable category]:	rship Firm 🗌 LLI	BENEFICIAL OWN	rrson, confirming A litional sheet(s) dul rol or Benefit direct /Trust/AoP/BoI		Country of Tax Residency							ence or Business or
APPLICANT DETAILS:	Applicant Name		CATEGORY [tick (<) applicable category]:	Unlisted Company Partnership Firm LLP	DETAILS OF ULTIMATE BENEFICIAL OWNERS (If the given space below is not adequate, please attach multiple declaration forms)	Please list below each controlling person, confirming ALL countries of tax residency/permanent address/citizenship and ALL Tax Identification Numbers for EACH contributed given format can be enclosed as additional sheet(s) duty signed by Authorized Signatory. Type of Beneficial Ownership (control or Benefit directly or indirectly through a chain of controls or ownerships) > 25% control of company > 15% control of Partnership/LLP/Trust/AoP/BoI If there is no IRO please declare that there is no holding beneficial interest, striking off the below table and provide signatures under the declaration & signature socion.	ic is no one, prease acciair a	Name of UBO [Mandatory]							* Address Type should either Residence or Business or Registered Office
4	Applic		œ		ပ	Please given: Type c > 25% > 15%	1	Sr.		1.	2.	3	4	5.	* Add

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We aware that I/We may liable for it. I/We hereby authorize you to update your records from the above information received by the Fund or from other SEBI Registered Intermediaries. Further, I authorize you to update your records from the above information received by the Fund or from other SEBI Registered Intermediaries. Further, I authorize you to update your records from the above information received by the Fund or from other SEBI Registered Intermediaries. to the Fund with other SEBI Registered Intermediaries to facilitate single submission/updation. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or redeem/reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. If We also undertake to keep you informed in writing about any Authorised Signatory 3 × Authorised Signatory 2 changes/ modification to the above information in future and also undertake to provide any other additional information as may be required at your end. × Authorised Signatory 1 × Place_ Date.

INSTRUCTIONS ON CONTROLLING PERSONS/ULTIMATE BENEFICIAL OWNER

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of controlling persons [CP]/ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and / or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

1. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:-
 - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official

2. For Investors which is a trust:

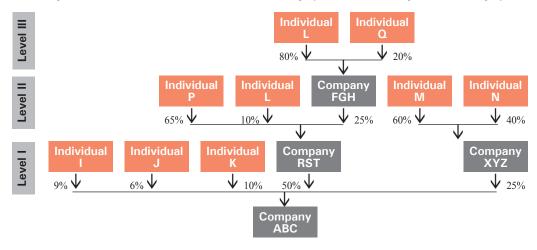
The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

3. Exemption in case of listed companies/foreign investors:

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client

ILLUSTRATION FOR ESTABLISHING BENEFICIAL OWNERSHIP

To assist with this process, an example can be found below on how to determine BOs of a company. Here is the ownership structure of Company ABC:



Here is the corresponding calculation of beneficial ownership:

Calculation of ownership

Individual	Company	Level I	Level II	Level III	Effective ownership
Individual I		9%			9%
Individual J		6%			6%
Individual K		10%			10%
Individual M	Company XYZ	25%	60%		15%
Individual N	Company XYZ	25%	40%		10%
Individual L	Company RST	50%	10%		5%
	Company FGH	50%	25%	80%	10%
Individual P	Company RST	50%	65%		32.50%
Individual Q	Company FGH	50%	25%	20%	2.50%
				Total =	100%

In the above example, KYC documents & shareholding pattern of Company RST & XYZ required. Additionally KYC documents of Individual P shall be required who holds 32.50% in ABC.

FATCA AND CRS SELF CERTIFICATION FOR NON-INDIVIDUALS



[MANDATORY for Non-Individual Investors including HUF] Please turn over for Definitions/Instructions/Guidance

Į.	APPL	CANT	DETA	ILS																					
Applic	eant N	ame:																							
PAN	N							Ap	plicatio	n No								Folio	Nos						
Type of	addre	ss giver	at KRA	. [Re	esidentia	ıl or Bu	siness	Re	esidential	B	usines	s	Re	gistered	Office									
							INC	ORPO	RATI	ON and	AT L	X RE	SID	ENC	Y DE	TAILS	(Ma	andator	ry)						
Place	of In	corpora	tion:						Cour	ntry of Inc	corpora	tion:						Date of	Incor	poratio	1:				
			t of any																						
If yes, p						h the enti	ity is a r	esident f	or tax p	irposes an				ID nu	imber be	low)		7.1	4:C4:	т	(TD	.T O	l l		:0.)
1	Co	untry o	f Tax Re	siden	cy					TIN or e	quivaie	ent nui	nber					Iden	шсан	on 1yp	e (111)	v or Ot	her, pleas	se spec	11y)
1																									
2																									
3																									
4		T.1			_						. ,		m	.					71.11			1 0			
			cation Ni tification					lly provi	ide its fu	nctional e	quivale	nt. In o	ase TI	N or	its function	onal equ	ıvaleni	is not ava	ailable,	please	provic	de Com	pany Iden	itificatio	on numbe
In case	the Er	ntity's Co	ountry of	Incor	porat	tion/Tax	residen			ntity is not			.S. Per	son											
(as per	definit	tion E5)	, please n	nentio	n the	exempt	ion code	in the b		er instructi						_									
							(P]e200	consult		TCA ar					-			S classifica	ntion)						
ı	PART	A (to	be fill	led h	y F					irect Re				a guit	unice Oil	MICA	a cre	, ciassilica	(11)						
			as app		•				IIN			J													
		l Institu	ition (Re	fer de	efinit	tion A)				ou do not l	have a	GIIN (Globa	Inter	mediary	Identific	ation 1	number) bi	ut you	are spo	nsorec	d by an	other entit	ty, plea	se provid
or		nortina	NFE (R	ofor c	lafin	ition D)		1	•	sor's GIIN			dicate	your	sponsor's	name b	elow								
DI	irect re	porting	, NFE (K	eiei c	ieiiii	ition b)		Na	ame of	sponsori	ng enti	ty:													
			ole (Plea			appropr	riate):			ed for					10 2 11							. ~			
II the 6	entity	is a nna	ncial ins	titutic	on,					equired t btained -			-	_	cify 2 di	gits sul	o-cate;	gory		refer d	efinit	ion C)			
		. D / I													D: .	D		\IEE \							
		•	ease 11 licly trad		•		appro _l No			filled b	•					•		NFES) ne stock is	rogul	arlı, tra	dad)				
		-	hose sha		-	•		_		stock exc		-	-		•	_	ilicii ti	ie stock is	s regui	arry tra	ueu)				
			market)	`			1)																		
Is the			ted entit	y of a	pul	blicly	No	1 1					me of	the li	sted con	npany a	nd one	stock ex	change	e on wh	ich th	ne stocl	k is regula	arly tra	ided)
			ares are i	egula	rly tr	aded on		. 145		listed con			ary of	the I	isted Co	mnany	OR	Cont	tralled	by a I	icted (Compa	ını		
establis	shed se	curities	market)	(Refer	defi	nition D	2)			stock exc			ary or	tiic L	isica co	прапу	OK	Com	ironcu	oy a L	isicu v	Сотра	шу		
Is the	Entity	an Ac	tive NFI	E?			No	Ye	es	Also p	rovide	UBO	Form												
	-	ion D3)					- 10	-		Business															
								Pl	ease sp	ecify the	sub-ca	tegory	of A	ctive	NFE		Menti	on code -	refer l	D3)					
Is the	Entity	a Pass	ive NFF	2?			No _	Ye	es	Also p	rovide	UBO	Form												
(Refer	definit	ion E2)						Na	ame of	stock exc	hange														
# If Pass	sive N	FE, ple	ase prov	ide th	e bel	low add	itional o	details fo	or each	of the Co	ntrollin	g pers	on. (P	lease	attach a	dditiona	al shee	ts if neces	ssary)						
Sr.		Name	of UBO			Taxpay			Place	of Birth	C	ountry	of		Occupat		Nat	ionality	Fa	ther's N	ame		Date of		Gender
No.						tion Nui quivalei						Birth			ype [Ser siness, O								Birth nm/yyyy	1 -	le, Femal others]
1					T	1								300								34/1	////		
2					+																				
					+																				
3																									
The Ce	entral F	Roard of	Direct Ta	ixes h	as no	tified Ru	ıles 1141	F to 114F	H as par	t of the Inc	come-ta	x Rule	s 196	2 whi	ch Rules	require	Indian	financial i	nstitut	ions suc	h as th	ne Bank	to seek a	ddition	al nerson:
tax and	d benef	icial ow	ner infor	matio	n an	d certain	certific	ations ar	nd docu	mentation	from al	ll our a	accoun	t hold	ers. In re	elevant o	eases, i	nformatio	n will	have to	be rep	ported	to tax autl	horities	/appoint
			mpliance on theret		nay a	also be re	equired	to provid	de inform	nation to a	ıny ınstı	itution	s such	as wit	hholding	agents	tor the	purpose o	of ensu	ring app	ropria	ite with	holding fr	om the	account
										isure you															
																		try inform							
			apply a 1 h this to t			ctional e	quivalen	t if the c	country	in which y	ou are	tax res	ident i	ssues	such ide	ntifiers.	If no 1	TIN is yet	availal	ole or h	as not	yet be	en issued,	please	provide
										DECL	ΛΡΛΤ	ION	8. CI	CNA	TUDE	S)									
Lackno	wleda	e and co	nfirm the	nt the i	nfor	mation n	rovided	with rec	spect to 1						TURE(wledo	e and belie	ef In						
case an	ıy of th	e above	specified	infor	matio	on is fou	nd to be	false or	untrue o	r misleadi	ng or m	isrepre	sentin	g, I an	n aware t	hat I wil	l be res	sponsible f	or it.						
																		EBI Regist termediari							
facilita	te sing	le subm	ission/up	datio	n. I a	ilso unde	rtake to	keep the	e Fund i	nformed in	n writin	g abou	t any c	change	es/modif	ication/	updatio	on to the al	bove	~					
																		y the dom umentation		X					
Date						Place : _		1.		/													atories [
Dutt					- '									_							ı rust/	rirm/I	Body Corp	orate s	sear

DEFINITIONS/ INSTRUCTIONS/GUIDANCE

- A. Financial Institution (FI)- The term FI means any financial institution that is a
 - 1 Depository institution: Accepts deposits in the ordinary course of banking or similar business
 - 2 Custodial institution: An entity that as a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of- (a) The three-year period ending on December 31 of the year preceding the year in which the determination is made; (b) The period during which the entity has been in existence before the determination is made)
 - 3 Investment entity: Conducts a business or operates for or on behalf of a customer for any of the following activities: (a) Trading in money market instruments, foreign exchange, foreign currency,etc. (b) Individual or collective portfolio management. (c) Investing, administering or managing funds, money or financial asset on behalf of other persons. [OR] The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described herein. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income during the shorter of: (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or (ii) The period during which the entity has been in witherene.
 - 4 Specified Insurance company: Entity issuing insurance products i.e. life insurance or cash value products.
 - 5 Holding company or treasury company: Is an entity that is a holding company or treasury centre that is a part of an expanded affiliate group that includes a depository, custodial institution, specified insurance company or investment entity.
- B. Direct Reporting NFE: means a Non-financial Entity (NFE) that elects to report information about its direct or indirect substantial U.S. owners to the IRS.
- C. GIIN not required: Categories with codes

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	I with a local client base
10	Non-registering local banks
11	FI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FI

- D. Non-Financial Entity (NFE): Entity that is not a financial institution (including a territory NFE). Types of NFEs excluded from FATCA reporting are as below:
 - Publicly traded corporation (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets.
 - Related entity of a listed company: The NFE is a related entity of an entity of which is regularly traded on an established securities market;
 - 3. Active NFE: (is any one of the following):

Code	Sub-Category
01	Less than 50 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past fiveyears, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE is a 'non for profit organization which meets all of the following requirements: • It is established and operated in its jurisdiction of residence exclusively

for religious, charitable, scientific, artistic, cultural, athletic, or

educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare:

- It is exempt from income tax in India;
- It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profitorganization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

Code	Sub-Category							
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37							
В	The United States or any of its agencies or instrumentalities							
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities							
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)							
Е	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)							
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state							
G	A real estate investment trust							
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940							
I	A common trust fund as defined in section 584(a							
J	A bank as defined in section 58							
K	A broker							
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)							
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan							

E. Other definitions

- 1 Related entity: An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
- 2 Passive NFE: The term passive NFE means any NFE that is not (i) an Active NFE (including publicly traded entities or their related entities), or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- 3 Passive income: The term passive income means the portion of gross income that consists of: (a) Dividends, including substitute dividend amounts; (b) Interest; (c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool; (d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE; (e) Annuities; (f) The excess of gains over losses from the sale or exchange of property that gives rise to passive income described in this section.; (g) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any commodities, but not including: (i) Any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation; or (ii) Active business gains or losses from the sale of commodities, but only if substantially all the foreign entity's commodities are property (h) The excess of foreign currency gains over foreign currency losses; (i) Net income from notional principal contracts; (j) Amounts received under cash value insurance contracts; (k) Amounts earned by an insurance company in connection with its reserves for insurance and annuity contracts
- 4 Controlling persons: Controlling persons are natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force recommendations.
- 5 Specified US Persons Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- 6 Expanded affiliated group: Expanded affiliated group is defined to mean one or more chains of members connected through ownership (50% or more, by vote or value, as the case may be) by a common parent entity if the common parent entity directly owns stock or other equity interests meeting the requirements in at least one of the other members.
- Owner documented FI: An FI meeting the following requirements: (i) The FI is an FI solely because it is an investment entity; (ii) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company; (iii) The FI does not maintain a financial account for any nonparticipating FI; (iv) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and (v) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

Applications Supported by Blocked Amount (ASBA) Application Form (to be used by investors adopting the ASBA route)



	VATION (Only	empanelled Distributors/I	Brokers will be perm	utted to distribut	e Units) Da	ite:		Managem
Broker Name & ARN	Sub-broker A	ARN Sub Code	EUIN	SCSB	SCSB IFSC Code	Syndicate Member Code	Sl. No.	
code/RIA code^	code				Code	Member Code	NO.	Application No. :
^ I/We hereby confirm that by of HSBC Mutual Fund.	mentioning RIA	code, I/We authorise y	you to share with t	the SEBI Regi	stered Investment A	Adviser (RIA) the	details of my/	our transactions in the schem
I/We hereby confirm that the E								
person of the above distributor/s	sub broker or notw	rithstanding the advice	oi in-appropriaten	iess, ii any, pro	vided by the employ	ee/ relationship ma	anager/saies p	erson of the distributor/sub br
Sole/Ist Applicant/	Authorised Signa	atory	IInd Applicat	nt/Authorised	l Signatory	I	IIrd Applican	/Authorised Signatory
TRANSACTION CHAR	GES (Please re	fer point 5 on page	14 regarding tr	ansaction ch	arges applicabilit	y)		
I confirm that I am a Firs (₹ 150 will be deducted as t			0000 & above)		onfirm that I am ar			Funds chase of ₹ 10,000 & above)
INVESTOR'S DETAILS				,				
Sole/First Applicant's N		•		, == , == = ==			,	
KYC Identification Number								
PAN (Mandatory)			Pro	oof to be enclo	sed (✓) ☐ PAN car	d Copy		
Guardian's (If Sole/First A	**		rson's (In case o	of Non-Individ	ual Investors only)			
Name	Mr M	s M/s						
KYC Identification Number	r (KIN)			6	1.60	1.0		
PAN (Mandatory) Are you a resident of USA/	Canada? (-/) 1	Yes No ¹¹ 1	Pro Default if not ti		sed (✓) PAN car	а Сору		
INVESTMENT DETAILS		res INO ,	Detault II not ti	ickeu.				
Scheme Name : HSBC F		v Fund						
Plan (\checkmark) : \square Regular	- '	•	Growth (defa	ault)	☐ Dividen	d Payout	☐ Divid	lend Reinvestment
Total Amount to be blocked	ed (in Figures)	₹		(in wor	rds)	•		
SCSB ACCOUNT DETA	AILS (please ref	fer websites of NSE,	BSE and SEBI fo	or list of SCS	Bs)			(MANDATO)
Bank Name								
Branch Address								
Bank A/c No.					Bank City			
Bank A/c No.				A/c.	Type (✓) □ Cu	rrent Savings	s 🗌 NRO* [☐ NRE* * For NRI Inves
DEMAT ACCOUNT DE	TAILS						a= a=	(MANDATOI
DP Name		NSI	DL				CDSL	
DP ID	I N							
Beneficiary Account No.								
UNDERTAKING BY AS								
1) I/We hereby undertake that I/We at the SEBI (Issue of Capital and Discloblocking the amount to the extent me for this purpose on receipt of instruct	osure Requirements) I entioned above in the ' tion from the Registra	Regulations, 2009, I/We au "SCSB details" or unblock ar after finalisation of the b	nthorize (a) the Self Ce cing of funds in the basis of allotment entite	ertified Syndicate ink account main tling me/us to re	Bank (SCSB) to do all tained with the SCSB species Units on such trans	acts as are necessary pecified in the ASBA asfer of funds, etc. (b	y to make an app Form, transfer of Registrar to HS	lication for purchase of units in the funds to the Issuer's account designs Mutual Fund to issue instruction
the SCSB to remove the block on the In case the amount available in the b PAN furnished by me/us in the ASBA relevant revisions as may be required	oank account specified A Form is incorrect or	d in the ASBA Form is ins r incomplete, the ASBA Ap	sufficient for blocking pplication shall be reje	the amount equ	ivalent to the application	on money the SCSB	shall reject the a	pplication, 4) If the DP ID, Client 1
Having read and understood the con above and agree to abide by the terr	tents of the SID and	SAI, I/We hereby apply and regulations of the Sci	under Direct/AMFI (Certified empane	lled distributors to the	Trustees of HSBC M	futual Fund for i	units of the Scheme/Option as indi
	. I/We hereby author	rise HSBC Mutual Fund, i		1 4 4	Sementic unit		o my/our bank(s	VIICOC Mutual Eund'a Donk(a) au
indirectly, in making this investment	www to retity iffy	/ Our pank details provided			to disclose details of			
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I	Direct Debit Facility.	If the transaction is delaye	d by me/us. I/We her ed or not effected at al	reby declare that ill for reasons of	to disclose details of the particulars given a incomplete or incorrect	above are correct and information, I/We w	d express my/ou yould not hold H	willingness to make payments ref SBC Asset Management (India) Pvt.
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present	al Fund), their appoint scheme does not offer	If the transaction is delayed inted service providers or refer any guarantee or assured	d by me/us. I/We her ed or not effected at al representatives responsed return and that the	reby declare that ill for reasons of sible. I/We will scheme is subject	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defai	above are correct and information, I/We we t Management (Indialt risk including pos	l express my/ou yould not hold Hi a) Pvt. Ltd., abo sible loss of prin	willingness to make payments ref BBC Asset Management (India) Pvt. at any changes in my/our bank accipal, any loses in case of a default
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in FCS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *1/We confirm the 11/We confirm that the details provided.	all Fund), their appoint scheme does not offer at I am/We are Non- ted by me/us are true at	If the transaction is delayed inted service providers or refer any guarantee or assured-Residents of Indian Nation and correct. I/We hereby delayed in the transaction is delayed in the transaction in the transaction is delayed in the transaction in the transaction is delayed in	d by me/us. I/We her ed or not effected at al representatives responsed return and that the enality/Origin and that declare that the amoun	reby declare that ill for reasons of sible. I/We will scheme is subject the funds are re int being invested	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defau mitted from abroad thre by me/us in the Schen	above are correct and information, I/We we the Management (Indial alt risk including pos- bugh approved banking the of HSBC Mutual I	I express my/ou yould not hold H; a) Pvt. Ltd., abo sible loss of prin ng channels or fr Fund is derived the	willingness to make payments rel SBC Asset Management (India) Pvt at any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc arrough legitimate sources and is no
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm the I/We confirm that the details provide or designed for the purpose of contrato time. *Applicable to NRI I/We confirm the totime. *Applicable to NRI I/We confire to time. *Applicable to NRI I/We confire the time. *Applicable to NRI I/W	all Fund), their appoil scheme does not offen at I am/We are Non- ed by me/us are true a vention of any Act, R onfirm that the ARN h	If the transaction is delayer inted service providers or rater any guarantee or assured-Residents of In/We hereby delayers. Regulations or any strolder has disclosed to me/	d by me/us. I/We hered or not effected at al representatives responsed return and that the shality/Origin and that declare that the amount tatute or legislation or /us all the commission	reby declare that ill for reasons of sible. I/We will scheme is subject the funds are re int being invested any other applic ins (in the form o	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defar mitted from abroad thr by me/us in the Schen able laws or any Notific f trail commission or a	above are correct and information, I/We we tet Management (Indialt risk including pos bugh approved banking the of HSBC Mutual Islantions, Directions is the other mode), paya	I express my/ou yould not hold H: a) Pvt. Ltd., abo sible loss of prin ng channels or fr Fund is derived the sued by any gove ble to him for the	willingness to make payments rel BBC Asset Management (India) Pvt it any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc arough legitimate sources and is no mental or statutory authority from the different competing schemes of ve
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm th I/We confirm that the details provide or designed for the purpose of contra	al Fund), their appoil scheme does not offer at I am/We are Non- ed by me/us are true avention of any Act, Ronfirm that the ARN he Scheme is being re-	If the transaction is delayed inted service providers or rere any guarantee or assured residents of Indian Nation and correct. I/We hereby delules, Regulations or any stoolder has disclosed to me/ecommended to me/us. I/Ve	d by me/us. I/We hered or not effected at al representatives responsed return and that the shality/Origin and that declare that the amount tatute or legislation or /us all the commission	reby declare that ill for reasons of sible. I/We will scheme is subject the funds are re int being invested any other applic ins (in the form o	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defar mitted from abroad thr by me/us in the Schen able laws or any Notific f trail commission or a	above are correct and information, I/We we tet Management (Indialt risk including pos bugh approved banking the of HSBC Mutual Islantions, Directions is the other mode), paya	I express my/ou yould not hold H: a) Pvt. Ltd., abo sible loss of prin ng channels or fr Fund is derived the sued by any gove ble to him for the	willingness to make payments ref SBC Asset Management (India) Pvt ut any changes in my/our bank acc cipal, any loses in case of a defaull om my/our NRE/NRO/FCNR Acc rrough legitimate sources and is not mental or statutory authority from the different competing schemes of va
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *1/We confirm the Ir/We confirm that the details provide or designed for the purpose of contrato time. *Applicable to NRI I/We confirm that famoust which the implications arising out of my/our pal/We confirm that I am/We are not U	all Fund), their appois scheme does not officant I am/We are Non-dd by me'us are true; evention of any Act, Ronfirm that the ARN he Scheme is being rutticipation in the schellinited States person(s	If the transaction is delaye inted service providers or re- er any guarantee or assure- Residents of Indian Nation and correct. I/We hereby dules, Regulations or any st note has disclosed to me/ecommended to me/us. I/Veme.	d by me/us. I/We here d or not effected at al representatives respons d return and that the anality/Origin and that declare that the amoun tatute or legislation or We acknowledge that the	reby declare that ill for reasons of sible. I/We will scheme is subject the funds are re int being invested any other applic ins (in the form of the AMC has no	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defa mitted from abroad thr by me/us in the Schen able laws or any Notific f trail commission or an t considered my/our tax	above are correct and information, I/We we tel Management (Indi alt risk including pos bugh approved banking of HSBC Mutual I cations, Directions, Directions, pirections, yo other mode), paya position in particula	I express my/ou/ould not hold Hi a) Pvt. Ltd., abo sible loss of pri nng channels or fr Fund is derived the sued by any gove ble to him for the ar and that I/we s	willingness to make payments ref SBC Asset Management (India) Pvt at any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc arrough legitimate sources and is not mental or statutory authority from the different competing schemes of va- hould seek tax advice on the specifi
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in FCS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm that I/We confirm that the details provide or designed for the purpose of contrat to time. *Applicable to NRI I/We confurd to time. *Applicable to NRI I	ual Fund), their appoid scheme does not offi and I am/We are Non-bd by me/us are true avention of any Act, R mofirm that the ARN h he Scheme is being rutticipation in the schemeis being scheme(s).	If the transaction is delaye inted service providers or re- er any guarantee or assure- Residents of Indian Nation and correct. I/We hereby dules, Regulations or any st note has disclosed to me/ecommended to me/us. I/Veme.	d by me/us. I/We her ed or not effected at al perpresentatives respons d return and that the : nality/Origin and that declare that the amoun tatute or legislation or /us all the commission We acknowledge that the d States or resident(s)	reby declare that Il for reasons of sible. I/We will scheme is subject the funds are re any other applic ans (in the form o the AMC has no	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defa mitted from abroad thr by me/us in the Schen able laws or any Notific f trail commission or an t considered my/our tax	bove are correct and information, I/We we tet Management (Indi alt risk including pos ough approved bankine of HSBC Mutual I actions, Directions iss ny other mode), paya position in particula tus, I/We shall notify	I express my/ou/ould not hold Hi a) Pvt. Ltd., abo sible loss of pri nng channels or fr Fund is derived the sued by any gove ble to him for the ar and that I/we s	willingness to make payments religible Asset Management (India) your bank accipal, any loses in my lour bank accipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Accinough legitimate sources and is no mmental or statutory authority from a different competing schemes of we nould seek tax advice on the specificith event the AMC reserves the right and the second payment must be
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm that I/We confirm that the details provide or designed for the purpose of contra to time. *Applicable to NRI I/We co Mutual Funds from amongst which ti implications arising out of my/our pa I/We confirm that I am/We are not U redeem my/our investments in the Sc	ual Fund), their appoi scheme does not offi ant I am/We are Non- bid by me/us are true a vention of any Act, R mfirm that the ARN h he Scheme is being rarticipation in the sche united States person(s cheme(s).	If the transaction is delaye inted service providers or rer any guarantee or assure-Residents of Indian Nation and correct. I/We hereby of sules, Regulations or any st nolder has disclosed to me/recommended to me/us. I/Veme. 1. Sole/First Applications of the sulface of the s	d by me/us. I/We hered or not effected at all expresentatives responsed return and that the inality/Origin and that declare that the amount attute or legislation or /us all the commission We acknowledge that it distanced in the commission of the	reby declare that all for reasons of sible. I/We will scheme is subject the funds are re at being invested any other applic ns (in the form of Canada. Incar	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defa mitted from abroad thr by me/us in the Schen able laws or any Notific f trail commission or at t considered my/our tax se of change to this sta	bove are correct and information, I/We we th Management (Indial It risk including posough approved bankine of HSBC Mutual actions, Directions is not other mode), payare position in particulatus, I/We shall notify 3. Third A	d express my/ou ould not hold H: a) Pvt. Ltd., abo sible loss of prin ng channels or frund is derived the usued by any gove ble to him for the r and that I/we s the AMC, in when the the the r and that I/we s the AMC, in when the the the r and that I/we s the AMC, in when the	willingness to make payments ref SBC Asset Management (India) you tat any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc trough legitimate sources and is nor mental or statutory authority from the different competing schemes of ve hould seek tax advice on the specific tich event the AMC reserves the rig Attn: NRI investo payment must be made through NR
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm that I/We confirm that the details provide or designed for the purpose of contrat to time. *Applicable to NRI I/We confurd timplications arising out of my/our pa I/We confirm that I am/We are not U redeem my/our investments in the Sc Signature of the Applicant Signature of the Bank According to the state of the Bank According the Signature of the Signature of the Bank According the Signature of	ual Fund), their appoid scheme does not offi and I am/We are Nonded by me/us are true avention of any Act, R mofirm that the ARN he Scheme is being rutticipation in the scheme(s). (s) (s)	If the transaction is delaye inted service providers or rer any guarantee or assure-Residents of Indian Nation and correct. I/We hereby of sules, Regulations or any st nolder has disclosed to me/recommended to me/us. I/Veme. 1. Sole/First Applications of the sulface of the s	d by me/us. I/We hered or not effected at all effected at a late of legislation or /us all the commission We acknowledge that it describes a late of the effected at a late of the effect of the eff	reby declare that all for reasons of sible. I/We will scheme is subject the funds are re the being invested any other applic ns (in the form of the AMC has no of Canada. Incar	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defarmitted from abroad throby me/us in the Schenable laws or any Notific f trail commission or at a considered my/our tax as of change to this stamond applicant/PC ond applicant/PC	bove are correct and information, I/We we thanagement (Indial trisk including pospugh approved banking or HSBC Mutual I eations, Directions is ny other mode), payar position in particulatus, I/We shall notify 3. Third A 3. Third A	d express my/ou out on told H. a) Pvt. Ltd., abo sible loss of pring channels or frund is derived the sued by any gove ble to him for the rand that I/we see the AMC, in what applicant/PO	willingness to make payments rel SBC Asset Management (India) Pat ta any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc rough legitimate sources and is not rmmental or statutory authority from different competing schemes of ve hould seek tax advice on the specifi tich event the AMC reserves the rig A Attn: NRI investo payment must be made through NR FCNR Accounts)
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm that I/We confirm that the details provide or designed for the purpose of contrat to time. *Applicable to NRI I/We confurd timplications arising out of my/our pa I/We confirm that I am/We are not U redeem my/our investments in the Sc Signature of the Applicant Signature of the Bank According to the state of the Bank According the Signature of the Signature of the Bank According the Signature of	nal Fund), their appois scheme does not offi and I am/We are Nond by me us are true a vention of any Act, R vention of any Act, R he Scheme is being rurticipation in the sche Inited States person(scheme(s).	If the transaction is delaye inted service providers or refr any guarantee or assure-Residents of Indian Nation and correct. I/We hereby detudes, Regulations or any st nolder has disclosed to me/ecommended to me/us. I/Veme. 1. Sole/First Applications of the sole of the	d by me/us. I/We hered or not effected at all representatives responsed return and that the anality/Origin and that declare that the amount atute or legislation or /us all the commission We acknowledge that it declares the states or resident(s) count/Guardian/Pepant/Guardian/Guard	reby declare that all for reasons of sible. I/We will scheme is subject the funds are re nt being invested any other applic ns (in the form of Canada. Incar OA 2. Sec. 2. Se	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defamitted from abroad through the pure of the pur	bove are correct and information, I/We we the Management (Indial trisk including possuagh approved banking or HSBC Mutual I seations, Directions is my other mode), payar position in particulatus, I/We shall notify 3. Third A 3. Third A	d express my/ou out on thold H. a) Pvt. Ltd., abo sible loss of prim g channels or frund is derived the used by any gove ble to him for the r and that I/we s the AMC, in what applicant/PO	willingness to make payments rel SBC Asset Management (India) Pat ta any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc rough legitimate sources and is not rmmental or statutory authority from different competing schemes of ve hould seek tax advice on the specifi tich event the AMC reserves the rig A Attn: NRI investo payment must be made through NR FCNR Accounts)
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm that I/We confirm that the details provide or designed for the purpose of contrat to time. *Applicable to NRI I/We confurd to time. *Applicable to NRI I/We confurd timplications arising out of my/our pa I/We confirm that I am/We are not U redeem my/our investments in the Sc Signature of the Applicant Signature of the Bank According to the state of the st	ala Fund), their appoid scheme does not offi and I am/We are Non-ed by me/us are true evention of any Act, R mofirm that the ARN he Scheme is being rutticipation in the scheme(s). (s) bunt Holder(s)	If the transaction is delaye inted service providers or rer any guarantee or assure-Residents of Indian Nation and correct. I/We hereby deludes, Regulations or any strolder has disclosed to me/eccommended to me/us. I/Neme. 1. Sole/First Applic 1. Sole/First Applic	d by me/us. I/We hered or not effected at all epresentatives responsed return and that the anality/Origin and that declare that the amount atute or legislation or /us all the commission We acknowledge that it declares that the amount atute of legislation or /us all the commission we acknowledge that it declares or resident(s)	reby declare that all for reasons of sible. I/We will scheme is subject the funds are re nt being invested any other applic ns (in the form of Canada. Incardonal Linear Canad	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defamitted from abroad through the pure of the pur	bove are correct and information, I/We we th Management (Indialt risk including pospugh approved bankine of HSBC Mutual I lations, Directions is any other mode), payar position in particulatus, I/We shall notify 3. Third A 3. Third A	d express my/ou out on thold H. a) Pvt. Ltd., abo sible loss of prim g channels or frund is derived the used by any gove ble to him for the r and that I/we s the AMC, in what applicant/PO	willingness to make payments ref SBC Asset Management (India) Pat ta any changes in my/our bank acc cipal, any loses in case of a defaul om my/our NRE/NRO/FCNR Acc rough legitimate sources and is not rmmental or statutory authority from e different competing schemes of va nould seek tax advice on the specifi citch event the AMC reserves the rig Attn: NRI investo payment must be made through NR FCNR Accounts)
indirectly, in making this investment Distributor/Broker/Investment Advis above through participation in ECS/I (Investment Manager to HSBC Mutu We have understood that the present be borne by me/us. *I/We confirm the It/We confirm that the details provide or designed for the purpose of contrat to time. *Applicable to NRI I/We confirm that punds from amongst which the implications arising out of my/our pa I/We confirm that I am/We are not U redeem my/our investments in the Sc Signature of the Applicant Signature of the Bank According to the state of the Bank According the state of the Scheme Name	ual Fund), their appoid scheme does not offi and I am/We are Nonded by me/us are true avention of any Act, R mofirm that the ARN he Scheme is being rutticipation in the schedinted States person(scheme(s).	If the transaction is delaye inted service providers or rerany guarantee or assures. Residents of Indian Nation and correct. I/We hereby of tules, Regulations or any st nolder has disclosed to me/recommended to me/us. I/Veme. 1. Sole/First Application of the service of the s	d by me/us. I/We hered or not effected at all erpresentatives respond return and that the anality/Origin and that declare that the amount atute or legislation or /us all the commission We acknowledge that of distances or resident(s)	reby declare that all for reasons of sible. I/We will scheme is subject the funds are re the being invested any other applic any other applic and the form of the AMC has no of Canada. Incar	to disclose details of the particulars given a incomplete or incorrect also inform HSBC Ass t to credit risk or defamitted from abroad through the pure of the pur	bove are correct and information, I/We we th Management (Indialt risk including pospugh approved banking of HSBC Mutual Lations, Directions is my other mode), payar position in particulatus, I/We shall notify 3. Third A 3. Third A 3. Third A	d express my/ou out on told H. a) Pvt. Ltd., abo sible loss of prim g channels or fround is derived it used by any gove ble to him for the r and that I/we s the AMC, in what is the AMC, in white AMC,	willingness to make payments ref SBC Asset Management (India) PK tat any changes in my/our bank acc cipal, any loses in case of a default om my/our NRE/NRO/FCNR Acc rough legitimate sources and is not rmmental or statutory authority from e different competing schemes of va nould seek tax advice on the specifi citch event the AMC reserves the rig Attn: NRI investor payment must be made through NR FCNR Accounts)

___ Total Amount to be Blocked (Rs.)

(in words) _

Background:

In its continuing endeavour to make the existing New Fund Offer process more efficient, SEBI introduced a supplementary process of applying in New Fund Offer, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

- Meaning of ASBA: ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.
- 2. Self Certified Syndicate Bank (SCSB): SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, investors may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an arrangement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. An SCSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the
- **3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she:
 - is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor,
 - (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".
- 4. ASBA Facility in Brief: Investor shall submit his/her Application through an ASBA Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New Fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Applicants to

- the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA shall notify the SCSBs to unblock the blocked amount of the ASBA Applicants within one day from the day of receipt of such notification.
- 5. Obligations of the AMC: AMC shall ensure that adequate arrangements are made by the R&TA to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009

Investors are requested to check with their respective banks about the availability of the ASBA facility.

6. Other Information for ASBA Investors:

- 1. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before the 3.00 p.m. or such other time as may be decided by respective SCSBs.
- The Applicant intending to invest in the Scheme through ASBA Process will be required to have a beneficiary account with a Depository Participant (DP) of NSDL/ CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO.
- 3. Signatures as available with depository will be taken for all purpose after the allotment of units incase of demat holding.
- 4. All static details in our records would be taken from the demat account (DP ID) provided by you.
- Bank account details provided in the ASBA Application form will be used for refunding reject applications where DP ID is not matching
- Bank Mandate for redemptions/dividend will be as per your DP ID incase of demat holding.
- SCSB shall give ASBA investors an acknowledgement for the receipt of ASBAs
- 8. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- 10.SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
- 11. R&TA shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.
- 12. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- 2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- 3. ASBA Application Form without the stamp of the SCSB.
- 4. Application by any person outside India if not in compliance with applicable Foreign and Indian laws.
- 5. Bank account details not given/incorrect details given.
- 6. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA Application Form.
- 7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- 8. Insufficient funds in the investor's account.
- Application accepted by SCSB and not uploaded on/with the Exchange/ Registrar.

AUTO DEBIT FORM - For SIP Investments (To be Filled in BLOCK LETTERS only) **DISTRIBUTOR INFORMATION** (Only empanelled Distributors/Brokers will be permitted to distribute Units) Management Broker Name & ARN code/RIA code Sub-broker ARN code Sub code FUIN App. No.: I/We hereby confirm that by mentioning RIA code, I/We authorise you to share with the SEBI Registered Investment Adviser For Office Use Only (RIA) the details of my/our transactions in the schemes(s) of HSBC Mutual Fund. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. Sole/First Applicant/ Second Applicant/ Third Applicant/ **Authorised Signatory** Authorised Signatory **Authorised Signatory REQUEST FOR** (tick ✓ any one): Registration of SIP Registration of Micro SIP Renewal of SIP APPLICANT'S PERSONAL DETAILS (MANDATORY) Folio No. (For Existing Unit holders) Sole/1st Unit Holder's Name | Mr./Ms./M/s Are you a resident of USA/Canada? (✓) ☐ Yes ☐ No^{‡‡} (^{‡‡} Default) **Date of Birth** (Mandatory) D D M M Y Y Y Guardian's (in case of minor)/PoA Holder's Name Legal Guardian ++ (court appointed Guardian) Relationship with Minor Natural Guardian (Father or Mother) In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support Document evidencing relationship with Guardian E-mail ID Sole/First Unitholder Guardian/PoA Holder Second Unitholder Third Unitholder KYC Identification No. (KIN) ‡ PAN (Mandatory)** Enclosed (✓) PAN Card Copy PAN Card Copy ** W.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, POA holder, Guardian in case of Minor and NRIs). For Micro SIP Investment please refer Instructions for filling up the Application Form. ‡ W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch). W.e.f. January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process. W.e.f. February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. ~ Transactions subject to rejection if minor has turned major and relevant documents for change in status not submitted. Refer SID /SAI for instructions related to folios held in the name of Minor MANDATORY FIELDS IN NACH FORM: ● Account type ● Bank A/c number (core banking a/c no. only) ● Bank Name ● IFSC code or MICR code (as per the cheque/pass book) ● Amount in Figures (Maximum Amount) • Amount in Words (maximum amount) • Period start date and end date or until cancelled • Account holder signature • Account holder Name as per Bank record **HSBC** Debit Mandate Form NACH / ECS / Direct Debit (Mandatory) Global Asset By registering this mandate, you authorise the specified bank to debit the said maximum amount per day, towards investment in HSBC Mutual fund. Management UMRN Date Sponsor Bank Code Create Modify Cancel CITIOOOPIGW Utility Code CITI00002000000037 I/We hereby authorize To debit (√) SB CA CC SB-NRE SB-NRO Others Bank Account No IFSC/MICR with Bank An amount of Rupees Debit Type : Fixed Amount Half Yearly Maximum Amount Frequency: Monthly Quarterly Yearly As & when presented Reference 1 Reference 2 Mobile No. Email ID 1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit. PERIOD From Until Cancelled **ACKNOWLEDGMENT SLIP** (To be filled by the investor) Received from Folio No SIP Application for Units of Scheme/Plan/Option: Scheme 1 Option/Sub-option Scheme 2 Plan Option/Sub-option ISC Stamp & Signature Plan Scheme 3 Option/Sub-option SIP period from M M Y Y M M YY OR End date 0 3 9 9

Total Amount (Rs.)

₹

Amount (in words)

Date:

2	SIP DETAILS (Please tick (✓) wherever applicable)									
1	Scheme 1 Name HSBC Focused Equity Fund Plan Option/Sub option									
	Frequency Monthly (Default^) Quarterly (10th) SIP Date 1st 2nd 3rd 4th 5th 6th 7th 8th 9th 10th (Default^)									
	SIP period From M M Y Y To M M Y Y OR End date O 3 9 9 11th 12th 13th 14th 15th 16th 17th 18th 19th 20th 21st									
	if the date is not inclinioned that the Sit with the considered not perpetually (water 2077)									
	First SIP Cheque No. Dated D D M M Y Y Y Y Cheque Amount ₹									
	Drawn on Bank name (should be same as NACH mandate) Branch									
2	Scheme 2 Name Plan Option/Sub option									
	Frequency Monthly (Default^) Quarterly (10th) SIP Date 1st 2nd 3rd 4th 5th 6th 7th 8th 9th 10th (Default^)									
	SIP period From M M Y Y To M M Y Y OR End date O 3 9 9 11th 12th 13th 14th 15th 16th 17th 18th 19th 20th 21st									
	If end date is not mentioned then the SIP will be considered for perpetuity (March 2099) 22nd 23rd 24th 25th 26th 27th 28th 29th 30th 31st SIP Amount (figures) ₹ (words)									
	First SIP Cheque No. Dated D D M M Y Y Y Y Cheque Amount ₹									
	Drawn on Bank name (should be same as NACH mandate) Branch									
3	Scheme 3 Name Plan Option/Sub option									
	Frequency Monthly (Default^) Quarterly (10th) SIP Date 1st 2nd 3rd 4th 5th 6th 7th 8th 9th 10th (Default^) SIP period From M M Y Y To M M Y Y OR End date O 3 9 11th 12th 13th 14th 15th 16th 17th 18th 19th 20th 21st									
	SIP period From M M Y Y To M M Y Y OR End date O 3 9 9 11th 12th 13th 14th 15th 16th 17th 18th 19th 20th 21st 1f end date is not mentioned then the SIP will be considered for perpetuity (March 2099) 22nd 23rd 24th 25th 26th 27th 28th 29th 30th 31st									
	SIP Amount (figures) ₹ (words)									
	First SIP Cheque No. Dated D D M M Y Y Y Y Cheque Amount ₹									
	Drawn on Bank name (should be same as NACH mandate) Branch									
	^ If no debit date is mentioned default date would be considered as 10th of every month/quarter. Please ensure the amount mentioned in the NACH form is a total of per SIP installment requested above.									
3	DECLARATION AND SIGNATURE(S) (to be signed by all Unit Holders if Mode of Holding is 'Joint')									
	OTHER DECLARATIONS (Signature(s) should be as it appearing on the Application Form and in the same order									
	I/We declare that the particulars furnished here are correct. I/We authorise HSBC Mutual Fund acting through its service providers to debit my/our bank account towards payment of SIP instalments through an Electronic Debit arrangement/NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We									
	would not hold the user institution responsible. I/We will also inform HSBC Mutual Fund about any changes in my bank account. I/We have registered for making payment towards my investments in HSBC Mutual Fund by debit to my/our account directly or through ECS (Debit Clearing)/NACH (National Automated									
	Clearing House). I /We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account. I also hereby agree to read the respective SID and SAI of the Mutual Fund before investing in any scheme of HSBC Mutual Fund using this facility.									
	x x									
	Sole/1st Unit Holder/POA/Guardian 2nd Unit Holder 3rd Unit Holder									

INSTRUCTION

- Investors are advised to comply with applicable Know Your Customer (KYC)
 requirements from time to time and failure to comply with this requirement may
 result in the purchase application being rejected.
- Please read the Scheme Information Document(s), Key Information Memorandum(s) of the scheme(s) and Statement of Additional Information of the respective schemes and addenda issued for these documents carefully before investing.
- Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.
- Applications incomplete in any respect are liable to be rejected. AMC/RTA shall have absolute discretion to reject any such Application Forms.
- Investors are advised to retain this acknowledgment slip till they receive a confirmation
 of processing of their SIP Mandate from the HSBC Mutual Fund Investor Service
 Centre (ISC)/CAMS.
- 6. Investors/Unit holders should provide the Folio & Name of the Sole/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing Folio, the application form may be rejected.
- A minimum gap of 21 Calendar Days needs to be maintained between the first and second SIP installments.

- 8. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day. In case the SIP debit date is not indicated, 10th shall be treated as the default date.
- All SIP installment cheques/payment instructions must be of the same amount and the same monthly debit date.
- In case payment is made using "At Par" cheques, investors must mention the MICR number of his actual bank branch.
- If the period is not specified by the unitholder then the SIP enrollment will be deemed to be for perpetuity and processed accordingly.
- The SIP will be discontinued automatically if payment is not received for two successive installments.
- 13. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar CAMS. Notice of such discontinuance should be received at least 21 Calendar Days prior to the due date of the next installment/debit.
- 14. Please submit this form along with a copy of a cancelled cheque.
- 15. Email ID and Mobile number provided in the application form should be of the primary unit holder for speed and ease of communication. Where Email ID and Mobile number is not provided the same will be updated from KRA records.

INSTRUCTIONS TO FILL ONE TIME DEBIT MANDATE FORM (OTM)

- Investors who have already submitted One Time Debit Mandate Form (OTM) or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account (for SIP debits). However, if such investors wish to add a new bank account towards OTM facility, may fill the form with the new bank details.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Alongwith OTM, investors need to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted. Investor's cheque/bank account details are subject to third
- party validation.
- Investors are deemed to have read and understood the terms and conditions of SIP registration, Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of HSBC Mutual Fund.
- Date and validity of the mandate should be mentioned in DD/MM/YYYY format.
- Sponsor Bank Code and Utility Code of the Service Provider will be mentioned by HSBC Mutual Fund.
- For the convenience of investors, the frequency of the mandate mentioned "As and when presented".

Declaration Formats



Payments to : Minor Folio Maximum Value : Not Exceed Application and Payment D Folio No. Beneficiary Name	io only ding R	; In co			rsons (ther t	han the				-	ymc	ent/SI										
Application and Payment D Folio No. Beneficiary Name	_	c 50 M		ration o	of: Nat	ural lo	ve and	affect	ion or	as gift		y											
Folio No. Beneficiary Name)etails				-		-				onsh	nin 1	PAN	& KY	(C)·								
Beneficiary Name	Ctans	, (All	ucta	113 001	ow are	viaii	uator y	, men	adilig	TCIati		•		rm No									
J .											App	meat	1011 1 (1111 110	•								
Investment Amount in Rs.												r			D:41.		1-4	- 1 (1		CII	2 4 4	- D-
	A /- N1	T -										Lump	sum	SI.	P with	post	-aat	ea C	nequ	es [511	Aut	o Del
Bank Details	A/c N	Name									Bra	n ala											
DD/Cheque No. (Lump sum)	Dalik	Name									Dia	IICII			Date	ad	D	D	M	M	V	v	Y
Cheque Nos. (for SIP via PDC)	From	T									To				Daix	Ju			141	171	1	1	+
* ,	FIOIII										10	<u>, </u>											
Cheque Drawn on A/c No. Declaration and Signatures:																							
ectaration and Signatures.	Parent	t/Crand	L Daror	ıtc/Ralat	od Porse	ns Othe	r than tl	ne Remi	stored (nordio	n		Gı	ıardiaı	of M	linor	96	ronic	toro	d in 1	the F	olio	
Name	Tarcito	/Granu	-1 arci	its/ Kciai	cu i ci sc	nis Othe	i tilali ti	ic Regi	oureu C	uaruiai			- Oi	iai (iiai	1 01 141		as	egis	ter c	u III	inc r	OHO	
											+												
Relationship with Minor											+												
Income Tax PAN			<u></u>								+			<u></u>									
KYC Acknowledgement	T1 .	h 1				datory					1	τ.	C		ached			•		•			
Declaration	owner the fu	r of the nds for	e inves r these	stment of	details i ments o	minor nention on acco	ed abo unt of r	ve and	I am p	rovidii	ng	folio		nat I an ave no or.									
Signature	X	1011 01 2	as giii	. irom i	ny bani	accou	nt only.					X											
												^											
Contact Number			Т								-								_				_
																					Glok Mar		
																					IVIAI	layt	31116
2 BANKER'S CERTIFI	CATI	E (in	case	of De	mand	Draft	Pay (Order	/Any	Othe	r pr	e-fu	nded	instru	ımen	t)							
o whomsoever it may concern, w	ve here	by con	nfirm	the foll	owing	details	regardi	ng the	instrui	nent is	sued	by ı	1S:										
nstrument Details:																							
Instrument Type	De	mand l	Draft		Pay	Order	Banke	r's Ch	eque		Deb	it to	Acco	ınt									
Instrument Number										Dat	te	I	D	D	М	N	Л	Y	r.	Y		Y	Y
Investment Amount in Rs.																							
In Favour of/Favouring																							
Payable At			•	41 .																			
etails of Bank Account Deb	oited f	or iss	suing	the in	strum	ent:											4 T						
Bank Account No. Account Holder Details						Nan	ne.								A	ccour			Гах І	PAN			
1.						11411														1111			
2.																							
3.																							
the issuing Bank branch is				anch as	mentio	ned be	low:			0.1													
e further declare that we are regis										of the country	_		or										
e further declare that we are regis										ountry			r										
Ver further declare that we are regist Under the Regulator In the Country				Dua Di	ligence	with re	egard to	the B						of the f	unda r			rom	1	00.00	er the	stan	ıdard
fe further declare that we are regis Under the Regulator In the Country Registration No.	ecessary	y Custo	omer	וע טוע	· 1		_			ary and					unus i	eceiv	ed 1	10111	nım.	, as p			
Under the Regulator In the Country Registration No. We confirm having carried out ne of Anti-Money Laundering laws	and otl				ant lav	vs in ou	ir coun	try		ary and					unus i	eceiv	ed 1	10111	nim	, as p			
Ve further declare that we are regis Under the Regulator In the Country Registration No. We confirm having carried out ne of Anti-Money Laundering laws ranch Manager/Declarant(s	and oth	her app	plicab	le relev						ary and					unds i	eceiv	red 1	10111		, as p			
/e further declare that we are regis Under the Regulator In the Country Registration No. We confirm having carried out ne of Anti-Money Laundering laws iranch Manager/Declarant(s	and oth	her app	plicab	le relev						ary and						Bank (
Ve further declare that we are regis Under the Regulator In the Country Registration No. We confirm having carried out ne of Anti-Money Laundering laws Branch Manager/Declarant(s) ignature X	and oth	her app	plicab	le relev						ary and													
Ve further declare that we are regis Under the Regulator In the Country Registration No. We confirm having carried out ne of Anti-Money Laundering laws Granch Manager/Declarant(s	and oth	her app	plicab	le relev										Postal	В	3ank	& B	ranc	h Se	al			

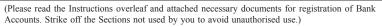


PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE (under Systematic Investments Plans through Payroll deductions or Expense Reimbursement)

To whomsoever it may concern

We hereby declare that the Application	n Foi	m No/s.								for	subscri	ption	of units	s in _						
														(Name	of t	he Scl	neme)	is ac	comp	anied by
Cheque No.		_ Dated				Dı	awn oi	1								(Name	of the	e Ban	k/Branc
We confirm that the beneficial owner(s) of	the inves	stment in	these	units	is/are _														
who is/are my/our employee/s and ar	n pro	viding th	e funds f	or the	se inve										-			_		number/applicabl
Signature of Declarant(s) X																				
Name of Declarant(s)																				
Income Tax PAN								k	CYC Ac	knowle	edgeme	ent att	ached (Manda	atory	for a	ny am	ount)		
Address of Declarant(s)																				
City								Posta	l code _											
State																				
State					_ Cou	ntry														
4 CUSTODIAN ON BEHA	ALF	OF AN	J FII/FF	인 이						ed wi	th eac	ch pa	yment)						al Asse ageme
Application and Darmont Datail	la (A	II dataile	halarr	ara N		homsoe	ever it	тау с	oncern											
Application and Payment Detail Folio No.	S (Al	ii detaiis	below	are N	лапиа	tory):					Anr	olicatio	on Forn	n No						
Beneficial Applicant/ Investor Name												, , , , , , , , , , , , , , , , , , ,	011 1 011							
Investment Amount in Rs.																				
Payment Mode	П	Cheque			Fund 7	Γransfer			RTG	S		NI	EFT							
Payment Cheque/UTR No.				T									Dated	i D	D	M	M	Y	Y	Y
Payment from Bank																				
Payment from A/c No.																				
We further declare that we are registered. We confirm the beneficial owner as star funds provided to us by the Applicant/I	ted ab Invest	ove and t or.	hat this p	oayme	nt is iss	sued by	us in o	ur cap					oplicant	/Inves	tor. T	The so	urce o	fthis	payn	nent is fro
Signature of Declarant(s) X																				
Name of Declarant(s)																				
Income Tax PAN								k	CYC Ac	knowle	edgeme	ent att	ached (Manda	atory	for a	ny am	ount)		
Address of Declarant(s)																				
City								F	Postal co	ode										
State					Count	ту													_	

Multiple Bank Accounts Registration / Deletion Form





	Folio No. (For Existing Unit Holders)	OR Application No. (For New Unit Holders)									
	Name of Sole/First Unit Holder	Permanent Account No. (PAN)									
A.	Old/Existing Bank Account details:										
	Bank Account No.	Bank A/c. Type: Savings Current NRI-NRO NRI-NRE Oth									
	Bank Name :										
	** in case of non-availability of old bank proof (as mentioned in mandatory document	ts), In-Person verification (IPV) is mandatory									
В.	Change in Tax Status:										
	In-case of Change in Tax Status, please tick the applicable new tax status: Resident Individual NRI on Repatriation Basis NRI on North										
C. Addition of Bank Accounts:											
	• If you are changing an existing bank account with a new one for redemption/dividend proceeds in future, please mention the new bank account in Part C as well as in Part D bank account is not mentioned in Part C , redemption/dividend proceeds will be sent to existing default bank account only. • For each bank account mentioned in Part C as well as in Part D bank account in Part C as well as in <a c"="" href="Part D to each bank account mentioned in Part C as well as in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in Part C as well as in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account mentioned in to each bank account mentioned in <a a="" d<="" href="Part D to each bank account in to each bank account in <a a="" d<="" href="Part D to each bank account in to each bank account in <a a="" d<="" href="Part D to each bank account in to each bank account in <a a="" d<="" href="Part D to each bank account in to each bank account in <a a="" d<="" href="Part D to each bank account in to each bank account in <a a="" d<="" href="Part D to each bank account in 										
F	For each bank account, Investors should produce original for verification	ation or submit originals of the documents mentioned below.									
	Core Bank Account No.	Account Type (✓): ☐ Current ☐ Savings ☐ NRO# ☐ NRE# ☐ FCNR# ☐									
	Bank Name	Branch									
	City	PIN Code									
	MICR Code [^]	IFSC Code^^									
	Any one Document with name of investor pre printed \Box Cancelled	Cheque Leaf Passbook									
	Core Bank Account No	Account Type (\(\sigma\): \(\text{Current} \) \(\text{Savings} \) \(\text{NRO#} \) \(\text{NRE#} \) \(\text{FCNR#} \) \(\text{Dranch} \) \(\text{PIN Code} \) \(\text{IFSC Code^^^} \)									
	Any one Document with name of investor pre printed Cancelled	Cheque Leaf Passbook									
	Core Bank Account No.	Account Type (✓): ☐ Current ☐ Savings ☐ NRO# ☐ NRE# ☐ FCNR# ☐ —									
	Bank Name	Branch									
	City	PIN Code									
	MICR Code [^]	IFSC Code^^									
	Any one Document with name of investor pre printed \qed Cancelled	Cheque Leaf Passbook									
	Core Bank Account No. Bank Name City MICR Code^	Account Type () : Current Savings NRO# NRE# FCNR# Branch PIN Code IFSC Code^^									
	Any one Document with name of investor pre printed Cancelled	Cheque Leaf Passbook									
L	^ 9 digit code on your cheque next to the cheque number.	rit code printed on your cheque. # For NRI Investors.									
D.											
E.	Bank Account Deletion:										
E.											
E.	Name of Sole/First Unit Holder	r my/our above folio:									
E.	Name of Sole/First Unit Holder Please delete the following Bank accounts as registered accounts fo										
E.	Name of Sole/First Unit Holder Please delete the following Bank accounts as registered accounts for Bank Account No.	or my/our above folio: Bank Name									
E.	Name of Sole/First Unit Holder Please delete the following Bank accounts as registered accounts fo										
E.	Name of Sole/First Unit Holder Please delete the following Bank accounts as registered accounts for Bank Account No.	Bank Name									

Deletion of a default bank account will not be effective in the Folio unless the investor mentions another valid registered Bank Account as a default account in Part D of this Form.



My identity details for IPV (copy enclosed & original shown for verification)*									
Description	First Holder/Guardian	Joint Holder1	Joint Holder2						
PAN**/(Please Specify) #									
Holder's Name									
Contact Number									
Signature §	x	x	x						

DECLARATION:

I/We have read and understood the Instructions and the Terms and Conditions for Multiple Bank Accounts Registration and agree to abide by the same. I/We acknowledge that my/our request will be processed only if all details are properly filled and valid documents are attached, failing which the request maybe rejected/delayed as the case may be in which case I/We will not hold HSBC Mutual Fund, the AMC and the Registrar liable for any loss due to delayed execution or rejection of the request.

G. In-Person verification (For Office Use only) - applicable only if the old/existing bank mandate proof not submitted

I have done the In-Person verification of the above referred investor along with ID document specified above; matched with the information available in the referred Folio(s) and found them in order. Also verified the originals of new bank mandate documentary proof with the copies shared and found them in order.

Employee Name		
Employee No.		x
Location Name	CAMS/AMC - <location name=""></location>	
Date	D D M M Y Y Y Y	Signature with Branch Seal

INSTRUCTIONS AND TERMS & CONDITIONS

- 1. This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HUF can register upto 5 different bank accounts for a folio by using this form. Non individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts, please use extra copies of this form.
- 2. Supporting Documents as mentioned in Part C will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which valid documents are provided. Accounts not matching with such documents will not be registered.
- 3. If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, then any one of the following document should be submitted as a supporting:
 - a. Copy of bank statement OR
 - b. Photo copy of Bank Passbook

Important: The above documents should be either in original or copy to be submitted alone with original produced for verification.

- 4. Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
- 5. The first/sole unit holder in the folio should be one of the holders of the bank account being registered. Unitholder(s) cannot provide the bank account(s) of any other person or where the First/Sole Unitholder is not an account holder in the bank account provided.
- 6. The investors can change the default bank account only by submitting this form. In case multiple bank accounts are opted for registration as default Bank Account, the mutual fund retains the right to register any one of them as the default bank account.
- 7. A written confirmation of registration of the additional bank account details will be dispatched to you within 10 calendar days of receipt of such request. Unitholder(s) must preserve this written confirmation as the account statement will only reflect the default bank mandate.
- 8. If any of the registered bank accounts are closed/altered, please intimate the AMC in writing of such change with an instruction to delete/alter it from our records
- 9. The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
- 10. If request for redemption is received prior to/together with a change of bank account or before verification and validation of the new bank account, the redemption request would be processed to the currently registered default (old) bank account.
- 11. If in a folio, purchase investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank account types for redemption can be SB/NRO/NRE.
- 12. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
- 13. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.

HSBC Asset Management (India) Private Limited

Regd. Office: 16, Veer Nariman Road, Fort, Mumbai 400 001

Tel.: 1800-200-2434/1800-258-2434 OR +91 44 39923900 to connect to our customer care centre. Fax: 022-49146254 Email: hsbcmf@camsonline.com Website: www.assetmanagement.hsbc.co.in

^{*} First unit holder OR Any 1 of the unit holder where mode of holding in the folio is anyone or survivor

[#] Self Attested Photo Identity Proof for PAN Exempt Investors like Passport, Voter ID, Ration Card, Driving License, Aadhaar Number (Number to be scored out)

[§] To be signed by all the holder(s) as per the mode of holding. In case of Non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES