

## **Terms & Conditions for transacting in scheme(s) of HSBC Mutual Fund**

1. The number of units allotted will be calculated based on the Applicable NAV and cut-off time as per the terms of respective Scheme Information Document.
2. For online transactions, server time at the instance of confirmation of the transaction will be considered as the final time to determine transaction time.
3. Please note that, due to payment gateway tie-ups, the funds may be available to HSBC MF after a time lag. Further, payment gateway is the Bank's responsibility and it is not responsibility of HSBC and hence the Bank should be approached for any issues in processing, errors, frauds etc.
4. The ARN holder, (where selected for the transaction) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
5. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.
6. If a distributor's ARN code is selected and distributor's employee unique identity number (EUIIN) is left blank then the following declaration will be considered from investor: "I/We hereby confirm that the EUIIN has not been provided as this is an 'execution-only' transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor. The distributor has not charged any advisory fees on this transaction".

## **Declarations for transacting in schemes(s) of HSBC Mutual Fund**

1. I / We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and agree to abide by the terms and conditions, rules and regulations of the Scheme and online. I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the relevant Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the above mentioned documents of HSBC Mutual Fund. I / We hereby authorise HSBC Mutual Fund, the AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such other service providers as deemed necessary for conduct of business. I / We express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold the Fund, the AMC, its service providers or representatives responsible. I / We will also inform the AMC, about any changes in my / our bank account. I / We have read and agreed to the terms and conditions for ECS / Direct Debit.
2. I acknowledge and confirm that the information provided with respect to FATCA / CRS is true and correct to the best of my/our knowledge and belief. I certify that I am the Account of all the account(s) to which this form relates. In case any of the above specified information is found to be false or untrue or misleading or is representing, I am aware that I will be responsible for it. I authorize the Fund to update its records from the FATCA / CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. Further, I authorize the Fund to share the given information provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission / updation. I also undertake to keep the

Fund informed in writing about any changes / modification / updation to the above information in future and also undertake to provide any other additional information as may be required at the Fund's end and/or by the domestic tax authorities. I authorize the Fund / AMC / RTA to close or suspend my account(s) under intimation to me for non-submission of documentation.

3. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

4. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

5. I / We confirm that the transaction is in compliance of provisions of the Scheme Information Document of the Scheme and the applicable regulations governing 'Third Party payment avoidance'. I / We confirm that the first holder of the mutual fund folio is first holder of the bank account or one of the joint holders of the bank account from which payment for the subscription application is being made.

6. I / We declare that I / We are existing investor in the Mutual Fund industry and authorise deduction of applicable Transaction Charges from the subscription application for payment to distributor.

7. I/we confirm that I / we are not subject to regulatory/other sanctions and are not residing in or have any of our addresses in countries which are subject to such sanctions.

8. I/we confirm that I / we are not in breach of the laws and regulations relating to KYC, money laundering, terrorist financing or any other financial crimes.

9. I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I /We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

0. I / We acknowledge that the AMC has not considered my / our tax position in particular and that I / we should seek tax advice on the specific tax implications arising out of my / our participation in the Scheme.

10. I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account (Applicable to NRI)

1. I / We confirm that I / We do not have any existing Micro SIP investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a year. (Applicable for Micro SIP investments only).

0. I / We agree that if I/we do not register SIP at my/our bank site within 15 calendar days using the URN provided by this site or register incorrectly, the Fund has the right to cancel the SIP registration without any notice to me/us. I/we will not hold HSBC Mutual Fund or the AMC, its registrars and other service providers responsible if the registration and subsequent transaction are delayed or not effected or the User's bank account is debited in advance or after the specific SIP date due to local holidays or any other reason.

0. I /We confirm that I /We have given correct bank account details against my tax status i.e. for Tax status as Resident Individual, I/We have given details of Savings Account / Current Account and for tax status as NRI, I/We have given details of my NRE / NRO account.

